



**MTConnect<sup>®</sup> Standard**  
**Part 4.2 – File Asset Information Model**  
**Version 1.7.0**

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## 1 **1 Purpose of This Document**

2 This document, *MTCConnect Standard: Part 4.2 - File Asset Information Model* of the  
3 MTCConnect Standard, establishes the rules and terminology to be used by designers to  
4 describe the function and operation of files used within manufacturing and to define the  
5 data that is provided by an *Agent* from a piece of equipment. This part of the Standard also  
6 defines the structure for the XML document that is returned from an *Agent* in response to  
7 a probe request.

8 The data associated with these files will be retrieved from multiple sources that are respon-  
9 sible for providing their knowledge of an *MTCConnect Asset*.

## 10 2 Terminology and Conventions

11 Refer to Section 2 of *MTConnect Standard Part 1.0 - Overview and Fundamentals* for a  
12 dictionary of terms, reserved language, and document conventions used in the MTConnect  
13 Standard.

### 14 2.1 Glossary

#### 15 URL

16 Stands for Uniform Resource Locator.

17 See <http://www.w3.org/TR/uri-clarification/#RFC3986>

#### 18 W3C

19 The World Wide Web Consortium (W3C) is an international community that devel-  
20 ops open standards to ensure the long-term growth of the Web.

21 See <https://www.w3.org/>.

#### 22 *Agent*

23 Refers to an MTConnect Agent.

24 Software that collects data published from one or more piece(s) of equipment, orga-  
25 nizes that data in a structured manner, and responds to requests for data from client  
26 software systems by providing a structured response in the form of a *Response Doc-*  
27 *ument* that is constructed using the *semantic data models* defined in the Standard.

28 Appears in the documents in the following form: *Agent*.

#### 29 *Asset*

30 item, thing or entity that has potential or actual value to an organization *Ref:ISO*  
31 *55000:2014(en)*

32 Note 1 to entry: Value can be tangible or intangible, financial or non-financial,  
33 and includes consideration of risks and liabilities. It can be positive or negative  
34 at different stages of the asset life.

35 Note 2 to entry: Physical assets usually refer to equipment, inventory and prop-  
36 erties owned by the organization. Physical assets are the opposite of intangible  
37 assets, which are non-physical assets such as leases, brands, digital assets, use  
38 rights, licences, intellectual property rights, reputation or agreements.

39 Note 3 to entry: A grouping of assets referred to as an asset system could also  
40 be considered as an asset.

41

#### 42 ***Child Element***

43 A portion of a data modeling structure that illustrates the relationship between an  
44 element and the higher-level *Parent Element* within which it is contained.

45 Appears in the documents in the following form: *Child Element*.

#### 46 ***Component***

47 General meaning:

48 A *Structural Element* that represents a physical or logical part or subpart of a piece  
49 of equipment.

50 Appears in the documents in the following form: *Component*.

51 Used in *Information Models*:

52 A data modeling element used to organize the data being retrieved from a piece of  
53 equipment.

54 • When used as an XML container to organize *Lower Level* *Component* ele-  
55 ments.

56 Appears in the documents in the following form: *Component*s.

57 • When used as an abstract XML element. *Component* is replaced in a data  
58 model by a type of *Component* element. *Component* is also an XML con-  
59 tainer used to organize *Lower Level* *Component* elements, *Data Entities*, or  
60 both.

61 Appears in the documents in the following form: *Component*.

#### 62 ***Current Request***

63 A *Current Request* is a *Request* to an *Agent* to produce an *MTConnectStreams Re-*  
64 *sponse Document* containing the *Observations Information Model* for a snapshot of  
65 the latest *observations* at the moment of the *Request* or at a given *sequence number*.

#### 66 ***Data Entity***

67 A primary data modeling element that represents all elements that either describe  
68 data items that may be reported by an *Agent* or the data items that contain the actual  
69 data published by an *Agent*.

70 Appears in the documents in the following form: *Data Entity*.



71 ***Devices Information Model***

72 A set of rules and terms that describes the physical and logical configuration for a  
73 piece of equipment and the data that may be reported by that equipment.

