MTConnect Specification and Materials

The Association For Manufacturing Technology (AMT) owns the copyright in this MTConnect Specification or Material. AMT grants to you a non-exclusive, non-transferable, revocable, non-sublicensable, fully-paid-up copyright license to reproduce, copy and re-distribute this MTConnect Specification or Material, provided that you may only copy or redistribute the MTConnect Specification or Material in the form in which you received it, without modifications, and with all copyright notices and other notices and disclaimers contained in the MTConnect Specification or Material.

If you intend to adopt or implement an MTConnect Specification or Material in a product, whether hardware, software or firmware, which complies with an MTConnect Specification, you shall agree to the MTConnect Specification Implementer License Agreement (“Implementer License”) or to the MTConnect Intellectual Property Policy and Agreement (“IP Policy”). The Implementer License and IP Policy each sets forth the license terms and other terms of use for MTConnect Implementers to adopt or implement the MTConnect Specifications, including certain license rights covering necessary patent claims for that purpose. These materials can be found at [www.MTConnect.org](http://www.MTConnect.org), or by contacting [mailto:info@MTConnect.org](mailto:info@MTConnect.org).

MTConnect Institute and AMT have no responsibility to identify patents, patent claims or patent applications which may relate to or be required to implement a Specification, or to determine the legal validity or scope of any such patent claims brought to their attention. Each MTConnect Implementer is responsible for securing its own licenses or rights to any patent or other intellectual property rights that may be necessary for such use, and neither AMT nor MTConnect Institute have any obligation to secure any such rights.

This Material and all MTConnect Specifications and Materials are provided “as is” and MTConnect Institute and AMT, and each of their respective members, officers, affiliates, sponsors and agents, make no representation or warranty of any kind relating to these materials or to any implementation of the MTConnect Specifications or Materials in any product, including, without limitation, any expressed or implied warranty of noninfringement, merchantability, or fitness for particular purpose, or of the accuracy, reliability, or completeness of information contained herein. In no event shall MTConnect Institute or AMT be liable to any user or implementer of MTConnect Specifications or Materials for the cost of procuring substitute goods or services, lost profits, loss of use, loss of data or any incidental, consequential, indirect, special or punitive damages or other direct damages, whether under contract, tort, warranty or otherwise, arising in any way out of access, use or inability to use the MTConnect Specification or other MTConnect Materials, whether or not they had advance notice of the possibility of such damage.
# Table of Contents

1. Overview of MTConnect .......................................................... 2

2. Purpose of This Document ......................................................... 7

3. Terminology and Conventions .................................................... 8
   3.1 Glossary ............................................................................. 8
   3.2 MTConnect References ....................................................... 31

4. MTConnect Standard ................................................................. 32
   4.1 MTConnect Documents Organization ..................................... 32
   4.2 MTConnect Document Versioning ......................................... 33
      4.2.1 Document Releases ...................................................... 34
   4.3 MTConnect Document Naming Conventions .......................... 35
      4.3.1 Document Title .......................................................... 35
      4.3.2 Electronic Document File Naming .................................. 35
   4.4 Document Conventions ....................................................... 36
      4.4.1 Use of MUST, SHOULD, and MAY ................................. 36
      4.4.2 Text Conventions ....................................................... 36
      4.4.3 Code Line Syntax and Conventions ............................... 37
      4.4.4 Semantic Data Model Content ..................................... 38
      4.4.5 Referenced Standards and Specifications ....................... 38
      4.4.6 Deprecation and Deprecation Warnings ......................... 39
         4.4.6.1 Deprecation ....................................................... 39
         4.4.6.2 Deprecation Warning ........................................... 39
   4.5 Backwards Compatibility .................................................... 40

5. MTConnect Fundamentals .......................................................... 41
   5.1 Agent ................................................................................. 41
      5.1.1 Instance of an Agent .................................................... 43
      5.1.2 Storage of Equipment Metadata for a Piece of Equipment .... 43
      5.1.3 Storage of Streaming Data ............................................ 44
         5.1.3.1 Management of Streaming Data Storage ................... 44
         5.1.3.2 Sequence Numbers .............................................. 45
         5.1.3.3 Buffer Data Structure ........................................... 48
         5.1.3.4 Time Stamp ......................................................... 49
         5.1.3.5 Recording Occurrences of Streaming Data ................ 50
         5.1.3.6 Maintaining Last Value for Data Entities ................. 50
         5.1.3.7 Unavailability of Data .......................................... 51
         5.1.3.8 Persistence and Recovery ..................................... 51
         5.1.3.9 Heartbeat .......................................................... 52
         5.1.3.10 Data Sets .......................................................... 52
5.1.4 Storage of Documents for MTConnect Assets ........................................... 52
5.2 Response Documents ...................................................................................... 54
5.2.1 XML Documents ......................................................................................... 55
5.3 Semantic Data Models ..................................................................................... 56
5.4 Request/Response Information Exchange .......................................................... 57
5.5 Accessing Information from an Agent ............................................................... 58
5.5.1 Accessing Equipment Metadata from an Agent ........................................... 58
5.5.2 Accessing Streaming Data from the Buffer of an Agent ............................ 58
5.5.3 Accessing MTConnect Assets Information from an Agent .......................... 60

6 XML Representation of Response Documents ..................................................... 61
   6.1 Fundamentals of Using XML to Encode Response Documents ...................... 62
   6.2 XML Declaration ......................................................................................... 63
   6.3 Root Element ............................................................................................... 63
      6.3.1 MTConnectDevices Root Element ....................................................... 63
      6.3.1.1 MTConnectDevices Elements ......................................................... 64
      6.3.2 MTConnectStreams Root Element ....................................................... 65
      6.3.2.1 MTConnectStreams Elements ......................................................... 66
      6.3.3 MTConnectAssets Root Element ....................................................... 66
      6.3.3.1 MTConnectAssets Elements ......................................................... 67
      6.3.4 MTConnectError Root Element ......................................................... 67
      6.3.4.1 MTConnectError Elements ......................................................... 68
   6.4 Schema and Namespace Declaration ............................................................ 69
   6.5 Document Header ....................................................................................... 69
      6.5.1 Header for MTConnectDevices ......................................................... 70
      6.5.1.1 XML Schema Structure for Header for MTConnectDe-
                  vices ................................................................................................. 70
      6.5.1.2 Attributes for Header for MTConnectDevices ................................ 70
      6.5.2 Header for MTConnectStreams ......................................................... 75
      6.5.2.1 XML Schema Structure for Header for MTConnectStreams .......... 75
      6.5.2.2 Attributes for MTConnectStreams Header ..................................... 75
      6.5.3 Header for MTConnectAssets ......................................................... 80
      6.5.3.1 XML Schema Structure for Header for MTConnectAssets .......... 81
      6.5.3.2 Attributes for Header for MTConnectAssets ................................ 81
      6.5.4 Header for MTConnectError ............................................................. 85
      6.5.4.1 XML Schema Structure for Header for MTConnectError .......... 85
      6.5.4.2 Attributes for Header for MTConnectError ................................ 86
   6.6 Document Body ......................................................................................... 90
   6.7 Extensibility .............................................................................................. 91

7 Protocol and Messaging ...................................................................................... 94

8 HTTP Messaging Supported by an Agent .......................................................... 96
<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.1</td>
<td>REST Interface</td>
<td>96</td>
</tr>
<tr>
<td>8.2</td>
<td>HTTP Request</td>
<td>96</td>
</tr>
<tr>
<td>8.2.1</td>
<td>authority Portion of an HTTP Request Line</td>
<td>97</td>
</tr>
<tr>
<td>8.2.2</td>
<td>path Portion of an HTTP Request Line</td>
<td>98</td>
</tr>
<tr>
<td>8.2.3</td>
<td>query Portion of an HTTP Request Line</td>
<td>98</td>
</tr>
<tr>
<td>8.3</td>
<td>MTConnect Request/Response Information Exchange Implemented with HTTP</td>
<td>98</td>
</tr>
<tr>
<td>8.3.1</td>
<td>Probe Request Implemented Using HTTP</td>
<td>99</td>
</tr>
<tr>
<td>8.3.1.1</td>
<td>Path Portion of the HTTP Request Line for a Probe Request</td>
<td>99</td>
</tr>
<tr>
<td>8.3.1.2</td>
<td>Query Portion of the HTTP Request Line for a Probe Request</td>
<td>99</td>
</tr>
<tr>
<td>8.3.1.3</td>
<td>Response to a Probe Request</td>
<td>100</td>
</tr>
<tr>
<td>8.3.1.4</td>
<td>HTTP Status Codes for a Probe Request</td>
<td>100</td>
</tr>
<tr>
<td>8.3.2</td>
<td>Current Request Implemented Using HTTP</td>
<td>102</td>
</tr>
<tr>
<td>8.3.2.1</td>
<td>Path Portion of the HTTP Request Line for a Current Request</td>
<td>102</td>
</tr>
<tr>
<td>8.3.2.2</td>
<td>Query Portion of the HTTP Request Line for a Current Request</td>
<td>102</td>
</tr>
<tr>
<td>8.3.2.3</td>
<td>Response to a Current Request</td>
<td>104</td>
</tr>
<tr>
<td>8.3.2.4</td>
<td>HTTP Status Codes for a Current Request</td>
<td>105</td>
</tr>
<tr>
<td>8.3.3</td>
<td>Sample Request Implemented Using HTTP</td>
<td>107</td>
</tr>
<tr>
<td>8.3.3.1</td>
<td>Path Portion of the HTTP Request Line for a Sample Request</td>
<td>107</td>
</tr>
<tr>
<td>8.3.3.2</td>
<td>Query Portion of the HTTP Request Line for a Sample Request</td>
<td>108</td>
</tr>
<tr>
<td>8.3.3.3</td>
<td>Response to a Sample Request</td>
<td>114</td>
</tr>
<tr>
<td>8.3.3.4</td>
<td>HTTP Status Codes for a Sample Request</td>
<td>114</td>
</tr>
<tr>
<td>8.3.4</td>
<td>Asset Request Implemented Using HTTP</td>
<td>116</td>
</tr>
<tr>
<td>8.3.4.1</td>
<td>Path Portion of the HTTP Request Line for an Asset Request</td>
<td>117</td>
</tr>
<tr>
<td>8.3.4.2</td>
<td>Query Portion of the HTTP Request Line for an Asset Request</td>
<td>117</td>
</tr>
<tr>
<td>8.3.4.3</td>
<td>Response to an Asset Request</td>
<td>118</td>
</tr>
<tr>
<td>8.3.4.4</td>
<td>HTTP Status Codes for an Asset Request</td>
<td>119</td>
</tr>
<tr>
<td>8.3.5</td>
<td>HTTP Errors</td>
<td>120</td>
</tr>
<tr>
<td>8.3.6</td>
<td>Streaming Data</td>
<td>121</td>
</tr>
<tr>
<td>8.3.6.1</td>
<td>Heartbeat</td>
<td>122</td>
</tr>
<tr>
<td>8.3.7</td>
<td>References</td>
<td>123</td>
</tr>
<tr>
<td>9</td>
<td>Error Information Model</td>
<td>124</td>
</tr>
<tr>
<td>9.1</td>
<td>MTConnectError Response Document</td>
<td>124</td>
</tr>
<tr>
<td>9.1.1</td>
<td>Structural Element for MTConnectError</td>
<td>124</td>
</tr>
</tbody>
</table>
## Table of Figures

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Basic MTConnect Implementation Structure</td>
<td>4</td>
</tr>
<tr>
<td>2</td>
<td>MTConnect Architecture Model</td>
<td>41</td>
</tr>
<tr>
<td>3</td>
<td>Data Storage in Buffer</td>
<td>44</td>
</tr>
<tr>
<td>4</td>
<td>First In First Out Buffer Management</td>
<td>44</td>
</tr>
<tr>
<td>5</td>
<td>instanceId and sequence</td>
<td>46</td>
</tr>
<tr>
<td>6</td>
<td>Identifying the range of data with firstSequence and lastSequence</td>
<td>46</td>
</tr>
<tr>
<td>7</td>
<td>Identifying the range of data with from and count</td>
<td>47</td>
</tr>
<tr>
<td>8</td>
<td>Identifying the range of data with nextSequence and lastSequence</td>
<td>48</td>
</tr>
<tr>
<td>9</td>
<td>Data Storage Concept</td>
<td>49</td>
</tr>
<tr>
<td>10</td>
<td>First In First Out Asset Buffer Management</td>
<td>53</td>
</tr>
<tr>
<td>11</td>
<td>Relationship between assetId and stored Asset documents</td>
<td>53</td>
</tr>
<tr>
<td>12</td>
<td>Example Buffer</td>
<td>59</td>
</tr>
<tr>
<td>13</td>
<td>MTConnectDevices Structure</td>
<td>64</td>
</tr>
<tr>
<td>14</td>
<td>MTConnectStreams Structure</td>
<td>65</td>
</tr>
<tr>
<td>15</td>
<td>MTConnectAssets Structure</td>
<td>66</td>
</tr>
<tr>
<td>16</td>
<td>MTConnectError Structure</td>
<td>68</td>
</tr>
<tr>
<td>17</td>
<td>Header Schema Diagram for MTConnectDevices</td>
<td>70</td>
</tr>
<tr>
<td>18</td>
<td>Header Schema Diagram for MTConnectStreams</td>
<td>75</td>
</tr>
<tr>
<td>19</td>
<td>Header Schema Diagram for MTConnectAssets</td>
<td>81</td>
</tr>
<tr>
<td>20</td>
<td>Header Schema Diagram for MTConnectError</td>
<td>86</td>
</tr>
<tr>
<td>21</td>
<td>Errors Schema Diagram</td>
<td>125</td>
</tr>
<tr>
<td>22</td>
<td>Error Schema Diagram</td>
<td>127</td>
</tr>
</tbody>
</table>
List of Tables

Table 1: Elements for MTConnectDevices ........................................... 64
Table 2: Elements for MTConnectStreams ........................................... 66
Table 3: Elements for MTConnectAssets ........................................... 67
Table 4: Elements for MTConnectError ............................................ 69
Table 5: MTConnectDevices Header .................................................... 71
Table 6: MTConnectStreams Header ................................................... 76
Table 7: MTConnectAssets Header ...................................................... 82
Table 8: MTConnectError Header ....................................................... 87
Table 9: Relationship between Response Document and Semantic Data Model 90
Table 10: Path of the HTTP Request Line for a Probe Request ................. 99
Table 11: HTTP Status Codes for a Probe Request ................................ 100
Table 12: Path of the HTTP Request Line for a Current Request .............. 102
Table 13: Query Parameters of the HTTP Request Line for a Current Request 103
Table 14: HTTP Status Codes for a Current Request ............................... 105
Table 15: Path of the HTTP Request Line for a Sample Request .............. 108
Table 16: Query Parameters of the HTTP Request Line for a Sample Request 108
Table 17: HTTP Status Codes for a Sample Request ............................... 115
Table 18: Path of the HTTP Request Line for an Asset Request ............... 117
Table 19: Query Parameters of the HTTP Request Line for an Asset Request 118
Table 20: HTTP Status Codes for an Asset Request ................................ 119
Table 21: MTConnect Errors Element .................................................. 125
Table 22: Attributes for Error .............................................................. 127
Table 23: Values for errorCode ......................................................... 128
1 Overview of MTConnect

MTConnect is a data and information exchange standard that is based on a data dictionary of terms describing information associated with manufacturing operations. The standard also defines a series of semantic data models that provide a clear and unambiguous representation of how that information relates to a manufacturing operation. The MTConnect Standard has been designed to enhance the data acquisition capabilities from equipment in manufacturing facilities, to expand the use of data driven decision making in manufacturing operations, and to enable software applications and manufacturing equipment to move toward a plug-and-play environment to reduce the cost of integration of manufacturing software systems.