74 Appears in the documents in the following form: *Devices Information Model*.

75 ***Equipment Metadata***

76 See *Metadata*

77 ***Information Model***

78 The rules, relationships, and terminology that are used to define how information is  
79 structured.

80 For example, an information model is used to define the structure for each *MTCConnect Response Document*; the definition of each piece of information within those  
81 documents and the relationship between pieces of information.

82  
83 Appears in the documents in the following form: *Information Model*.

84 ***Lower Level***

85 A nested element that is below a higher level element.

86 ***Metadata***

87 Data that provides information about other data.

88 For example, *Equipment Metadata* defines both the *Structural Elements* that represent the physical and logical parts and sub-parts of each piece of equipment, the  
89 relationships between those parts and sub-parts, and the definitions of the *Data Entities* associated with that piece of equipment.

90  
91  
92 Appears in the documents in the following form: *Metadata* or *Equipment Metadata*.

93 ***MTCConnect Agent***

94 See definition for *Agent*.

95 ***MTCConnect Asset***

96 An *MTCConnect Asset* is an *Asset* used by the manufacturing process to perform  
97 tasks.

98 Note 1 to entry: An *MTCConnect Asset* relies upon an *MTCConnect Device* to  
99 provide *observations* and information about itself and the *MTCConnect Device*  
100 revises the information to reflect changes to the *MTCConnect Asset* during their  
101 interaction. Examples of *MTCConnect Assets* are Cutting Tools, Part Information,  
102 Manufacturing Processes, Fixtures, and Files.

103 Note 2 to entry: A singular `assetId` uniquely identifies an *MTCConnect Asset*  
104 throughout its lifecycle and is used to track and relate the *MTCConnect Asset* to  
105 other *MTCConnect Devices* and entities.

106 Note 3 to entry: *MTCConnect Assets* are temporally associated with a device and  
107 can be removed from the device without damage or alteration to its primary  
108 functions.

109

### 110 ***MTCConnect Device***

111 An *MTCConnect Device* is a piece of equipment or a manufacturing system that pro-  
112 duces *observations* about itself and/or publishes data using the *MTCConnect Infor-*  
113 *mation Model*.

### 114 ***MTCConnect Information Model***

115 See *Information Model*

### 116 ***MTCConnectDevices Response Document***

117 A *Response Document* published by an *MTCConnect Agent* in response to a *Probe*  
118 *Request*.

### 119 ***MTCConnectStreams Response Document***

120 A *Response Document* published by an *MTCConnect Agent* in response to a *Current*  
121 *Request* or a *Sample Request*.

### 122 ***observation***

123 The observed value of a property at a point in time.

### 124 ***Observations Information Model***

125 An *Information Model* that describes the *Streaming Data* reported by a piece of  
126 equipment.

### 127 ***organize***

128 The act of containing and owning one or more elements.

### 129 ***Parent Element***

130 An XML element used to organize *Lower Level* child elements that share a common  
131 relationship to the *Parent Element*.

132 Appears in the documents in the following form: *Parent Element*.

133 ***Probe Request***

134 A *Probe Request* is a *Request* to an *Agent* to produce an *MTConnectDevices Re-*  
135 *ponse Document* containing the *Devices Information Model*.

136 ***Request***

137 A communications method where a client software application transmits a message  
138 to an *Agent*. That message instructs the *Agent* to respond with specific information.

139 Appears in the documents in the following form: *Request*.

140 ***Response Document***

141 An electronic document published by an *MTConnect Agent* in response to a *Probe*  
142 *Request*, *Current Request*, *Sample Request* or *Asset Request*.

143 ***Sample Request***

144 A *Sample Request* is a *Request* to an *Agent* to produce an *MTConnectStreams Re-*  
145 *sponse Document* containing the *Observations Information Model* for a set of time-  
146 stamped *observations* made by *Components*.

147 ***semantic data model***

148 A methodology for defining the structure and meaning for data in a specific logical  
149 way.

150 It provides the rules for encoding electronic information such that it can be inter-  
151 preted by a software system.

152 Appears in the documents in the following form: *semantic data model*.

153 ***sequence number***

154 The primary key identifier used to manage and locate a specific piece of *Streaming*  
155 *Data* in an *Agent*.

156 *sequence number* is a monotonically increasing number within an instance of an  
157 *Agent*.

158 Appears in the documents in the following form: *sequence number*.

159 ***Streaming Data***

160 The values published by a piece of equipment for the *Data Entities* defined by the  
161 *Equipment Metadata*.

162 Appears in the documents in the following form: *Streaming Data*.

163 ***Structural Element***

164 General meaning:

165 An XML element that organizes information that represents the physical and logical  
166 parts and sub-parts of a piece of equipment.

167 Appears in the documents in the following form: *Structural Element*.

168 Used to indicate hierarchy of Components:

169 When used to describe a primary physical or logical construct within a piece of  
170 equipment.

171 Appears in the documents in the following form: *Top Level Structural Element*.

172 When used to indicate a *Child Element* which provides additional detail describing  
173 the physical or logical structure of a *Top Level Structural Element*.

174 Appears in the documents in the following form: *Lower Level Structural Element*.

175 ***Top Level***

176 *Structural Elements* that represent the most significant physical or logical functions  
177 of a piece of equipment.

178 **2.2 Acronyms**

179 ***AMT***

180 The Association for Manufacturing Technology

181 **2.3 MTConnect References**

182 [MTConnect Part 1.0] *MTConnect Standard Part 1.0 - Overview and Fundamentals*. Ver-  
183 sion 1.7.0.

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185 Version 1.7.0.

## 186 **3 Files Information Model**

187 Manufacturing processes require various documents, programs, setup sheets, and digital  
188 media available at the device for a given process. The `File` and `FileArchetype` `As-`  
189 `sets` provide a mechanism to communicate specific "Files" that are relevant to a process  
190 where the media is located on a server and represented by a Universal Resource Locator  
191 (URL).

192 The `FileArchetype` contains metadata common to all `File` `Assets` for a certain  
193 purpose. The `File` `Asset` references the file specific to a given device or set of devices.  
194 The `File` `Asset` does not hold the contents of the file, it contains a reference to the  
195 location (URL) used to access the information. The metadata associated with the `File`  
196 provides semantic information about the representation (mime-type) and the application  
197 associated with the `File`. The application of the file is an extensible controlled vocabulary  
198 with common manufacturing uses provided.

### 199 **3.1 AbstractFile**

200 An `AbstractFile` is an abstract `Asset` type model that contains the common proper-  
201 ties of the `File` and `FileArchetype` types.