The MTConnect standard supports two primary communications methods – Request/Response and Publish/Subscribe type of communications. The Request/Response communications structure is used throughout this document to describe the functionality provided by MTConnect. See Section 8.3.6 - Streaming Data for details describing the functionality of the Publish/Subscribe communications structure available from an Agent.

Although the MTConnect Standard has been defined to specifically meet the requirements of the manufacturing industry, it can also be readily applied to other application areas as well.

The MTConnect Standard is an open, royalty free standard – meaning that it is available for anyone to download, implement, and utilize in software systems at no cost to the implementer.

The semantic data models defined in the MTConnect Standard provide the information required to fully characterize data with both a clear and unambiguous meaning and a mechanism to directly relate that data to the manufacturing operation where the data originated. Without a semantic data model, client software applications must apply an additional layer of logic to raw data to convey this same level of meaning and relationship to manufacturing operations. The approach provided in the MTConnect Standard for modeling and organizing data allows software applications to easily interpret data from a wide variety of data sources which reduces the complexity and effort to develop applications.

The data and information from a broad range of manufacturing equipment and systems are addressed by the MTConnect Standard. Where the data dictionary and semantic data models are insufficient to define some information within an implementation, an implementer may extend the data dictionary and semantic data models to address their specific requirements. See Section 6.7 - Extensibility for guidelines related to extensibility of the MTConnect Standard.
To assist in implementation, the MTConnect Standard is built upon the most prevalent standards in the manufacturing and software industries. This maximizes the number of software tools available for implementation and provides the highest level of interoperability with other standards, software applications, and equipment used throughout manufacturing operations.

Current MTConnect implementations are based on HTTP as a transport protocol and XML as a language for encoding each of the semantic data models into electronic documents. All software examples provided in the various MTConnect Standard documents are based on these two core technologies.

The base functionality defined in the MTConnect Standard is the data dictionary describing manufacturing information and the semantic data models. The transport protocol and the programming language used to represent or transfer the information provided by the semantic data models are not restricted in the standard to HTTP and XML. Therefore, other protocols and programming languages may be used to represent the semantic models and/or transport the information provided by these data models between an Agent (server) and a client software application as may be required by a specific implementation.

Note: The term "document" is used with different meanings in the MTConnect Standard:

- Meaning 1: The MTConnect Standard itself is comprised of multiple documents each addressing different aspects of the Standard. Each document is referred to as a Part of the Standard.

- Meaning 2: In an MTConnect implementation, the electronic documents that are published from a data source and stored by an Agent.

- Meaning 3: In an MTConnect implementation, the electronic documents generated by an Agent for transmission to a client software application.

The following will be used throughout the MTConnect Standard to distinguish between these different meanings for the term "document":

- MTConnect Document(s) or Document(s) shall be used to refer to printed or electronic document(s) that represent a Part(s) of the MTConnect Standard.

- All reference to electronic documents that are received from a data source and stored in an Agent shall be referred to as "Document(s)" and are typically provided with a prefix identifier; e.g. Asset Document.
All references to electronic documents generated by an Agent and sent to a client software application shall be referred to as a "Response Document".

When used with no additional descriptor, the form "document" shall be used to refer to any printed or electronic document.

Manufacturing software systems implemented utilizing MTConnect can be represented by a very simple structure as shown in Figure [7].

The three basic modules that comprise a software system implemented using MTConnect are:

- **Equipment**: Any data source. In the MTConnect Standard, equipment is defined as any tangible property that is used to equip the operations of a manufacturing facility. Examples of equipment are machine tools, ovens, sensor units, workstations, software applications, and bar feeders.

- **Agent**: Software that collects data published from one or more piece(s) of equipment, organizes that data in a structured manner, and responds to requests for data from client software systems by providing a structured response in the form of a Response Document that is constructed using the semantic data models defined in the Standard.

  Note: The Agent may be fully integrated into the piece of equipment or the Agent may be independent of the piece of equipment. Implementation of an Agent is the responsibility of the supplier of the piece of equipment and/or the implementer of the Agent.

- **Client Software Application**: Software that requests data from Agents and processes that data in support of manufacturing operations.
Based on Figure 7, it is important to understand that the MTConnect Standard only addresses the following functionality and behavior of an Agent:

- the method used by a client software application to request information from an Agent
- the response that an Agent provides to a client software application.
- a data dictionary used to provide consistency in understanding the meaning of data reported by a data source.
- the description of the semantic data models used to structure Response Documents provided by an Agent to a client software application.

These functions are the primary building blocks that define the Base Functional Structure of the MTConnect Standard.

There are a wide variety of data sources (equipment) and data consumption systems (client software systems) used in manufacturing operations. There are also many different uses for the data associated with a manufacturing operation. No single approach to implementing a data communication system can address all data exchange and data management functions typically required in the data driven manufacturing environment. MTConnect has been uniquely designed to address this diversity of data types and data usages by providing different semantic data models for different data application requirements:

Data Collection: The most common use of data in manufacturing is the collection of data associated with the production of products and the operation of equipment that produces those products. The MTConnect Standard provides comprehensive semantic data models that represent data collected from manufacturing operations. These semantic data models are detailed in MTConnect Standard: Part 2.0 - Devices Information Model and MTConnect Standard: Part 3.0 - Streams Information Model of the MTConnect Standard.

Inter-operations Between Pieces of Equipment: The MTConnect Standard provides an Interaction Model that structures the information required to allow multiple pieces of equipment to coordinate actions required to implement manufacturing activities. This Interaction Model is an implementation of a Request/Response messaging structure. This Interaction Model is called Interfaces which is detailed in MTConnect Standard: Part 5.0 - Interfaces of the MTConnect Standard.

Shared Data: Certain information used in a manufacturing operation is commonly shared among multiple pieces of equipment and/or software applications. This information is not typically "owned" by any one manufacturing resource. The MTConnect
Standard represents this information through a series of semantic data models – each describing different types of information used in the manufacturing environment. Each type of information is called an MTConnect Asset. MTConnect Assets are detailed in MTConnect Standard: Part 4.0 - Assets Information Model, and its sub-Parts, of the MTConnect Standard.
2 Purpose of This Document

This document, *MTConnect Standard Part 1.0 - Overview and Fundamentals* of the MTConnect Standard, addresses two major topics relating to the MTConnect Standard. The first sections of the document define the organization of the documents used to describe the MTConnect Standard; including the terms and terminology used throughout the Standard. The balance of the document defines the following:

- Operational concepts describing how an Agent should organize and structure data that has been collected from a data source.
- Definition and structure of the Response Documents supplied by an Agent.
- The protocol used by a client software application to communicate with an Agent.
3 Terminology and Conventions

3.1 Glossary

CDATA

General meaning:
An abbreviation for Character Data.
CDATA is used to describe a value (text or data) published as part of an XML element.
For example, "This is some text" is the CDATA in the XML element:
<Message ...>This is some text</Message>
Appears in the documents in the following form: CDATA

HTTP
Hyper-Text Transport Protocol. The protocol used by all web browsers and web applications.
Note: HTTP is an IETF standard and is defined in RFC 7230.

NMTOKEN
The data type for XML identifiers.
Note: The identifier must start with a letter, an underscore "_" or a colon. The next character must be a letter, a number, or one of the following ".", "-", "_", "!". The identifier must not have any spaces or special characters.
Appears in the documents in the following form: NMTOKEN

REST
Stands for REpresentational State Transfer: A software architecture where a client software application and server move through a series of state transitions based solely on the request from the client and the response from the server.
Appears in the documents in the following form: REST

URI
Stands for Universal Resource Identifier.
See http://www.w3.org/TR/uri-clarification/#RFC3986
URL
Stands for Uniform Resource Locator.
See http://www.w3.org/TR/uri-clarification/#RFC3986

URN
Stands for Uniform Resource Name.
See http://www.w3.org/TR/uri-clarification/#RFC3986

UTC/GMT
Stands for Coordinated Universal Time/Greenwich Mean Time.
UTC/GMT is the primary time standard by which the world regulates clocks and
time.
The time stamp for all information reported in an MTConnect Response Document
is provided in UTC/GMT format.

UUID
General meaning:
Stands for Universally Unique Identifier. (Can also be referred to as a GUID in some
literature Globally Unique Identifier).
Note: Defined in RFC 4122 of the IETF. See https://www.ietf.org/rfc/rfc4122.txt
for more information.
Appears in the documents in the following form: UUID.
Used as an attribute for an XML element:
Used as an attribute that provides a unique identity for a piece of information re-
ported by an Agent.
Appears in the documents in the following form: uuid.

W3C
The World Wide Web Consortium (W3C) is an international community that devel-
ops open standards to ensure the long-term growth of the Web.
See https://www.w3.org/.

XML
Stands for eXtensible Markup Language.
XML defines a set of rules for encoding documents that both a human-readable and
machine-readable.
XML is the language used for all code examples in the MTConnect Standard.
Refer to http://www.w3.org/XML for more information about XML.
**XPath**

General meaning:

XPath is a command structure that describes a way for a software system to locate information in an XML document.

XPath uses an addressing syntax based on a path through the document’s logical structure.

See http://www.w3.org/TR/xpath for more information on XPath.

Appears in the documents in the following form: XPath

**Abstract Element**

An element that defines a set of common characteristics that are shared by a group of elements.

An abstract element cannot appear in a document. In a specific implementation of a schema, an abstract element is replaced by a derived element that is itself not an abstract element. The characteristics for the derived element are inherited from the abstract element.

Appears in the documents in the following form: abstract.

**Adapter**

An optional piece of hardware or software that transforms information provided by a piece of equipment into a form that can be received by an Agent.

Appears in the documents in the following form: adapter.

**Agent**

Refers to an MTConnect Agent.

Software that collects data published from one or more piece(s) of equipment, organizes that data in a structured manner, and responds to requests for data from client software systems by providing a structured response in the form of a Response Document that is constructed using the semantic data models defined in the Standard.

Appears in the documents in the following form: Agent

**alarm limits**

A set of limits used to trigger warning or alarm indicators.

**Application Programming Interface**

A set of methods to provide communications between software applications.

The API defined in the MTConnect Standard describes the methods for providing the Request/Response Information Exchange between an Agent and client software applications.
Appears in the documents in the following forms: Application Programming Interface or API.

**Archetype**

General Description of an *Archetype*

Archetype is a class of *MTConnect Assets* that provides the requirements, constraints, and common properties for a type of *MTConnect Asset*.

Appears in the documents in the following form: Archetype.

Used as an XML term describing an *MTConnect Asset*.

In an XML representation of the *Asset Information Models*, Archetype is an abstract element that is replaced by a specific type of *Asset* Archetype.

Appears in the documents in the following form: Archetype.

**Asset**

An item, thing or entity that has potential or actual value to an organization *Ref: ISO 55000:2014(en)*

Note 1 to entry: Value can be tangible or intangible, financial or non-financial, and includes consideration of risks and liabilities. It can be positive or negative at different stages of the asset life.

Note 2 to entry: Physical assets usually refer to equipment, inventory and properties owned by the organization. Physical assets are the opposite of intangible assets, which are non-physical assets such as leases, brands, digital assets, use rights, licences, intellectual property rights, reputation or agreements.

Note 3 to entry: A grouping of assets referred to as an asset system could also be considered as an asset.

**Asset Document**

An electronic document published by an *Agent* in response to a *Request* for information from a client software application relating to Assets.

**Attachment**

The connection by which one thing is associated with another.

**Attribute**

A term that is used to provide additional information or properties for an element.

Appears in the documents in the following form: attribute.
**Base Functional Structure**

A consistent set of functionalities defined by the MTConnect Standard. This functionality includes the protocol(s) used to communicate data to a client software application, the semantic data models defining how that data is organized into [Response Documents](#) and the encoding of those [Response Documents](#). Appears in the documents in the following form: Base Functional Structure.

**buffer**

General meaning:

A section of an [Agent](#) that provides storage for information published from pieces of equipment.

Used relative to [Streaming Data](#):

A section of an [Agent](#) that provides storage for information relating to individual pieces of [Streaming Data](#).

Appears in the documents in the following form: buffer.

Used relative to [MTConnect Assets](#):

A section of an [Agent](#) that provides storage for [Asset Documents](#).

Appears in the documents in the following form: assets buffer.

**Child Element**

A portion of a data modeling structure that illustrates the relationship between an element and the higher-level [Parent Element](#) within which it is contained.

Appears in the documents in the following form: Child Element.

**Client**

A process or set of processes that send [Requests](#) for information to an [Agent](#), e.g. software applications or a function that implements the [Request](#) portion of an [Interface Interaction Model](#).

Appears in the documents in the following form: client.

**Component**

General meaning:

A [Structural Element](#) that represents a physical or logical part or subpart of a piece of equipment.

Appears in the documents in the following form: Component.

Used in [Information Models](#):

A data modeling element used to organize the data being retrieved from a piece of equipment.
• When used as an XML container to organize **Lower Level** Component elements.  
  Appears in the documents in the following form: Components.

• When used as an abstract XML element. Component is replaced in a data model by a type of **Component** element. Component is also an XML container used to organize **Lower Level** Component elements, **Data Entities** or both. 
  Appears in the documents in the following form: Component.

**Composition**

**General meaning:**  
Data modeling elements that describe the lowest level basic structural or functional building blocks contained within a Component element.  
Appears in the documents in the following form: **Composition**

**Used in** [Information Models]:

A data modeling element used to organize the data being retrieved from a piece of equipment. 

• When used as an XML container to organize Composition elements.  
  Appears in the documents in the following form: Compositions

• When used as an abstract XML element. Composition is replaced in a data model by a type of Composition element.  
  Appears in the documents in the following form: Composition.

**Condition**

An indicator of the ability of a piece of equipment or **Component** to function to specification.

**control limits**

A set of limits used to indicate whether a process variable is stable and in control.

**Controlled Vocabulary**

A restricted set of values that may be published as the **Valid Data Value** for a **Data Entity**.  
Appears in the documents in the following form: Controlled Vocabulary

**current**

occurring in or existing at the present time.
**Current Request**

Current Request is a Request to an Agent to produce an MTConnectStreams Response Document containing the Observations Information Model for a snapshot of the latest observations at the moment of the Request or at a given sequence number.

**data dictionary**

Listing of standardized terms and definitions used in MTConnect Information Models. Appears in the documents in the following form: data dictionary.

**Data Entity**

A primary data modeling element that represents all elements that either describe data items that may be reported by an Agent or the data items that contain the actual data published by an Agent. Appears in the documents in the following form: Data Entity.

**Data Item**

General meaning:

Descriptive information or properties and characteristics associated with a Data Entity. Appears in the documents in the following form: data item.

- Used in an XML representation of a Data Entity:
  - When used as an XML container to organize DataItem elements. Appears in the documents in the following form: DataItems.
  - When used to represent a specific Data Entity, the form DataItem is an XML element. Appears in the documents in the following form: DataItem.

**Data Set**

A set of key-value pairs where each entry is uniquely identified by the key.

**Data Source**

Any piece of equipment that can produce data that is published to an Agent. Appears in the documents in the following form: data source.
**Data Streaming**

A method for an Agent to provide a continuous stream of information in response to a single Request from a client software application.

Appears in the documents in the following form: **Data Streaming**.

**Deprecated**

An indication that specific content in an MTConnect Document is currently usable but is regarded as being obsolete or superseded. It is recommended that deprecated content should be avoided.

Appears in the documents in the following form: **DEPRECATED**.

**Deprecation Warning**

An indicator that specific content in an MTConnect Document may be changed to DEPRECATED in a future release of the standard.

Appears in the documents in the following form: **DEPRECATION WARNING**.

**Devices Information Model**

A set of rules and terms that describes the physical and logical configuration for a piece of equipment and the data that may be reported by that equipment.

Appears in the documents in the following form: **Devices Information Model**.

**Document**

A piece of written, printed, or electronic matter that provides information or evidence that serves as an official record.

**Document Body**

The portion of the content of an MTConnect Response Document that is defined by the relative MTConnect Information Model. The Document Body contains the Structural Elements and Data Entities reported in a Response Document.

Appears in the documents in the following form: **Document Body**.

**Document Header**

The portion of the content of an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, protocol, and other information associated with the management of the data stored in or retrieved from the Agent.

Appears in the documents in the following form: **Document Header**.

**electric current**

The rate of flow of electric charge.
**Element**

Refers to an XML element. An XML element is a logical portion of an XML document or schema that begins with a start-tag and ends with a corresponding end-tag. The information provided between the start-tag and end-tag may contain attributes, other elements (sub-elements), and/or CDATA.

Note: Also, an XML element may consist of an empty-element tag. Refer to Appendix B for more information on element tags.

Appears in the documents in the following form: element.

**Element Name**

A descriptive identifier contained in both the start-tag and end-tag of an XML element that provides the name of the element.

Appears in the documents in the following form: element name.

Used to describe the name for a specific XML element:

Reference to the name provided in the start-tag, end-tag, or empty-element tag for an XML element.

Appears in the documents in the following form: **Element Name**.

**engineering units**

A quantity, dimension, or magnitude used in engineering adopted as a standard in terms of which the magnitude of other quantities of the same kind can be expressed or calculated.

**Equipment**

Represents anything that can publish information and is used in the operations of a manufacturing facility shop floor. Examples of equipment are machine tools, ovens, sensor units, workstations, software applications, and bar feeders.

Appears in the documents in the following form: equipment or piece of equipment.

**Equipment Metadata**

See [Metadata]

**Error Information Model**

The rules and terminology that describes the Response Document returned by an Agent when it encounters an error while interpreting a Request for information from a client software application or when an Agent experiences an error while publishing the Response to a Request for information.

Appears in the documents in the following form: **Error Information Model**.
The ability for an implementer to extend the MTConnect Information Models by adding content not currently addressed in the MTConnect Standard.

In the MTConnect Standard, a term that indicates the reported status of a Condition category Data Entity. Appears in the documents in the following form: Fault State.

A push or pull on a mass which results in an acceleration.

General meaning: A function that indicates to a client application that the communications connection to an Agent is still viable during times when there is no new data available to report often referred to as a "keep alive" message. Appears in the documents in the following form: heartbeat.

The form heartbeat is used as a parameter in the query portion of an HTTP Request Line. Appears in the documents in the following form: heartbeat.

A nested element that is above a lower level element.

In the MTConnect Standard, a response provided by an Agent indicating that an HTTP Request is incorrectly formatted or identifies that the requested data is not available from the Agent. Appears in the documents in the following form: HTTP Error Message.

In the MTConnect Standard, the content of the Header portion of either an HTTP Request from a client software application or an HTTP Response from an Agent. Appears in the documents in the following form: HTTP Header.
HTTP Message

An HTTP Message consists of requests from client to server and responses from server to client. Ref: IETF: RFC-2616

HTTP Method

In the MTConnect Standard, a portion of a command in an HTTP Request that indicates the desired action to be performed on the identified resource; often referred to as verbs.

HTTP Request

In the MTConnect Standard, a communications command issued by a client software application to an Agent requesting information defined in the HTTP Request Line.

Appears in the documents in the following form: HTTP Request.

HTTP Request Line

In the MTConnect Standard, the first line of an HTTP Request describing a specific Response Document to be published by an Agent.

Appears in the documents in the following form: HTTP Request Line.

HTTP Response

In the MTConnect Standard, the information published from an Agent in reply to an HTTP Request. An HTTP Response may be either a Response Document or an HTTP Error Message.

Appears in the documents in the following form: HTTP Response.

HTTP Server

In the MTConnect Standard, a software program that accepts HTTP Requests from client software applications and publishes HTTP Responses as a reply to those Requests.

Appears in the documents in the following form: HTTP Server.

HTTP Status Code

In the MTConnect Standard, a numeric code contained in an HTTP Response that defines a status category associated with the Response either as a success status or a category of an HTTP error.

Appears in the documents in the following form: HTTP Status Code.
**id**

**General meaning:**
An identifier used to distinguish a piece of information.

Appears in the documents in the following form: id.

**Used as an XML attribute:**
When used as an attribute for an XML element - Structural Element, Data Entity, or Asset, id provides a unique identity for the element within an XML document.

Appears in the documents in the following form: id.

**Implementation**
A specific instantiation of the MTConnect Standard.

**Information Model**
The rules, relationships, and terminology that are used to define how information is structured.

For example, an information model is used to define the structure for each MTConnect Response Document; the definition of each piece of information within those documents and the relationship between pieces of information.

Appears in the documents in the following form: Information Model.

**instance**
Describes a set of Streaming Data in an Agent. Each time an Agent is restarted with an empty buffer, data placed in the buffer represents a new instance of the Agent.

Appears in the documents in the following form: instance.

**Interaction Model**
Defines how information is exchanged across an Interface between independent systems.

**Interface**
The means by which communication is achieved between independent systems.

**key**
A unique identifier in a key-value pair association.

**key-value pair**
An association between an identifier referred to as the key and a value which taken together create a key-value pair. When used in a set of key-value pairs, each key is unique and will only have one value associated with it at any point in time.
Lower Level
A nested element that is below a higher level element.

lower limit
The lower conformance boundary for a variable.

Note: immediate concern or action may be required.

lower warning
The lower boundary indicating increased concern and supervision may be required.

maximum
A numeric upper constraint.

Message
A communication in writing, in speech, or by signals.

Metadata
Data that provides information about other data.

For example, Equipment Metadata defines both the Structural Elements that represent the physical and logical parts and sub-parts of each piece of equipment, the relationships between those parts and sub-parts, and the definitions of the Data Entities associated with that piece of equipment.

Appears in the documents in the following form: Metadata or Equipment Metadata.

minimum
A numeric lower constraint.

MTConnect Agent
See definition for Agent

MTConnect Asset
An MTConnect Asset is an Asset used by the manufacturing process to perform tasks.

Note 1 to entry: An MTConnect Asset relies upon an MTConnect Device to provide observations and information about itself and the MTConnect Device revises the information to reflect changes to the MTConnect Asset during their interaction. Examples of MTConnect Assets are Cutting Tools, Part Information, Manufacturing Processes, Fixtures, and Files.
Note 2 to entry: A singular assetId uniquely identifies an MTConnect Asset throughout its lifecycle and is used to track and relate the MTConnect Asset to other MTConnect Devices and entities.

Note 3 to entry: MTConnect Assets are temporally associated with a device and can be removed from the device without damage or alteration to its primary functions.

**MTConnect Device**

An MTConnect Device is a piece of equipment or a manufacturing system that produces observations about itself and/or publishes data using the MTConnect Information Model.

**MTConnect Document**

Printed or electronic document(s) that represent a Part(s) of the MTConnect Standard.

**MTConnect Event**

An MTConnect Event is an observation of either a state or discrete value of the Component. Component states SHOULD have a controlled vocabulary.

**MTConnect Information Model**

See Information Model.

**MTConnect Interface**

An Interaction Model for interoperability between pieces of equipment.

**MTConnect Request**

A communication request for information issued from a client software application to an Agent. Appears in the documents in the following form: MTConnect Request.

**MTConnect XML Document**

See Response Document.

**MTConnectAssets Response Document**

A Response Document published by an MTConnect Agent in response to an Asset Request.
**MTConnectDevices Response Document**

A [Response Document](#) published by an [MTConnect Agent](#) in response to a [Probe Request](#).

**MTConnectErrors Response Document**

An electronic document published by an [Agent](#) whenever it encounters an error while interpreting a [Request](#) for information from a client software application or when an [Agent](#) experiences an error while publishing the [Response](#) to a [Request](#) for information.

Appears in the documents in the following form: [MTConnectErrors Response Document](#).

**MTConnectStreams Response Document**

A [Response Document](#) published by an [MTConnect Agent](#) in response to a [Current Request](#) or a [Sample Request](#).

**nominal**

The ideal or desired value for a variable.

**observable**

A quality, property, or characteristic that can be observed.

**observation**

The observed value of a property at a point in time.

**Observations Information Model**

An [Information Model](#) that describes the [Streaming Data](#) reported by a piece of equipment.

**observe**

The act of measuring or determining the value of a property at a point in time.

**organize**

The act of containing and owning one or more elements.

**organizer**

An element that contains and owns one or more elements.
**parameter**

General Meaning:

A variable that must be given a value during the execution of a program or a communications command.

When used as part of an **HTTP Request**:

Represents the content (keys and associated values) provided in the **Query** portion of an **HTTP Request Line** that identifies specific information to be returned in a **Response Document**.

Appears in the documents in the following form: parameter.

**Parent Element**

An XML element used to organize **Lower Level** child elements that share a common relationship to the **Parent Element**.

Appears in the documents in the following form: **Parent Element**.

**Part**

**Part** is defined as a discrete item that has both defined and measurable physical characteristics including mass, material and features and is created by applying one or more manufacturing process steps to a workpiece.

**Persistence**

A method for retaining or restoring information.

**Probe**

An instrument commonly used for measuring the physical geometrical characteristics of an object.

**Probe Request**

A **Probe Request** is a **Request** to an **Agent** to produce an **MTConnectDevices Response Document** containing the **Devices Information Model**.

**Protocol**

A set of rules that allow two or more entities to transmit information from one to the other.

**Publish/Subscribe**

In the MTConnect Standard, a communications messaging pattern that may be used to publish **Streaming Data** from an **Agent**. When a **Publish/Subscribe** communication method is established between a client software application and an **Agent**,
the Agent will repeatedly publish a specific MTConnectStreams document at a defined period.

Appears in the documents in the following form: Publish/Subscribe

**Query**

**General Meaning:**
A portion of a request for information that more precisely defines the specific information to be published in response to the request.

Appears in the documents in the following form: Query

Used in an HTTP Request Line

The form query includes a string of parameters that define filters used to refine the content of a Response Document published in response to an HTTP Request

Appears in the documents in the following form: query.

**raw material**

Crude or processed material that can be converted by manufacture, processing, or combination into a new and useful product.

**Reference**

Reference is a pointer to information that is associated with another Structural Element.

**Request**

A communications method where a client software application transmits a message to an Agent. That message instructs the Agent to respond with specific information.

Appears in the documents in the following form: Request

**Request/Response**

A communications pattern that supports the transfer of information between an Agent and a client software application. In a Request/Response information exchange, a client software application requests specific information from an Agent. An Agent responds to the Request by publishing a Response Document

Appears in the documents in the following form: Request/Response

**Requester**

An entity that initiates a Request for information in a communications exchange.

Appears in the documents in the following form: Requester
A reset is associated with an occurrence of a Data Entity indicated by the reset-Triggered attribute. When a reset occurs, the accumulated value or statistic are reverted back to their initial value. A Data Entity with a Data Set representation removes all key-value pairs, setting the Data Set to an empty set.

**Responder**

An entity that responds to a Request for information in a communications exchange. Appears in the documents in the following form: Responder.

**Response Document**

An electronic document published by an MTConnect Agent in response to a Probe Request, Current Request, Sample Request or Asset Request.