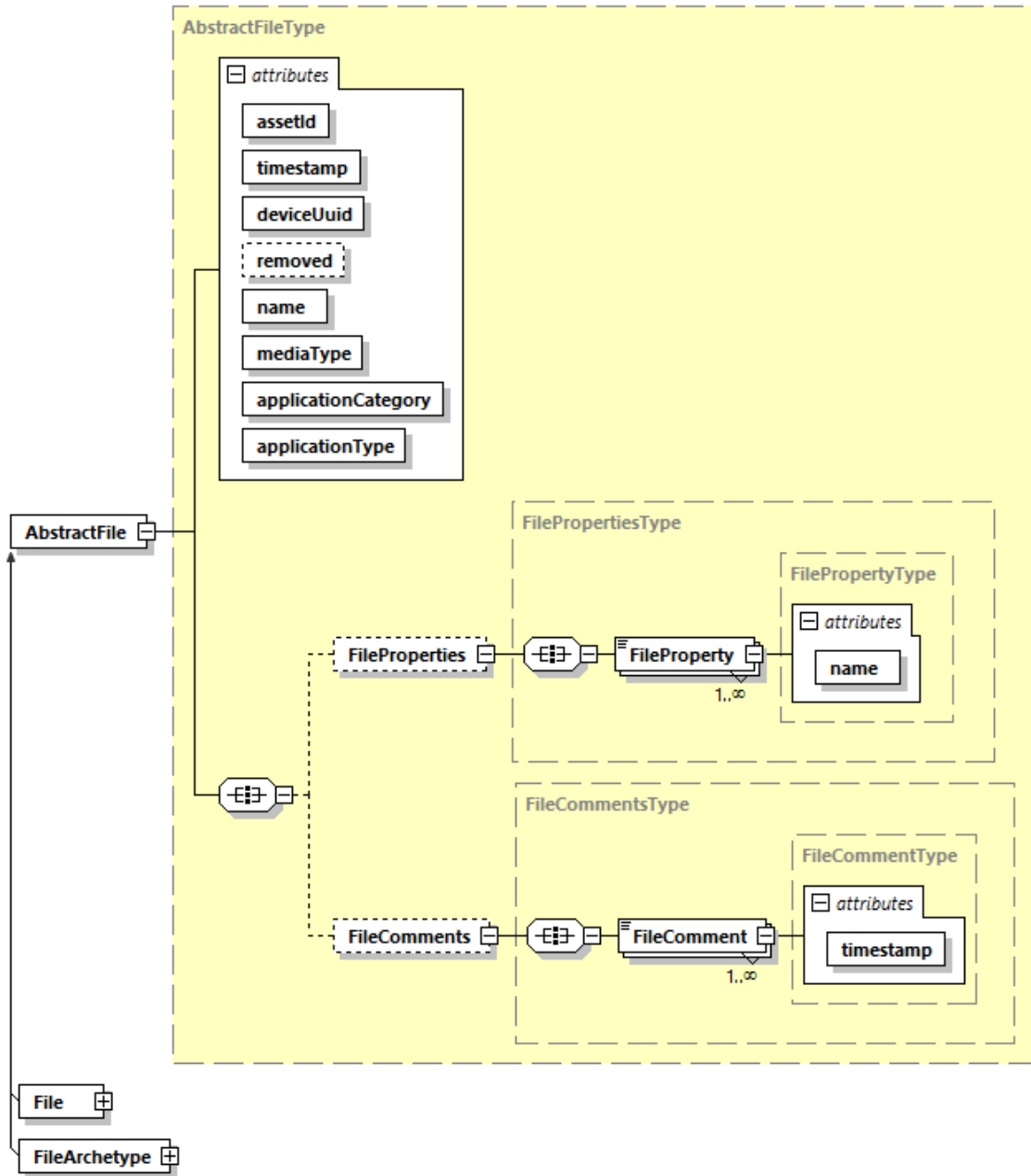


Figure 1: AbstractFile Diagram

### 202 3.1.1 Attributes for AbstractFile

203 *Table 1* lists the attributes for an `AbstractFile` element in addition to attributes inher-  
 204 ited from `Asset` element.

**Table 1:** Attributes for AbstractFile

Attribute	Description	Occurrence
name	The name of the file. The value of <code>name</code> <b>MUST</b> be a string.	1
mediaType	The mime type of the file. The value of <code>mediaType</code> <b>MUST</b> be a string.	1
applicationCategory	The category of application that will use this file.	1
applicationType	The type of application that will use this file.	1

#### 205 3.1.1.1 AbstractFile applicationCategory types

206 *Table 2* lists the types for `applicationCategory` attribute of `AbstractFile` ele-  
 207 ment.

**Table 2:** AbstractFile applicationCategory types

type	Description
ASSEMBLY	Files regarding the fully assembled product.
DEVICE	Device related files.
HANDLING	Files relating to the handling of material.
MAINTENANCE	File relating to equipment maintenance.
PART	Files relating to a part.
PROCESS	Files related to the manufacturing process.
INSPECTION	Files related to the quality inspection.
SETUP	Files related to the setup of a process.

208 **3.1.1.2 AbstractFile applicationType types**

209 *Table 3* lists the types for applicationType attribute of AbstractFile element.

**Table 3:** AbstractFile applicationType types

type	Description
DESIGN	Computer aided design files or drawings.
DATA	Generic data.
DOCUMENTATION	Documentation regarding a category of file.
INSTRUCTIONS	User instructions regarding the execution of a task.
LOG	The data related to the history of a machine or process.
PRODUCTION_PROGRAM	Machine instructions to perform a process.