**Root Element**

The first Structural Element provided in a Response Document encoded using XML. The Root Element is an XML container and is the Parent Element for all other XML elements in the document. The Root Element appears immediately following the XML Declaration.

Appears in the documents in the following form: Root Element.

**Sample**

General meaning:

The collection of one or more pieces of information.

Used when referring to the collection of information:

When referring to the collection of a piece of information from a data source.

Appears in the documents in the following form: sample.

Used as an MTConnect Request:

When representing a specific type of communications request between a client software application and an Agent regarding Streaming Data.

Appears in the documents in the following form: Sample Request.

Used as part of an HTTP Request:

Used in the path portion of an HTTP Request Line by a client software application, to initiate a Sample Request to an Agent to publish an MTConnect Streams document.

Appears in the documents in the following form: sample.

Used to describe a Data Entity.
Used to define a specific type of **Data Entity**. A **Sample** type **Data Entity** reports the value for a continuously variable or analog piece of information.

Appears in the documents in the following form: **Sample** or **Samples**.

Used as an XML container or element:

- When used as an XML container that consists of one or more types of Sample XML elements.
  - Appears in the documents in the following form: **Samples**.
- When used as an abstract XML element. It is replaced in the XML document by types of Sample elements representing individual **Sample** type of **Data Entity**.
  - Appears in the documents in the following form: **Sample**.

**Sample Request**

A **Sample Request** is a **Request** to an **Agent** to produce an **MTConnectStreams Response Document** containing the **Observations Information Model** for a set of time-stamped **observations** made by **Components**.

**schema**

General meaning:

The definition of the structure, rules, and vocabularies used to define the information published in an electronic document.

Appears in the documents in the following form: **schema**.

Used in association with an **MTConnect Response Document**:

Identifies a specific schema defined for an **MTConnect Response Document**.

Appears in the documents in the following form: **schema**.

**semantic data model**

A methodology for defining the structure and meaning for data in a specific logical way.

It provides the rules for encoding electronic information such that it can be interpreted by a software system.

Appears in the documents in the following form: **semantic data model**.

**sensing element**

A mechanism that provides a signal or measured value.
Sensor
A sensing element that responds to a physical stimulus and transmits a resulting signal.

Sensor Configuration
Data in the [MTConnectDevices Response Document] that provides the information required for maintenance and support of the sensor unit.

Sensor Data
The value of a physical quantity reported by a measuring instrument or controller as an observation.

sensor element
A sensor element provides a signal or measured value.

data

sensor unit
An intelligent piece of equipment that manages the signals of one or more sensing elements and provides the measured values.

sequence number
The primary key identifier used to manage and locate a specific piece of Streaming Data in an Agent. sequence number is a monotonically increasing number within an instance of an Agent. Appears in the documents in the following form: sequence number.

specification limits
A set of limits defining a range of values designating acceptable performance for a variable.

Spindle
A mechanism that provides rotational capabilities to a piece of equipment. Typically used for either work holding, materials or cutting tools.

Standard
General meaning:
A document established by consensus that provides rules, guidelines, or characteristics for activities or their results (as defined in ISO/IEC Guide 2:2004).

Used when referring to the MTConnect Standard:
The MTConnect Standard is a standard that provides the definition and semantic data structure for information published by pieces of equipment. Appears in the documents in the following form: Standard or MTConnect Standard.

**Streaming Data**

The values published by a piece of equipment for the Data Entities defined by the Equipment Metadata. Appears in the documents in the following form: Streaming Data.

**Streams Information Model**

The rules and terminology (semantic data model) that describes the Streaming Data returned by an Agent from a piece of equipment in response to a Sample Request or a Current Request. Appears in the documents in the following form: Streams Information Model.

**Structural Element**

General meaning:

An XML element that organizes information that represents the physical and logical parts and sub-parts of a piece of equipment. Appears in the documents in the following form: Structural Element.

Used to indicate hierarchy of Components:

When used to describe a primary physical or logical construct within a piece of equipment. Appears in the documents in the following form: Top Level Structural Element.

When used to indicate a Child Element which provides additional detail describing the physical or logical structure of a Top Level Structural Element. Appears in the documents in the following form: Lower Level Structural Element.

**subtype**

General meaning:

A secondary or subordinate type of categorization or classification of information. In software and data modeling, a subtype is a type of data that is related to another higher-level type of data. Appears in the documents in the following form: subtype.

Used as an attribute for a Data Entity. Used as an attribute that provides a sub-categorization for the type attribute for a piece of information. Appears in the documents in the following form: subType.
Table
A two dimensional set of values given by a set of key-value pairs Table Entries. Each Table Entry contains a set of key-value pairs of Table Cells. The Entry and Cell elements comprise a tabular representation of the information.

Table Cell
A subdivision of a Table Entry representing a singular value.

Table Entry
A subdivision of a Table containing a set of key-value pairs representing Table Cells.

time stamp
General meaning:
The best available estimate of the time that the value(s) for published or recorded information was measured or determined.

Appears in the documents as "time stamp".

Used as an attribute for recorded or published data:
An attribute that identifies the time associated with a Data Entity as stored in an Agent.

Appears in the documents in the following form: timestamp.

Top Level
Structural Elements that represent the most significant physical or logical functions of a piece of equipment.

type
General meaning:
A classification or categorization of information.

In software and data modeling, a type is a grouping function to identify pieces of information that share common characteristics.

Appears in the documents in the following form: type.

Used as an attribute for a Data Entity.

Used as an attribute that provides a categorization for piece of information that share common characteristics.

Appears in the documents in the following form: type.
**upper limit**

The upper conformance boundary for a variable.

Note: immediate concern or action may be required.

**upper warning**

The upper boundary indicating increased concern and supervision may be required.

**Valid Data Value**

One or more acceptable values or constrained values that can be reported for a Data Entity. Appears in the documents in the following form: `Valid Data Value(s)`.

**WARNING**

General Meaning:

A statement or action that indicates a possible danger, problem, or other unexpected situation.

Used relative to changes in an **MTConnect Document**. Used to indicate that specific content in an **MTConnect Document** may be changed in a future release of the standard.

Appears in the documents in the following form: **WARNING** .

Used as a `Valid Data Value` for a **Condition**.

Appears in the documents in the following form: **WARNING**.

Used as an **Element Name** for a **Data Entity**.

Appears in the documents in the following form: Warning.

**XML Container**

In the MTConnect Standard, a type of XML element.

An XML container is used to organize other XML elements that are logically related to each other. A container may have either `Data Entities` or other `Structural Elements` as Child Elements.
XML Document

An XML document is a structured text file encoded using XML.

An XML document is an instantiation of an XML schema. It has a single root XML element, conforms to the XML specification, and is structured based upon a specific schema.

MTConnect Response Documents may be encoded as an XML document.

XML Schema

In the MTConnect Standard, an instantiation of a schema defining a specific document encoded in XML.

3.2 MTConnect References


4 MTConnect Standard

The MTConnect Standard is organized in a series of documents (also referred to as MTConnect Documents) that each address a specific set of requirements defined by the Standard. Each MTConnect Document will be referred to as a Part of the Standard; e.g., *MTConnect Standard Part 1.0 - Overview and Fundamentals*. Together, these documents describe the base functional structure specified in the MTConnect Standard.

Implementation of any manufacturing data management system may utilize information from any number of these documents. However, it is not necessary to realize all information contained in these documents for any one specific implementation.

4.1 MTConnect Documents Organization

The MTConnect specification is organized into the following documents:

- **MTConnect Standard Part 1.0 - Overview and Fundamentals**: Provides an overview of the MTConnect Standard and defines the terminology and structure used throughout all documents associated with the Standard. Additionally, *MTConnect Part 1.0* describes the functions provided by an Agent and the protocol used to communicate with an Agent.

- **MTConnect Standard: Part 2.0 - Devices Information Model**: Defines the semantic data model that describes the data that can be supplied by a piece of equipment. This model details the XML elements used to describe the structural and logical configuration for a piece of equipment. It also describes each type of data that may be supplied by a piece of equipment in a manufacturing operation.

- **MTConnect Standard: Part 3.0 - Streams Information Model**: Defines the semantic data model that organizes the data that is collected from a piece of equipment and transferred to a client software application from an Agent.

- **MTConnect Standard: Part 4.0 - Assets Information Model**: Provides an overview of MTConnect Assets and the functions provided by an Agent to communicate information relating to Assets. The various semantic data models describing each type of MTConnect Asset are defined in sub-Part documents (Part 4.x) of the MTConnect Standard.

- **MTConnect Standard: Part 5.0 - Interfaces**: Defines the MTConnect implementation of the Interaction Model used to coordinate actions between pieces of equipment used in manufacturing systems.
4.2 MTConnect Document Versioning

The MTConnect Standard will be periodically updated with new and expanded functionality. Each new release of the Standard will include additional content adding new functionality and/or extensions to the semantic data models defined in the Standard.

The MTConnect Standard uses a three-digit version numbering system to identify each release of the Standard that indicates the progression of enhancements to the Standard. The format used to identify the documents in a specific version of the MTConnect Standard is:

major.minor.revision

major – Identifier representing a consistent set of functionalities defined by the MTConnect Standard. This functionality includes the protocol(s) used to communicate data to a client software application, the semantic data models defining how that data is organized into Response Documents and the encoding of those Response Documents. This set of functionalities is referred to as the Base Functional Structure.

When a release of the MTConnect Standard removes or modifies any of the protocol(s), semantic data models, or encoding of the Response Documents included in the Base Functional Structure in such a way that it breaks backward compatibility and a client software application can no longer communicate with an Agent or cannot interpret the information provided by an Agent, the major version identifier for the Documents in the release is revised to a successively higher number.

See Section 4.5 - Backwards Compatibility for details regarding the interaction between a client software application and versions of the MTConnect Standard.

minor – Identifier representing a specific set of functionalities defined by the MTConnect Standard. Each release of the Standard (with a common major version identifier) includes new and/or expanded functionality – protocol extensions, new or extended semantic data models, and/or new programming languages. Each of these releases of the Standard is indicated by a successively higher minor version identifier.

If a new major version of the MTConnect Standard is released, the minor version identifier will be reset to 0.

revision – A supplemental identifier representing only organizational or editorial changes to a minor version document with no changes in the functionality described in that document.

New releases of a specific document are indicated by a successively higher revision version identifier.
If a new minor version of a document is released, the revision identifier will be reset to 0.

An example of the version identifier for a specific document would be:

Version M.N.R

### 4.2.1 Document Releases

A major revision change represents a substantial change to the MTConnect Standard. At the time of a major revision change, all documents representing the MTConnect Standard will be updated and released together.

A minor revision change represents some level of extended functionality supported by the MTConnect Standard. At the time of a minor version release, MTConnect Documents representing the changes or enhancements to the Standard will be updated as required. However, all documents, whether updated or not, will be released together with a new minor version number. Providing all documents at a common major and minor version makes it easier for implementers to manage the compatibility and upgrade of the different software tools incorporated into a manufacturing software system.

Since a revision represents no functional changes to the MTConnect Standard and includes only editorial or descriptive changes that enhance the understanding of the functionality supported by the Standard, individual documents within the Standard may be released at any time with a new revision and that release does not impact any other documents associated with the MTConnect Standard.

The latest released version of each document provided for the MTConnect Standard, and historical releases of those documents, are provided at http://www.mtconnect.org.
4.3 MTConnect Document Naming Conventions

MTConnect Documents are identified as follows:

4.3.1 Document Title

Each MTConnect Document MUST be identified as follows:

MTConnect® Standard

Part #.# - Title

Version M.N.R.

The following keys are used to distinguish different Parts of the MTConnect Standard and the version of the MTConnect Document:

`#.#` – Identifier of the specific Part and sub-Part of the MTConnect Standard

Title – Description of the type of information contained in the MTConnect Document

`M` – Indicator of the major version of the MTConnect Document

`N` – Indicator of the minor version of the MTConnect Document

`R` – Indicator of the revision of the MTConnect Document

For example, a release of MTConnect Standard: Part 2.0 - Devices Information Model would be:

MTConnect® Standard

Part 2.0 - Devices Information Model

Version 1.2.0

4.3.2 Electronic Document File Naming

Electronic versions of the MTConnect Documents will be provided in PDF format and follow this naming convention:

MTC_Part#-#_Title_M-N-R.pdf

MTConnect Part 1.0: Overview and Fundamentals - Version 1.8.0 35
The electronic version of the same release of *MTConnect Standard: Part 2.0 - Devices Information Model* would be:

MTC_Part_2-0_Devices_Information_Model_1-2-0.pdf

### 4.4 Document Conventions

Additional information regarding specific content in the MTConnect Standard is provided in the sections below.

#### 4.4.1 Use of MUST, SHOULD, and MAY

These words convey specific meaning in the MTConnect Standard when presented in capital letters, Times New Roman font, and a Bold font style.

- The word **MUST** indicates content that is mandatory to be provided in an implementation where indicated.
- The word **SHOULD** indicates content that is recommended, but the exclusion of which will not invalidate an implementation.
- The word **MAY** indicates content that is optional. It is up to the implementer to decide if the content is relevant to an implementation.
- The word **NOT** may be added to the words **MUST** or **SHOULD** to negate the requirement.

#### 4.4.2 Text Conventions

The following conventions will be used throughout the MTConnect Documents to provide a clear and consistent understanding of the use of each type of information used to define the MTConnect Standard.

These conventions are:

- Standard text is provided in Times New Roman font.
References to documents, sections or sub-sections of a document, or figures within a document are italicized; e.g., MTConnect Standard: Part 2.0 - Devices Information Model.

Terms with a specific meaning in the MTConnect Standard will be italicized; e.g., major indicating a version of the Standard.

When these same terms are used within the text without specific reference to their function within the MTConnect Standard, they will be provided as non-italicized font; e.g., major indicating a descriptor of another term.

Terms representing content of an MTConnect semantic data model or the protocol used in MTConnect will be provided in fixed size, Courier New font; e.g., component, probe, current.

When these same terms are used within the text without specific reference to their function within the MTConnect Standard, they will be provided as Times New Roman font.

Valid Data Values that are restricted to a limited or controlled vocabulary will be provided in upper case Courier New font with an _ (underscore) separating words. For example: ON, OFF, ACTUAL, COUNTER_CLOCKWISE, etc.

All descriptive attributes associated with each piece of data defined in a Response Document will be provided in Courier New font and camel case font style. For example: nativeUnits.

4.4.3 Code Line Syntax and Conventions

The following conventions will be used throughout the MTConnect Documents to describe examples of software code produced by an Agent or commands provided to an Agent from a client software application.

All examples are provided in fixed size Courier New font with line numbers.

These conventions are:

- XML Code examples:

  **Example 1: XML Code Examples**

```xml
1 <MTConnectStreams xmlns:m="urn:mtconnect.com:
2   MTConnectStreams:1.1" xmlns:xsi=
3   "http://www.w3.org/2001/XMLSchema-instance"
4 xmlns="urn:mtconnect.com:MTConnectStreams:1.1"
```
HTTP URL examples:

- http://<authority>/<path>[?<query>]

  When a portion of a URL is enclosed in angle brackets ("<" and ">"), that section of the URL is a place holder for specific information that will replace the term between the angle brackets.

  Note: The angle brackets in a URL do not relate to the angle brackets used as the tag elements in an XML example.

- A portion of a URL that is enclosed in square brackets "[" and "]" indicates that the enclosed content is optional.

- All other characters in the URL are literal.

### 4.4.4 Semantic Data Model Content

For each of the semantic data models defined in the MTConnect Standard, there are tables describing pieces of information provided in the data models. Each table has a column labeled Occurrence. Occurrence defines the number of times the content defined in the tables MAY be provided in the usage case specified.

- If the Occurrence is 1, the content MUST be provided.
- If the Occurrence is 0..1, the content MAY be provided and if provided, at most, only one occurrence of the content MUST be provided.
- If the Occurrence is 0..*, the content MAY be provided and any number of occurrences of the content MAY be provided.
- If the Occurrence is 1..*, one or more occurrences of the content MUST be provided.
- If the Occurrence is a number, e.g., 2, exactly that number of occurrences of the content MUST be provided.

  Note: "*" indicates multiple number of occurrences and is represented by ∞ in the figures.

### 4.4.5 Referenced Standards and Specifications

Other standards and specifications may be used to describe aspects of the protocol, data dictionary or semantic data models defined in the MTConnect Standard. When a spe-
specific standard or specification is referenced in the MTConnect Standard, the name of the standard or specification will be provided in italicized font.

See Section 3 - Terminology and Conventions Bibliography for a complete listing of standards and specifications used or referenced in the MTConnect Standard.

4.4.6 Deprecation and Deprecation Warnings

When the MTConnect Institute adds new functionality to the MTConnect Standard, the new content may supersede some of the functionality of existing content or significantly enhance one of the semantic data models. When this occurs, existing content may no longer be valid for use in the new version of the Standard.

4.4.6.1 Deprecation

In cases when new content supersedes the functionality of the existing content, the original content MUST no longer be included in future implementations – only the new content should be used.

The superseded content is identified by striking through the original content (original content) and marking the content with the words "DEPRECATED in Version M.N".

The deprecated content must remain in all future minor versions of the document. The content may be removed when a major version update is released. This provides implementers guidance on how to interpret data that may be provided from equipment utilizing an older version of the Standard. This content provides the information required for implementers to develop software applications that support backwards compatibility with older versions of the standard.

A software application may be designed to be compliant with any specific minor version of the standard. That software application may be collecting data from many different pieces of equipment. Each of these pieces of equipment may be providing data defined by the current version or any of the previous minor versions of the standard. To maintain compatibility with existing pieces of equipment, software applications should be implemented to interpret data defined in the current release of the MTConnect Standard, as well as all deprecated content associated with earlier versions of the Standard.

4.4.6.2 Deprecation Warning

When new content provides improved alternatives for defining the semantic data mod-
els, the MTConnect Institute may determine that the original content could possibly be deprecated in the future. When this occurs, a content will be marked with the words "DEPRECATION WARNING " to identify the content that may be deprecated in the future. This provides advanced notice to implementers that they should choose to utilize the improved alternatives when developing new products or software systems to avoid the possibility that the original content may be deprecated in a future version of the Standard.

### 4.5 Backwards Compatibility

MTConnect Documents with a different major version identifier represent a significant change in the Base Functional Structure of the MTConnect Standard. This means that the schema or protocol defined by the Standard may have changed in ways that will require software applications to change how they request and/or interpret data received from an Agent. Software applications should be fully version aware since no assumption of backwards compatibility should be assumed at the time of a major revision change to the MTConnect Standard.

The MTConnect Institute strives to maintain version compatibility through all minor revisions of the MTConnect Standard. New minor versions may introduce extensions to existing semantic data models, extend the protocol used to communicate to the Agent, and/or add new semantic data models to extend the functionality of the Standard. Client software applications may be designed to be compliant with any specific minor version of the MTConnect Standard. Additionally, software applications should be capable of interpreting information from an Agent providing data based upon a lower minor version identifier. It should also be capable of interpreting information from an Agent providing data based upon a higher minor version identifier of the MTConnect Standard than the version supported by the client, even though the client may ignore or not be capable of interpreting the extended content provided by the Agent.

A revision version of any MTConnect Document provides only editorial changes requiring no changes to an Agent or a client application.
5 MTConnect Fundamentals

The MTConnect Standard defines the functionality of an Agent. In an MTConnect installation, pieces of equipment publish information to an Agent. Client software applications request information from the Agent using a communications protocol. Based on the specific information that the client software application has requested from the Agent, the Agent forms a Response Document based upon one of the semantic data models defined in the MTConnect Standard and then transmits that document to the client software application.

Figure 2 illustrates the architecture of a typical MTConnect installation.

![Figure 2: MTConnect Architecture Model](image)

Note: In each implementation of a communication system based on the MTConnect Standard, there MUST be a schema defined that encodes the rules and terminology defined for each of the semantic data models. These schemas MAY be used by client software applications to validate the content and structure of the Response Documents published by an Agent.

5.1 Agent

An Agent is the centerpiece of an MTConnect implementation. It provides two primary functions:

- Organizes and manages individual pieces of information published by one or more pieces of equipment.
- Publishes that information in the form of a *Response Document* to client software applications.

The MTConnect Standard addresses the behavior of an *Agent* and the structure and meaning of the data published by an *Agent*. It is the responsibility of the implementer of an *Agent* to determine the means by which the behavior is achieved for a specific *Agent*.

An *Agent* is software that may be installed as part of a piece of equipment or it may be installed separately. When installed separately, an *Agent* may receive information from one or more pieces of equipment.

Some pieces of equipment may be able to communicate directly to an *Agent*. Other pieces of equipment may require an *Adapter* to transform the information provided by the equipment into a form that can be sent to an *Agent*. In either case, the method of transmitting information from the piece of equipment to an *Agent* is implementation dependent and is not addressed as part of the MTConnect Standard.

One function of an *Agent* is to store information that it receives from a piece of equipment in an organized manner. A second function of an *Agent* is to receive *Requests* for information from one or many client software applications and then respond to those *Requests* by publishing a *Response Document* that contains the requested information.

There are three types of information stored by an *Agent* that MAY be published in a *Response Document*. These are:

- *Equipment Metadata* defines the *Structural Elements* that represent the physical and logical parts and sub-parts of each piece of equipment that can publish data to the *Agent*, the relationships between those parts and sub-parts, and the *Data Entities* associated with each of those *Structural Elements*. This *Equipment Metadata* is provided in an *MTConnectDevices Response Document*. See MTConnect Standard: Part 2.0 - Devices Information Model for more information on *Equipment Metadata*.

- *Streaming Data* provides the values published by pieces of equipment for the *Data Entities* defined by the *Equipment Metadata*. *Streaming Data* is provided in an *MTConnectStreams Response Document*. See MTConnect Standard: Part 2.0 - Devices Information Model for more information on *Streaming Data*.

- *MTConnect Assets* represent information used in a manufacturing operation that is commonly shared amongst multiple pieces of equipment and/or software applications. *MTConnect Assets* are provided in an *MTConnectAssets Response Document*. See MTConnect Standard: Part 4.0 - Assets Information Model for more information on *MTConnect Assets*. 
The exchange between an Agent and a client software application is a Request and Response information exchange mechanism. See Section 5.4 - Request/Response Information Exchange for details on this Request/Response information exchange mechanism.

### 5.1.1 Instance of an Agent

As described above, an Agent collects and organizes values published by pieces of equipment. As with any piece of software, an Agent may be periodically restarted. When an Agent restarts, it MUST indicate to client software applications whether the information available in the buffer represents a completely new set of data or if the buffer includes data that had been collected prior to the restart of the Agent.

Any time an Agent is restarted and begins to collect a completely new set of Streaming Data, that set of data is referred to as an instance of the Agent. The Agent MUST maintain a piece of information called instanceId that represents the specific instance of the Agent. instanceId is represented by a 64-bit integer. The instanceId MAY be implemented using any mechanism that will guarantee that the value for instanceId will be unique each time the Agent begins collecting a new set of data.

When an Agent is restarted and it provides a method to recover all, or some portion, of the data that was stored in the buffer before it stopped operating, the Agent MUST use the same instanceId that was defined prior to the restart.

### 5.1.2 Storage of Equipment Metadata for a Piece of Equipment

An Agent MUST be capable of publishing Equipment Metadata for each piece of equipment that publishes information through the Agent. Equipment Metadata is typically a static file defining the Structural Elements associated with each piece of equipment reporting information through the Agent and the Data Entities that can be associated with each of these Structural Elements. See details on Structural Elements and Data Entities in MTConnect Standard: Part 2.0 - Devices Information Model.

The MTConnect Standard does not define the mechanism to be used by an Agent to acquire, maintain, or store the Equipment Metadata. This mechanism MUST be defined as part of the implementation of a specific Agent.
5.1.3 Storage of Streaming Data

Streaming Data that is published from a piece(s) of equipment to an Agent is stored by the Agent based upon the sequence upon which each piece of data is received. As described below, the order in which data is stored by the Agent is one of the factors that determines the data that may be included in a specific MTConnectStreams Response Document.

5.1.3.1 Management of Streaming Data Storage

An Agent stores a fixed amount of data. The amount of data stored by an Agent is dependent upon the implementation of a specific Agent. The examples below demonstrate how discrete pieces of data received from pieces of equipment are stored.

The method for storing Streaming Data in an Agent can be thought of as a tube that can hold a finite set of balls. Each ball represents the occurrence of a Data Entity published by a piece of equipment. This data is pushed in one end of the tube until there is no more room for additional balls. At that point, any new data inserted will push the oldest data out the back of the tube. The data in the tube will continue to shift in this manner as new data is received.

This tube is referred to as a buffer in an Agent.

![Figure 3: Data Storage in Buffer](image)

In Figure 4, the maximum number of Data Entities that can be stored in the buffer of the Agent is 8. The maximum number of Data Entities that can be stored in the buffer is represented by a value called bufferSize. This example illustrates that when the buffer fills up, the oldest piece of data falls out the other end.

![Figure 4: First In First Out Buffer Management](image)
This process constrains the memory storage requirements for an Agent to a fixed maximum size since the MTConnect Standard only requires an Agent to store a finite number of pieces of data.

As an implementation guideline, the buffer SHOULD be sized large enough to provide storage for a reasonable amount of information received from all pieces of equipment that are publishing information to that Agent. The implementer should also consider the impact of a temporary loss of communications between a client software application and an Agent when determining the size for the buffer. A larger buffer will allow a client software application more time to reconnect to an Agent without losing data.

### 5.1.3.2 Sequence Numbers

In an Agent, each occurrence of a Data Entity in the buffer will be assigned a monotonically increasing sequence number as it is inserted into the buffer. The sequence number is a 64-bit integer and the values assigned as sequence numbers will never wrap around or be exhausted; at least within the next 100,000 years based on the size of a 64-bit number.

Sequence number is the primary key identifier used to manage and locate a specific piece of data in an Agent. The sequence number associated with each Data Entity reported by an Agent is identified with an attribute called sequence.

The sequence number for each piece of data MUST be unique for an instance of an Agent (see Section 5.1.1 - Instance of an Agent for information on instances of an Agent). If data is received from more than one piece of equipment, the sequence numbers are based on the order in which the data is received regardless of which piece of equipment produced that data. The sequence number MUST be a monotonically increasing number that spans all pieces of equipment publishing data to an Agent. This allows for multiple pieces of equipment to publish data through a single Agent with no sequence number collisions and unnecessary protocol complexity.

The sequence number MUST be reset to one (1) each time an Agent is restarted and begins to collect a fresh set of data; i.e., each time instanceId is changed.

Figure 5 demonstrates the relationship between instanceId and sequence when an Agent stops and restarts and begins collecting a new set of data. In this case, the instanceId is changed to a new value and for sequence resets to one (1):
Figure 5: instanceId and sequence

<table>
<thead>
<tr>
<th>instanceId</th>
<th>sequence</th>
</tr>
</thead>
<tbody>
<tr>
<td>234556</td>
<td>234</td>
</tr>
<tr>
<td></td>
<td>235</td>
</tr>
<tr>
<td></td>
<td>236</td>
</tr>
<tr>
<td></td>
<td>237</td>
</tr>
<tr>
<td></td>
<td>238</td>
</tr>
</tbody>
</table>

Agent Stops and Restarts

<table>
<thead>
<tr>
<th>instanceId</th>
<th>sequence</th>
</tr>
</thead>
<tbody>
<tr>
<td>234557</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>5</td>
</tr>
</tbody>
</table>

Figure 5: instanceId and sequence

Figure 6 also shows two additional pieces of information defined for an Agent:

- firstSequence – the oldest piece of data contained in the buffer, i.e., the next piece of data to be moved out of the buffer
- lastSequence – the newest data added to the buffer

firstSequence and lastSequence provide guidance to a software application identifying the range of data available that may be requested from an Agent.

Figure 6: Identifying the range of data with firstSequence and lastSequence

When a client software application requests data from an Agent, it can specify both the sequence number of the first piece of data (from) that MUST be included in the Response.
Document and the total number (count) of pieces of data that SHOULD be included in that document.

In Figure 7, the request specifies that the data to be returned starts at sequence number 15 (from) and includes a total of three items (count).

**Figure 7: Identifying the range of data with from and count**

Once a Response to a Request has been completed, the value of nextSequence will be established. nextSequence is the sequence number of the next piece of data available in the buffer. In the example in Figure 7, the next sequence number (nextSequence) will be 18.