210 **3.1.2 Elements for AbstractFile**

211 *Table 4* lists the elements for an AbstractFile element.

**Table 4:** Elements for AbstractFile

Element	Description	Occurrence
FileProperties	FileProperties <i>organizes</i> one or more FileProperty entities for Files.	0..1
FileComments	FileComments <i>organizes</i> one or more FileComment entities for Files.	0..1

212 **3.1.3 FileProperty**

213 A key-value pair providing additional metadata about a File.

214 The value for FileProperty **MUST** be a string.

215 **3.1.3.1 Attributes for FileProperty**



216 *Table 5* lists the attributes for a `FileProperty` element.

**Table 5:** Attributes for `FileProperty`

Attribute	Description	Occurrence
<code>name</code>	The name of the <code>FileProperty</code>	1

## 217 3.1.4 FileComment

218 A remark or interpretation for human interpretation associated with a `File` or `FileArchetype`.

219 The value for `FileComment` **MUST** be a string.

### 220 3.1.4.1 Attributes for FileComment

221 *Table 6* lists the attributes for a `FileComment` element.

**Table 6:** Attributes for `FileComment`

Attribute	Description	Occurrence
<code>timestamp</code>	The time the comment was made. The value for <code>timestamp</code> <b>MUST</b> be reported in ISO 8601 format.	1