As shown in Figure 8, the combination of from and count defined by the Request indicates a sequence number for data that is beyond that which is currently in the buffer. In this case, nextSequence is set to a value of lastSequence + 1.
Figure 8: Identifying the range of data with nextSequence and lastSequence

5.1.3.3 Buffer Data Structure

The information in the `buffer` of an `Agent` can be thought of as a four-column table of data. Each column in the table represents:

- The first column is the `sequence number` associated with each `Data Entity` sequence.
- The second column is the time that the data was published by a piece of equipment. This time is defined as the `timestamp` associated with that `Data Entity`. See Section 5.1.3.4 - Time Stamp for details on `timestamp`.
- The third column, `dataItemId`, refers to the identity of `Data Entities` as they will appear in the `MTConnectStreams Response Document`. See Section 5 of `MTConnect Standard: Part 3.0 - Streams Information Model` for details on `dataItemId` for a `Data Entity` and how that identify relates to the `id` attribute of the corresponding `Data Entity` in the `Devices Information Model`.
- The fourth column is the value associated with each `Data Entity`.

Figure 9 is an example demonstrating the concept of how data may be stored in an `Agent`.
The storage mechanism for the data, the internal representation of the data, and the implementation of the Agent itself is not part of the MTConnect Standard. The implementer can choose both the amount of data to be stored in the Agent and the mechanism for how the data is stored. The only requirement is that an Agent publish the Response Documents in the required format.

### 5.1.3.4 Time Stamp

Each piece of equipment that publishes information to an Agent SHOULD provide a time stamp indicating when each piece of information was measured or determined. If no time stamp is provided, the Agent MUST provide a time stamp for the information based upon when that information was received at the Agent.

The timestamp associated with each piece of information is reported by an Agent as timestamp. timestamp MUST be reported in UTC (Coordinated Universal Time) format; e.g., "2010-04-01T21:22:43Z".

Note: Z refers to UTC/GMT time, not local time.

Client software applications should use the value of timestamp reported for each piece of information as the means for ordering when pieces of information were generated as opposed to using sequence for this purpose.
Note: It is assumed that timestamp provides the best available estimate of the time that the value(s) for the published information was measured or determined.

If two pieces of information are measured or determined at the exact same time, they **MUST** be reported with the same value for timestamp. Likewise, all information that is recorded in the buffer with the same value for timestamp should be interpreted as having been recorded at the same point in time; even if that data was published by more than one piece of equipment.

### 5.1.3.5 Recording Occurrences of Streaming Data

An **Agent** **MUST** record data in the buffer each time the value for that specific piece of data changes. If a piece of equipment publishes multiple occurrences of a piece of data with the same value, the **Agent** **MUST NOT** record multiple occurrence for that **Data Entity**.

Note: There is one exception to this rule. Some **Data Entities** may be defined with a representation attribute value of DISCRETE (DEPRECATED in Version 1.5) (See Section 7.2.2.12 of MTConnect Standard: Part 2.0 - Devices Information Model for details on representation.) In this case, each occurrence of the data represents a new and unique piece of information. The **Agent** **MUST** then record each occurrence of the **Data Entity** that is published by a piece of equipment.

The value for each piece of information reported by an **Agent** must be considered by a client software application to be valid until such a time that another occurrence of that piece of information is published by the **Agent**.

### 5.1.3.6 Maintaining Last Value for Data Entities

An **Agent** **MUST** retain a copy of the last available value associated with each **Data Entity** known to the **Agent**, even if an occurrence of that **Data Entity** is no longer in the buffer. This function allows an **Agent** to provide a software application a view of the last known value for each **Data Entity** associated with a piece of equipment.

The **Agent** **MUST** also retain a copy of the last value associated with each **Data Entity** that has flowed out of the buffer. This function allows an **Agent** to provide a software application a view of the last known value for each **Data Entity** associated with a **Current Request** with an at parameter in the query portion of its **HTTP Request Line** (See Section 8.3.2 - Current Request Implemented Using HTTP for details on **Current Request**).
5.1.3.7 Unavailability of Data

An Agent MUST maintain a list of Data Entities that MAY be published by each piece of equipment providing information to the Agent. This list of Data Entities is derived from the Equipment Metadata stored in the Agent for each piece of equipment.

Each time an Agent is restarted, the Agent MUST place an occurrence of every Data Entity in the buffer. The value reported for each of these Data Entities MUST be set to UNAVAILABLE and the timestamp for each MUST be set to the time that the last piece of data was collected by the Agent prior to the restart.

If at any time an Agent loses communications with a piece of equipment, or the Agent is unable to determine a valid value for all, or any portion, of the Data Entities published by a piece of equipment, the Agent MUST place an occurrence of each of these Data Entities in the buffer with its value set to UNAVAILABLE. This signifies that the value is currently indeterminate and no assumptions of a valid value for the data is possible.

Since an Agent may receive information from multiple pieces of equipment, it MUST consider the validity of the data from each of these pieces of equipment independently.

There is one exception to the rules above. Any Data Entity that is constrained to a constant data value MUST be reported with the constant value and the Agent MUST NOT set the value of that Data Entity to UNAVAILABLE.

Note: The schema for the Devices Information Model (defined in MTConnect Standard: Part 2.0 - Devices Information Model) defines how the value reported for an individual piece of data may be constrained to one or more specific values.

5.1.3.8 Persistence and Recovery

The implementer of an Agent must decide on a strategy regarding the storage of Streaming Data in the buffer of the Agent.

In the simplest form, an Agent can hold the buffer information in volatile memory where no data is persisted when the Agent is stopped. In this case, the Agent MUST update the value for instanceId when the Agent restarts to indicate that the Agent has begun to collect a new set of data.

If the implementation of an Agent provides a method of persisting and restoring all or a portion of the information in the buffer of the Agent (sequence numbers, time stamps, identify, and values), the Agent MUST NOT change the value of the instanceId when the Agent restarts. This will indicate to a client software application that it does not need to reset the value for nextSequence when it requests the next set of data from the Agent.
When an implementer chooses to provide a method to persist the information in an Agent, they may choose to store as much data as is practical in a recoverable storage system. Such a method may also include the ability to store historical information that has previously been pushed out of the buffer.

5.1.3.9 Heartbeat

An Agent MUST provide a function that indicates to a client application that the HTTP connection is still viable during times when there is no new data available to report in a Response Document. This function is defined as heartbeat. heartbeat represents the amount of time after a Response Document has been published until a new Response Document MUST be published, even when no new data is available. See Section 8.3.3.2 - Query Portion of the HTTP Request Line for a Sample Request for more details on configuring the heartbeat function.

5.1.3.10 Data Sets

See MTConnect Standard: Part 3.0 - Streams Information Model Section Part 3: DataItem with representation of DATA_SET for management of Data Sets.

5.1.4 Storage of Documents for MTConnect Assets

An Agent also stores information associated with MTConnect Assets. When a piece of equipment publishes a document that represents information associated with an MTConnect Asset, an Agent stores that document in a buffer. This buffer is called the assets buffer. The document is called an Asset Document. The assets buffer MUST be a separate buffer from the one where the Streaming Data is stored.

The Asset Document that is published by the piece of equipment MUST be organized based upon one of the applicable Asset Information Models defined in one of the Parts 4.x of the MTConnect Standard.

An Agent will only retain a limited number of Asset Documents in the assets buffer. The assets buffer functions similar to the buffer for Streaming Data; i.e., when the assets buffer is full, the oldest Asset Document is pushed from the buffer.
Figure 10 demonstrates the oldest Asset Document being pushed from the assets buffer when a new Asset Document is added and the assets buffer is full:

![Diagram of First In First Out Asset Buffer Management](image)

**Figure 10:** First In First Out Asset Buffer Management

Within an Agent, the management of Asset Documents behave like a key/value storage in a database. In the case of MTConnect Assets, the key is an identifier for an Asset (see details on assetId in MTConnect Standard: Part 4.0 - Assets Information Model) and the value is the Asset Document that was published by the piece of equipment.

Figure 11 demonstrates the relationship between the key (assetId) and the stored Asset Documents:

![Diagram of Relationship between assetId and stored Asset documents](image)

**Figure 11:** Relationship between assetId and stored Asset documents
Note: The key (`assetId`) is independent of the order of the `Asset Documents` stored in the `assets buffer`.

When an `Agent` receives a new `Asset Document` representing an `MTConnect Asset`, it must determine whether this document represents an `MTConnect Asset` that is not currently represented in the `assets buffer` or if the document represents new information for an `MTConnect Asset` that is already represented in the `assets buffer`. When a new `Asset Document` is received, one of the following MUST occur:

- If the `Asset Document` represents an `MTConnect Asset` that is not currently represented in the `assets buffer`, the `Agent` MUST add the new document to the front of the `assets buffer`. If the `assets buffer` is full, the oldest `Asset Document` will be removed from the `assets buffer`.

- If the `Asset Document` represents an `MTConnect Asset` that is already represented in the `assets buffer`, the `Agent` MUST remove the existing `Asset Document` representing that `MTConnect Asset` from the `assets buffer` and add the new `Asset Document` to the front of the `assets buffer`.

The `MTConnect Standard` does not specify the maximum number of `Asset Documents` that may be stored in the `assets buffer`; that limit is determined by the implementation of a specific `Agent`. The number of `Asset Documents` that may be stored in an `Agent` is defined by the value for `assetBufferSize` (See Section 6.5 - Document Header for more information on `assetBufferSize`). A value of `4,294,967,296` or `2^{32}` can be provided for `assetBufferSize` to indicate unlimited storage.

There is no requirement for an `Agent` to provide persistence for the `Asset Documents` stored in the `assets buffer`. If an `Agent` should fail, all `Asset Documents` stored in the `assets buffer` MAY be lost. It is the responsibility of the implementer to determine if `Asset Documents` stored in an `Agent` may be restored or if those `Asset Documents` are retained by some other software application.

Additional details on how an `Agent` organizes and manages information associated with `MTConnect Assets` are provided in `MTConnect Standard: Part 4.0 - Assets Information Model`.

### 5.2 Response Documents

`Response Documents` are electronic documents generated and published by an `Agent` in response to a `Request` for data.
The **Response Documents** defined in the MTConnect Standard are:

- **MTConnectDevices Response Document**: An electronic document that contains the information published by an **Agent** describing the data that can be published by one or more piece(s) of equipment. The structure of the **MTConnectDevices Response Document** document is based upon the requirements defined by the **Devices Information Model**. See **MTConnect Standard: Part 2.0 - Devices Information Model** for details on this information model.

- **MTConnectStreams Response Document**: An electronic document that contains the information published by an **Agent** that contains the data that is published by one or more piece(s) of equipment. The structure of the **MTConnectStreams Response Document** document is based upon the requirements defined by the **Streams Information Model**. See **MTConnect Standard: Part 3.0 - Streams Information Model** for details on this information model.

- **MTConnectAssets Response Document**: An electronic document that contains the information published by an **Agent** that **MAY** include one or more **Asset Documents**. The structure of the **MTConnectAssets Response Document** document is based upon the requirements defined by the **Asset Information Models**. See **MTConnect Standard: Part 4.0 - Assets Information Model** for details on this information model.

- **MTConnectErrors Response Document**: An electronic document that contains the information provided by an **Agent** when an error has occurred when trying to respond to a **Request** for data. The structure of the **MTConnectErrors Response Document** is based upon the requirements defined by the **Error Information Model**. See Section 9 - **Error Information Model** of this document for details on this information model.

**Response Documents** may be represented by any document format supported by an **Agent**. No matter what document format is used to structure these documents, the requirements for representing the data and other information contained in those documents **MUST** adhere to the requirements defined in the **Information Models** associated with each document.

### 5.2.1 XML Documents

**XML** is currently the only document format supported by the MTConnect Standard for encoding **Response Documents**. Other document formats may be supported in the future.

Since **XML** is the document format supported by the MTConnect Standard for encoding documents, all examples demonstrating the structure of the **Response Documents** provided
throughout the MTConnect Standard are based on XML. These documents will be referred to as MTConnect XML Documents or XML Documents.

Section 6 - XML Representation of Response Documents defines how each document is structured as an XML Document.

### 5.3 Semantic Data Models

A semantic data model is a software engineering method for representing data where the context and the meaning of the data is constrained and fully defined.

Each of the semantic data models defined by the MTConnect Standard include:

- The types of information that may be published by a piece of equipment,
- The meaning of that information and units of measure, if applicable,
- Structural information that defines how different pieces of information relate to each other, and
- Structural information that defines how the information relates to where the information was measured or generated by the piece of equipment.

As described previously, the content of the Response Documents provided by an Agent are each defined by a specific semantic data model. The details for the semantic data model used to define each of the Response Documents are detail as follows:

- **MTConnectStreams Response Document**: MTConnect Standard: Part 3.0 - Streams Information Model.

Without semantics, a single piece of data does not convey any relevant meaning to a person or a client software application. However, when that piece of data is paired with some
semantic context, the data inherits significantly more meaning. The data can then be more completely interpreted by a client software application without human intervention.

The MTConnect semantic data models allows the information published by a piece of equipment to be transmitted to client software application with a full definition of the meaning of that information and in full context defining how that information relates to the piece of equipment that measured or generated the information.

5.4 Request/Response Information Exchange

The transfer of information between an Agent and a client software application is based on a Request/Response information exchange approach. A client software application requests specific information from an Agent. An Agent responds to the Request by publishing a Response Document.

In normal operation, there are four types of MTConnect Requests that can be issued by a client software application that will result in different Responses by an Agent. These Requests are:

- **Probe Request** – A client software application requests the Equipment Metadata for each piece of equipment that MAY publish information through an Agent. The Agent publishes a MTConnectDevices Response Document that contains the requested information. A Probe Request is represented by the term probe in a Request from a client software application.

- **Current Request** – A client software application requests the current value for each of the data types that have been published from a piece(s) of equipment to an Agent. The Agent publishes a MTConnectStreams Response Document that contains the requested information. A Current Request is represented by the term current in a Request from a client software application.

- **Sample Request** – A client software application requests a series of data values from the buffer in an Agent by specifying a range of sequence numbers representing that data. The Agent publishes a MTConnectStreams Response Document that contains the requested information. A Sample Request is represented by the term sample in a Request from a client software application.

- **Asset Request** – A client software application requests information related to MTConnect Assets that has been published to an Agent. The Agent publishes an MTConnectAssets Response Document that contains the requested information. An Asset Request is represented by the term asset in a Request from a client software application.
Note: If an agent is unable to respond to the request for information or the request includes invalid information, the agent will publish an MTConnectErrors Response Document. See Section 9 - Error Information Model for information regarding Error Information Model.

The specific format for the Request for information from an agent will depend on the protocol implemented as part of the Request/Response information exchange mechanism deployed in a specific implementation. See Section 7 - Protocol and Messaging, Protocol for details on implementing the Request/Response information exchange.

Also, the specific format for the Response Documents may also be implementation-dependent. See Section 6 - XML Representation of Response Documents for details on the format for the Response Documents encoded with XML.

5.5 Accessing Information from an Agent

Each of the Requests defined for the Request/Response information exchange requires an agent to respond with a specific view of the information stored by the agent. The following describes the relationships between the information stored by an agent and the contents of the Response Documents.

5.5.1 Accessing Equipment Metadata from an Agent

The Equipment Metadata associated with each piece of equipment that publishes information to an agent is typically static information that is maintained by the agent. The MTConnect Standard does not define how the agent captures or maintains that information. The only requirement that the MTConnect Standard places on an agent regarding this Equipment Metadata is that the agent properly store this information and then configure and publish a MTConnectDevices Response Document in response to a Probe Request.

All issues associated with the capture and maintenance of the Equipment Metadata is the responsibility of the implementer of a specific agent.

5.5.2 Accessing Streaming Data from the Buffer of an Agent

There are two Requests defined for the Request/Response information exchange that require an agent to provide different views of the information stored in the buffer of the agent. These Requests are current and sample.
The example in Figure 12 demonstrates how an Agent interprets the information stored in the buffer to provide the content that is published in different versions of the MTConnectStreams Response Document based on the specific Request that is issued by a client software application.

In this example, an Agent with a buffer that can hold up to eight (8) Data Entities, i.e., the value for bufferSize is 8. This Agent is collecting information for two pieces of data — Pos representing a position and Line representing a line of logic or commands in a control program.

In this buffer, the value for firstSequence is 12 and the value for lastSequence is 19. There are five (5) different values for Pos and three (3) different values for Line.

If an Agent receives a Sample Request from a client software application, the Agent MUST publish an MTConnectStreams Response Document that contains a range of data values. The range of values are defined by the from and count parameters that must be included as part of the Sample Request. If the value of from is 14 and the value of count is 5, the Agent MUST publish an MTConnectStreams Response Document that includes five (5) pieces of data represented by sequence numbers 14, 15, 16, 17, and 18 — three (3) occurrences of Line and two (2) occurrences of Pos. In this case, nextSequence will also be returned with a value of 19.

Likewise, if the same Agent receives a Current Request from a client software application, the Agent MUST publish an MTConnectStreams Response Document that contains the most current information available for each of the types of data that is being published to the Agent. In this case, the specific data that MUST be represented in the MTConnectStreams Response Document is Pos with a value of 22 and a sequence number of 19 and Line with a value of 227 and a sequence number of 18.
There is also a derivation of the Current Request that will cause an Agent to publish an MTConnectStreams Response Document that contains a set of data relative to a specific sequence number. The Current Request MAY include an additional parameter called at. When the at parameter, along with an instanceId, is included as part of a Current Request, an Agent MUST publish an MTConnectStreams Response Document that contains the most current information available for each of the types of Data Entities that are being published to the Agent that occur immediately at or before the sequence number specified with the at parameter.

For example, if the Request is current?at=15, an Agent MUST publish a MTConnectStreams Response Document that contains the most current information available for each of the Data Entities that are stored in the buffer of the Agent with a sequence number of 15 or lower. In this case, the specific data that MUST be represented in the MTConnectStreams Response Document is Pos with a value of 10 and a sequence number of 13 and Line with a value of 220 and a sequence number of 15.

If a current Request is received for a sequence number of 11 or lower, an Agent MUST return an OUT_OF_RANGE MTConnectErrors Response Document. The same HTTP Error Message MUST be given if a sequence number is requested that is greater than the end of the buffer. See Section 9 - Error Information Model for more information on MTConnectErrors Response Document.

### 5.5.3 Accessing MTConnect Assets Information from an Agent

When an Agent receives an Asset Request, the Agent MUST publish an MTConnectAssets Response Document that contains information regarding the Asset Documents that are stored in the Agent. See MTConnect Standard: Part 4.0 - Assets Information Model for details on MTConnect Assets, Asset Requests, and the MTConnectAssets Response Document.
6 XML Representation of Response Documents

As defined in Section 5.2.1 - XML Documents, XML is currently the only language supported by the MTConnect Standard for encoding Response Documents.

Response Documents must be valid and conform to the schema defined in the semantic data model defined for that document. The schema for each Response Document MUST be updated to correlate to a specific version of the MTConnect Standard. Versions, within a major version, of the MTConnect Standard will be defined in such a way to best maintain backwards compatibility of the semantic data models through all minor revisions of the Standard. However, new minor versions may introduce extensions or enhancements to existing semantic data models.

To be valid, a Response Document must be well-formed; meaning that, amongst other things, each element has the required XML start-tag and end-tag and that the document does not contain any illegal characters. The validation of the document may also include a determination that required elements and attributes are present, they only occur in the appropriate location in the document, and they appear only the correct number of times.

If the document is not well-formed, it may be rejected by a client software application.

The semantic data model defined for each Response Document also specifies the elements and Child Elements that may appear in a document. XML elements may contain Child Elements, CDATA, or both. The semantic data model also defines the number of times each element and Child Element may appear in the document.

Each Response Document encoded using XML consists of the following primary sections:

- XML Declaration
- Root Element
- Schema and Namespace Declaration
- Document Header
- Document Body

The following will provide details defining how each of the Response Documents are encoded using XML.

Note: See Section 3 - Terminology and Conventions for the definition of XML related terms used in the MTConnect Standard.
6.1 Fundamentals of Using XML to Encode Response Documents

The MTConnect Standard follows industry conventions for formatting the elements and attributes included in an XML document. The general guidelines are as follows:

- All element names **MUST** be specified in Pascal case (first letter of each word is capitalized). For example: `<PowerSupply/>`.

- The name for an attribute **MUST** be Camel case; similar to Pascal case, but the first letter will be lower case. For example: `<MyElement nativeName="bob"/>`
  where MyElement is the **Element Name** and nativeName is an attribute.

- All `CDATA` values that are defined with a limited or controlled vocabulary **MUST** be in upper case with an `_` (underscore) separating words. For example: `ON, OFF, ACTUAL, and COUNTER_CLOCKWISE`.

- The values provided for a date and/or a time **MUST** follow the W3C ISO 8601 format with an arbitrary number of decimals representing fractions of a second. Refer to the following specification for details on the format for dates and times: `http://www.w3.org/TR/NOTE-datetime`. The format for the value describing a date and a time will be `YYYY-MM-DDThh:mm:ss.ffff`. An example would be: `2017-01-13T13:01.213415Z`.
  
  **Note:** Z refers to UTC/GMT time, not local time.

- The accuracy and number of decimals representing fractions of a second for a `time-stamp` **MUST** be determined by the capabilities of the piece of equipment publishing information to an **Agent**. All time values **MUST** be provided in UTC (GMT).

- **XML** element names **MUST** be spelled out and abbreviations are not permitted. See the exclusion below regarding the use of the suffix **Ref**.

- **XML** attribute names **SHOULD** be spelled out and abbreviations **SHOULD** be avoided. The exception to this rule is the use of `id` when associated with an identifier. See the exclusion below regarding the use of the suffix **Ref**.

- The abbreviation **Ref** for `Reference` is permitted as a suffix to element names of either a **Structural Element** or a **Data Entity** to provide an efficient method to associate information defined in another location in a **Data Model** without duplicating that original data or structure. See **Section 4.8** in **MTConnect Standard: Part 2.0 - Devices Information Model** for more information on **Reference**.
6.2 XML Declaration

The first section of a **Response Document** encoded with **XML** **SHOULD** be the **XML Declaration**. The declaration is a single element.

An example of an **XML Declaration** would be:

**Example 2:** Example of xml declaration

```xml
<?xml version="1.0" encoding="UTF-8"?>
```

This element provides information regarding how the **XML** document is encoded and the character type used for that encoding. See the W3C website for more details on the **XML declaration**.

6.3 Root Element

Every **Response Document** **MUST** contain only one root element. The MTConnect Standard defines **MTConnectDevices**, **MTConnectStreams**, **MTConnectAssets**, and **MTConnectError** as **Root Elements**.

The **Root Element** specifies a specific **Response Document** and appears at the top of the document immediately following the **XML Declaration**.

6.3.1 MTConnectDevices Root Element

**MTConnectDevices** is the **Root Element** for the **MTConnectDevices Response Document**.
MTConnectDevices MUST contain two Child Elements - Header and Devices. Details for Header are defined in Section 6.5 - Document Header.

Devices is an XML container that represents the Document Body for an MTConnectDevices Response Document – see Section 6.6 - Document Body. Details for the semantic data model describing the contents for Devices are defined in MTConnect Standard: Part 2.0 - Devices Information Model.

MTConnectDevices also has a number of attributes. These attributes are defined in Section 6.4 - Schema and Namespace Declaration.

### 6.3.1.1 MTConnectDevices Elements

An MTConnectDevices element MUST contain a Header and a Devices element.

#### Table 1: Elements for MTConnectDevices

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Header</td>
<td>An XML container in an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, and parameters associated with the data management within the Agent</td>
<td>1</td>
</tr>
</tbody>
</table>
Continuation of Table 1

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Devices</td>
<td>The XML container in an <em>MTConnect Response Document</em> that provides the Equipment Metadata for each of the pieces of equipment associated with an Agent</td>
<td>1</td>
</tr>
</tbody>
</table>

### 6.3.2 MTConnectStreams Root Element

MTConnectStreams is the **Root Element** for the *MTConnectStreams Response Document*.

*Figure 14: MTConnectStreams Structure*

MTConnectStreams **MUST** contain two **Child Elements** - Header and Streams. Details for Header are defined in *Section 6.3 - Document Header*.

Streams is an XML container that represents the **Document Body** for a *MTConnect-Streams Response Document* – see *Section 6.6 - Document Body*. Details for the semantic data model describing the contents for Streams are defined in *MTConnect Standard: Part 3.0 - Streams Information Model*.

MTConnectStreams also has a number of attributes. These attributes are defined in *Section 6.4 - Schema and Namespace Declaration*. 
6.3.2.1 MTConnectStreams Elements

An MTConnectStreams element MUST contain a Header and a Streams element.

Table 2: Elements for MTConnectStreams

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Header</td>
<td>An XML container in an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, and parameters associated with the data management within the Agent</td>
<td>1</td>
</tr>
<tr>
<td>Streams</td>
<td>The XML container for the information published by an Agent in a MTConnectStreams Response Document</td>
<td>1</td>
</tr>
</tbody>
</table>

6.3.3 MTConnectAssets Root Element

MTConnectAssets is the Root Element for the MTConnectAssets Response Document.

Figure 15: MTConnectAssets Structure
MTConnectAssets MUST contain two Child Elements - Header and Assets.

Details for Header are defined in Section 6.5 - Document Header.

Assets is an XML container that represents the Document Body for an MTConnectAssets Response Document - see Section 6.6 - Document Body. Details for the semantic data model describing the contents for Assets are defined in MTConnect Standard: Part 4.0 - Assets Information Model.

MTConnectAssets also has a number of attributes. These attributes are defined in Section 6.4 - Schema and Namespace Declaration.

### 6.3.3.1 MTConnectAssets Elements

An MTConnectAssets element MUST contain a Header and an Assets element.

#### Table 3: Elements for MTConnectAssets

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Header</td>
<td>An XML container in an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, and parameters associated with the data management within the Agent.</td>
<td>1</td>
</tr>
<tr>
<td>Assets</td>
<td>The XML container in an MTConnectAssets Response Document that provides information for MTConnect Assets associated with an Agent.</td>
<td>1</td>
</tr>
</tbody>
</table>

### 6.3.4 MTConnectError Root Element

MTConnectError is the Root Element for the MTConnectErrors Response Document.
MTConnectErrors Response Document

Figure 16: MTConnectError Structure

MTConnectError MUST contain two Child Elements - Header and Errors.

Note: When compatibility with Version 1.0.1 and earlier of the MTConnect Standard is required for an implementation, the MTConnectErrors Response Document contains only a single Error Data Entity and the Errors Child Element MUST NOT appear in the document.

Details for Header are defined in Section 6.5 - Document Header.

Errors is an XML container that represents the Document Body for an MTConnectErrors Response Document – See Section 6.6 - Document Body. Details for the semantic data model describing the contents for Errors are defined in Section 9 - Error Information Model.

MTConnectError also has a number of attributes. These attributes are defined in Section 6.4 - Schema and Namespace Declaration.

6.3.4.1 MTConnectError Elements

An MTConnectError element MUST contain a Header and an Errors element.
Table 4: Elements for MTConnectError

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Header</td>
<td>An XML container in an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, and parameters associated with the data management within the Agent.</td>
<td>1</td>
</tr>
<tr>
<td>Errors</td>
<td>The XML container in an MTConnectErrors Response Document that provides information associated with errors encountered by an Agent.</td>
<td>1</td>
</tr>
</tbody>
</table>

6.4 Schema and Namespace Declaration

XML provides standard methods for declaring the schema and namespace associated with a document encoded by XML. The declaration of the schema and namespace for MTConnect Response Documents MUST be structured as attributes in the Root Element of the document. XML defines these attributes as pseudo-attributes since they provide additional information for the entire document and not just specifically for the Root Element itself.

Note: If a Response Document contains sections that utilize different schemas and/or namespaces, additional pseudo-attributes should appear in the document as declared using standard conventions as defined by W3C.

For further information on declarations refer to Appendix C.

6.5 Document Header

The Document Header is an XML container in an MTConnect Response Document that provides information from an Agent defining version information, storage capacity, and parameters associated with the data management within the Agent. This XML element is called Header.

Header MUST be the first XML element following the Root Element of any Response Document. The Header XML element MUST NOT contain any Child Elements.