## 222 3.2 File

223 The `File Asset` is an `AbstractFile` with information about the `File` instance and  
224 its URL.

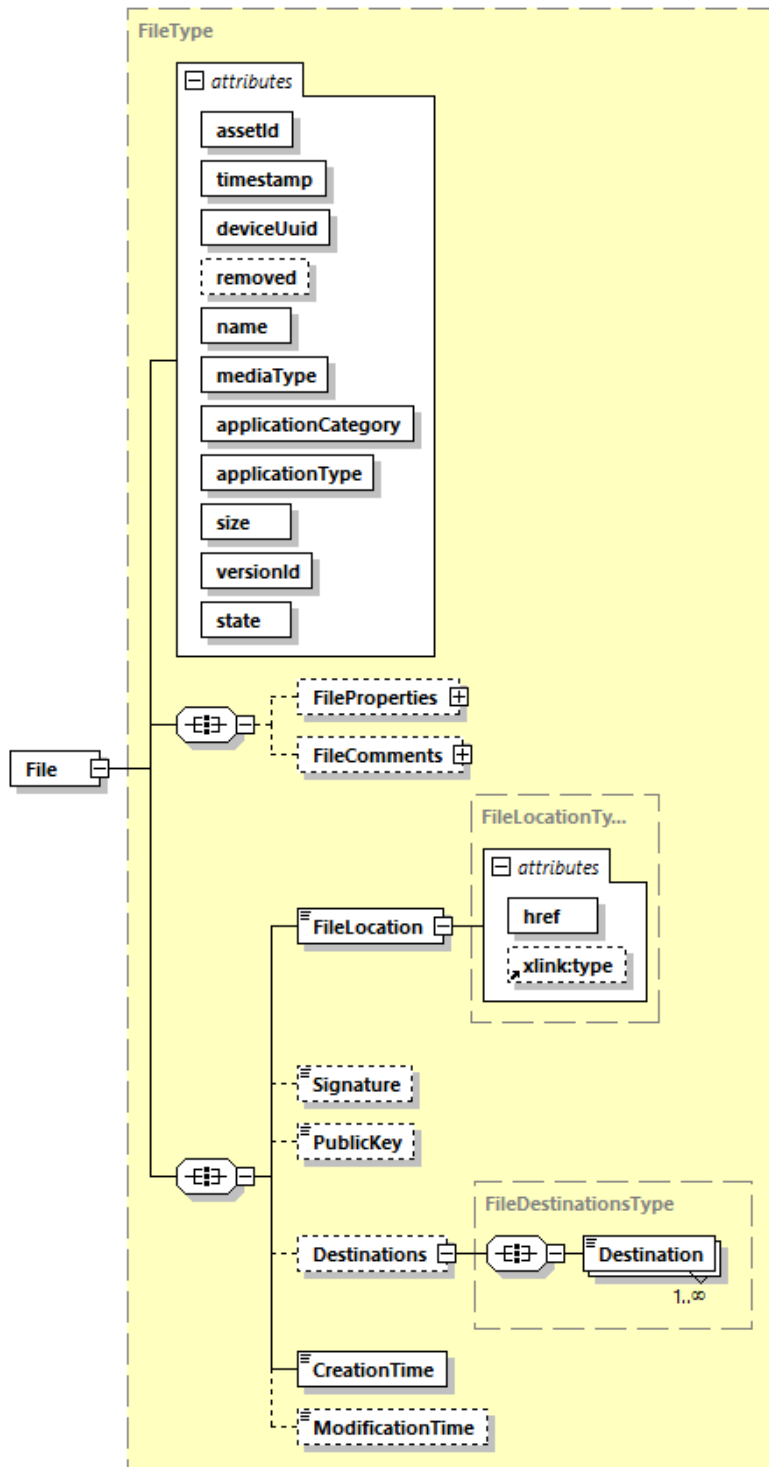


Figure 2: File Diagram

### 225 3.2.1 Attributes for File

226 *Table 7* lists the attributes for a `File` element in addition to attributes inherited from  
 227 `AbstractFile` (See *Section 3.1 - AbstractFile*).

**Table 7:** Attributes for File

Attribute	Description	Occurrence
<code>size</code>	The size of the file in bytes. The value of <code>size</code> <b>MUST</b> be an integer.	1
<code>versionId</code>	The version identifier of the file. The value of <code>versionId</code> <b>MUST</b> be a string.	1
<code>state</code>	The state of the file.	1

#### 228 3.2.1.1 File states

229 *Table 8* lists the values for `state` attribute of `File` element.

**Table 8:** File states

type	Description
EXPERIMENTAL	Used for processes other than production or otherwise defined.
PRODUCTION	Used for production processes.
REVISION	The content is modified from PRODUCTION or EXPERIMENTAL.

### 230 3.2.2 Elements for File

231 *Table 9* lists the elements for a `File` element.

**Table 9:** Elements for File

Element	Description	Occurrence
Signature	A secure hash of the file. The value for <code>Signature</code> <b>MUST</b> be an x509 data block.	0..1
PublicKey	The public key used to verify the signature. The value for <code>PublicKey</code> <b>MUST</b> be an x509 data block.	0..1
CreationTime	The time the file was created. The value for <code>CreationTime</code> <b>MUST</b> be reported in ISO 8601 format.	1
ModificationTime	The time the file was modified. The value for <code>ModificationTime</code> <b>MUST</b> be reported in ISO 8601 format.	0..1
FileLocation	The URL reference to the file location.	1
Destinations	<code>Destinations</code> <i>organizes</i> one or more <code>Destination</code> elements.	0..1

### 232 3.2.3 FileLocation

233 The URL reference to the file location.

#### 234 3.2.3.1 Attributes for FileLocation

235 *Table 10* lists the attributes for a `FileLocation` element.

**Table 10:** Attributes for FileLocation

Attribute	Description	Occurrence
href	A URL reference to the file. <code>href</code> is of type <code>xlink:href</code> from the W3C XLink specification.	1

Continuation of Table 10		
Attribute	Description	Occurrence
xlink:type	The type of href for the xlink href type. <b>MUST</b> be locator referring to a URL.	0..1

## 236 3.2.4 Destination

237 The Destination is a reference to the target Device for this File.

### 238 3.2.4.1 Attributes for Destination

239 Table 11 lists the attributes for a Destination element.

**Table 11:** Attributes for Destination

Attribute	Description	Occurrence
deviceUuid	uuid of the target device or application.	1

## 240 3.3 FileArchetype

241 FileArchetype Asset is an AbstractFile providing information common to all  
242 versions of a file.

243 See Section 3.1 - AbstractFile for details on the FileArchetype model.

## 244 Appendices

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