The content of the Header element will be different for each type of Response Document.
6.5.1 Header for MTConnectDevices

The **Header** element for an **MTConnectDevices Response Document** defines information regarding the creation of the document and the data storage capability of the **Agent** that generated the document.

6.5.1.1 XML Schema Structure for Header for MTConnectDevices

The **XML Schema** in [Figure 17](#) represents the structure of the **Header** **XML** element that **MUST** be provided for an **MTConnectDevices Response Document**.

![Figure 17: Header Schema Diagram for MTConnectDevices](#)

6.5.1.2 Attributes for Header for MTConnectDevices

[Table 5](#) defines the attributes that may be used to provide additional information in the **Header** element for an **MTConnectDevices Response Document**.
### Table 5: MTConnectDevices Header

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>version</td>
<td>The \textit{major}, \textit{minor}, and \textit{revision} number of the MTConnect Standard that defines the \textit{semantic data model} that represents the content of the \textit{Response Document}. It also includes the \textit{revision} number of the \textit{schema} associated with that specific \textit{semantic data model}. The value reported for version \textbf{MUST} be a series of four numeric values, separated by a decimal point, representing a \textit{major}, \textit{minor}, and \textit{revision} number of the MTConnect Standard and the \textit{revision} number of a specific \textit{schema}. As an example, the value reported for version for a \textit{Response Document} that was structured based on \textit{schema} \textit{revision} 10 associated with Version 1.4.0 of the MTConnect Standard would be: 1.4.0.10 version \textbf{is a required attribute.}</td>
<td>1</td>
</tr>
<tr>
<td>creationTime</td>
<td>\textit{creationTime} represents the time that an \textit{Agent} published the \textit{Response Document}. \textit{creationTime} \textbf{MUST} be reported in UTC (Coordinated Universal Time) format; e.g., &quot;2010-04-01T21:22:43Z&quot;. Note: Z refers to UTC/GMT time, not local time. \textit{creationTime} \textbf{is a required attribute.}</td>
<td>1</td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>-----------</td>
<td>-------------</td>
<td>------------</td>
</tr>
</tbody>
</table>
| testIndicator | A flag indicating that the Agent that published the Response Document is operating in a test mode. The contents of the Response Document may not be valid and SHOULD be used for testing and simulation purposes only. The values reported for testIndicator are:  
- true: The Agent is functioning in a test mode.  
- false: The Agent is not functioning in a test mode.  
If testIndicator is not specified, the value for testIndicator MUST be interpreted to be false. testIndicator is an optional attribute. | 0..1 |
<p>| instanceId | A number indicating a specific instantiation of the buffer associated with the Agent that published the Response Document. The value reported for instanceId MUST be a unique unsigned 64-bit integer. The value for instanceId MUST be changed to a different unique number each time the buffer is cleared and a new set of data begins to be collected. instanceId is a required attribute. | 1 |</p>
<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>sender</td>
<td>An identification defining where the Agent that published the Response Document is installed or hosted. The value reported for sender MUST be either an IP Address or Hostname describing where the Agent is installed or the URL of the Agent, e.g., http://&lt;address&gt;[:port]/. Note: The port number need not be specified if it is the default HTTP port 80. sender is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>bufferSize</td>
<td>A value representing the maximum number of Data Entities that MAY be retained in the Agent that published the Response Document at any point in time. The value reported for bufferSize MUST be a number representing an unsigned 32-bit integer. bufferSize is a required attribute. Note 1: bufferSize represents the maximum number of sequence numbers that MAY be stored in the Agent. Note 2: The implementer is responsible for allocating the appropriate amount of storage capacity required to accommodate the bufferSize.</td>
<td>1</td>
</tr>
</tbody>
</table>
### Continuation of Table 5

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>assetBufferSize</td>
<td>A value representing the maximum number of Asset Documents that can be stored in the Agent that published the Response Document. The value reported for assetBufferSize MUST be a number representing an unsigned 32-bit integer. assetBufferSize is a required attribute. Note: The implementer is responsible for allocating the appropriate amount of storage capacity required to accommodate the assetBufferSize.</td>
<td>1</td>
</tr>
<tr>
<td>assetCount</td>
<td>A number representing the current number of Asset Documents that are currently stored in the Agent as of the creationTime that the Agent published the Response Document. The value reported for assetCount MUST be a number representing an unsigned 32-bit integer and MUST NOT be larger than the value reported for assetBufferSize. assetCount is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>deviceModelChangeTime</td>
<td>A timestamp in 8601 format of the last update of the Device information for any device.</td>
<td>1</td>
</tr>
</tbody>
</table>

#### Example 3: Example of Header XML Element for MTConnectDevices

```xml
<Header creationTime="2017-02-16T16:44:27Z" sender="MyAgent" instanceId="1268463594"
```

Example 3: Example of Header XML Element for MTConnectDevices

MTConnect Part 1.0: Overview and Fundamentals - Version 1.8.0

74
6.5.2 Header for MTConnectStreams

The Header element for an MTConnectStreams Response Document defines information regarding the creation of the document and additional information necessary for an application to interact and retrieve data from the Agent.

6.5.2.1 XML Schema Structure for Header for MTConnectStreams

The XML Schema in Figure 18 represents the structure of the Header XML element that MUST be provided for an MTConnectStreams Response Document.

![Figure 18: Header Schema Diagram for MTConnectStreams](image)

6.5.2.2 Attributes for MTConnectStreams Header

Table 6 defines the attributes that may be used to provide additional information in the Header element for an MTConnectStreams Response Document.
### Table 6: MTConnectStreams Header

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>version</td>
<td>The major, minor, and revision number of the MTConnect Standard that defines the semantic data model that represents the content of the Response Document. It also includes the revision number of the schema associated with that specific semantic data model. The value reported for version MUST be a series of four numeric values, separated by a decimal point, representing a major, minor, and revision number of the MTConnect Standard and the revision number of a specific schema. As an example, the value reported for version for a Response Document that was structured based on schema revision 10 associated with Version 1.4.0 of the MTConnect Standard would be: 1.4.0.10. version is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>creationTime</td>
<td>creationTime represents the time that an Agent published the Response Document. creationTime MUST be reported in UTC (Coordinated Universal Time) format; e.g., &quot;2010-04-01T21:22:43Z&quot;. Note: Z refers to UTC/GMT time, not local time. creationTime is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>--------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>nextSequence</td>
<td>A number representing the <strong>sequence number</strong> of the piece of <strong>Streaming Data</strong> that is the next piece of data to be retrieved from the <strong>buffer</strong> of the <strong>Agent</strong> that was not included in the Response Document published by the <strong>Agent</strong>.</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>If the <strong>Streaming Data</strong> included in the Response Document includes the last piece of data stored in the <strong>buffer</strong> of the <strong>Agent</strong> at the time that the document was published, then the value reported for <strong>nextSequence</strong> MUST be equal to <strong>lastSequence</strong> + 1.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The value reported for <strong>nextSequence</strong> MUST be a number representing an unsigned 64-bit integer. <strong>nextSequence</strong> is a required attribute.</td>
<td></td>
</tr>
<tr>
<td>lastSequence</td>
<td>A number representing the <strong>sequence number</strong> assigned to the last piece of <strong>Streaming Data</strong> that was added to the <strong>buffer</strong> of the <strong>Agent</strong> immediately prior to the time that the <strong>Agent</strong> published the Response Document.</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>The value reported for <strong>lastSequence</strong> MUST be a number representing an unsigned 64-bit integer. <strong>lastSequence</strong> is a required attribute.</td>
<td></td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>---------------</td>
<td>-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>firstSequence</td>
<td>A number representing the sequence number assigned to the oldest piece of Streaming Data stored in the buffer of the Agent immediately prior to the time that the Agent published the Response Document. The value reported for firstSequence <strong>MUST</strong> be a number representing an unsigned 64-bit integer. firstSequence is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>testIndicator</td>
<td>A flag indicating that the Agent that published the Response Document is operating in a test mode. The contents of the Response Document may not be valid and <strong>SHOULD</strong> be used for testing and simulation purposes only. The values reported for testIndicator are: - <strong>true</strong>: The Agent is functioning in a test mode. - <strong>false</strong>: The Agent is not functioning in a test mode. If testIndicator is not specified, the value for testIndicator <strong>MUST</strong> be interpreted to be <strong>false</strong>. testIndicator is an optional attribute.</td>
<td>0..1</td>
</tr>
</tbody>
</table>
## Continuation of Table 6

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>instanceId</strong></td>
<td>A number indicating a specific instantiation of the buffer associated with the Agent that published the Response Document. The value reported for instanceId <strong>MUST</strong> be a unique unsigned 64-bit integer. The value for instanceId <strong>MUST</strong> be changed to a different unique number each time the buffer is cleared and a new set of data begins to be collected. instanceId is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td><strong>sender</strong></td>
<td>An identification defining where the Agent that published the Response Document is installed or hosted. The value reported for sender <strong>MUST</strong> be either an IP Address or Hostname describing where the Agent is installed or the URL of the Agent; e.g., http://&lt;address&gt;[:port]/. Note: The port number need not be specified if it is the default HTTP port 80. sender is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>-----------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>bufferSize</td>
<td>A value representing the maximum number of [Data Entities] that MAY be retained in the [Agent] that published the [Response Document] at any point in time.</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>The value reported for bufferSize MUST be a number representing an unsigned 32-bit integer.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>bufferSize is a required attribute.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Note 1: bufferSize represents the maximum number of [sequence numbers] that MAY be stored in the [Agent].</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Note 2: The implementer is responsible for allocating the appropriate amount of storage capacity required to accommodate the bufferSize.</td>
<td></td>
</tr>
<tr>
<td>deviceModelChangeTime</td>
<td>A timestamp in 8601 format of the last update of the Device information for any device.</td>
<td>1</td>
</tr>
</tbody>
</table>

Example 4 is an example of a Header XML element for an MTConnectStreams Response Document:

```xml
<Header lastSequence="5430495" firstSequence="5299424"
nextSequence="5430496" bufferSize="131072"
version="1.4.0.12" instanceId="1579788747"
sender="myagent" creationTime="2020-03-24T13:23:32Z"/>
```

6.5.3 Header for MTConnectAssets

The Header element for an MTConnectAssets Response Document defines information regarding the creation of the document and the storage of Asset Documents in the Agent that generated the document.
6.5.3.1 XML Schema Structure for Header for MTConnectAssets

The XML Schema in Figure 19 represents the structure of the Header XML element that MUST be provided for an MTConnectAssets Response Document.

![Header Schema Diagram for MTConnectAssets](image)

Figure 19: Header Schema Diagram for MTConnectAssets

6.5.3.2 Attributes for Header for MTConnectAssets

Table 7 defines the attributes that may be used to provide additional information in the Header element for an MTConnectAssets Response Document.
<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>version</td>
<td>The major, minor, and revision number of the MTConnect Standard that defines the semantic data model that represents the content of the Response Document. It also includes the revision number of the schema associated with that specific semantic data model. The value reported for version MUST be a series of four numeric values, separated by a decimal point, representing a major, minor, and revision number of the MTConnect Standard and the revision number of a specific schema. As an example, the value reported for version for a Response Document that was structured based on schema revision 10 associated with Version 1.4.0 of the MTConnect Standard would be: 1.4.0.10 version is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>creationTime</td>
<td>creationTime represents the time that an Agent published the Response Document. creationTime MUST be reported in UTC (Coordinated Universal Time) format; e.g., &quot;2010-04-01T21:22:43Z&quot;. Note: Z refers to UTC/GMT time, not local time. creationTime is a required attribute.</td>
<td>1</td>
</tr>
</tbody>
</table>
### Continuation of Table 7

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
</table>
| testIndicator | A flag indicating that the Agent that published the Response Document is operating in a test mode. The contents of the Response Document may not be valid and SHOULD be used for testing and simulation purposes only. The values reported for testIndicator are:  
  - true: The Agent is functioning in a test mode.  
  - false: The Agent is not functioning in a test mode.  
  If testIndicator is not specified, the value for testIndicator MUST be interpreted to be false.  
  testIndicator is an optional attribute. | 0..1       |
| instanceId | A number indicating a specific instantiation of the buffer associated with the Agent that published the Response Document.  
  The value reported for instanceId MUST be a unique unsigned 64-bit integer.  
  The value for instanceId MUST be changed to a different unique number each time the buffer is cleared and a new set of data begins to be collected.  
  instanceId is a required attribute. | 1          |
<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>sender</td>
<td>An identification defining where the Agent that published the Response Document is installed or hosted.</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>The value reported for sender <strong>MUST</strong> be either an IP Address or Hostname describing where the Agent is installed or the URL of the Agent e.g., http://&lt;address&gt;[&lt;port&gt;]/.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Note: The port number need not be specified if it is the default HTTP port 80.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>sender is a required attribute.</td>
<td></td>
</tr>
<tr>
<td>assetBufferSize</td>
<td>A value representing the maximum number of Asset Documents that can be stored in the Agent that published the Response Document.</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>The value reported for assetBufferSize <strong>MUST</strong> be a number representing an unsigned 32-bit integer.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>assetBufferSize is a required attribute.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Note: The implementer is responsible for allocating the appropriate amount of storage capacity required to accommodate the assetBufferSize.</td>
<td></td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>----------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
<tr>
<td>assetCount</td>
<td>A number representing the current number of [Asset Documents] that are currently stored in the [Agent] as of the [creationTime] that the [Agent] published the [Response Document]. The value reported for assetCount MUST be a number representing an unsigned 32-bit integer and MUST NOT be larger than the value reported for assetBufferSize. assetCount is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>deviceModelChangeTime</td>
<td>A timestamp in 8601 format of the last update of the Device information for any device.</td>
<td>1</td>
</tr>
</tbody>
</table>

**Example 5:** Example of Header XML Element for MTConnectAssets

```xml
<Header creationTime="2017-02-16T16:44:27Z" sender="MyAgent" instanceId="1268463594" version="1.4.0.10" assetCount="54" assetBufferSize="1024"/>
```

**6.5.4 Header for MTConnectError**

The Header element for an [MTConnectErrors Response Document] defines information regarding the creation of the document and the data storage capability of the [Agent] that generated the document.

**6.5.4.1 XML Schema Structure for Header for MTConnectError**

The [XML Schema] in Figure 20 represents the structure of the Header XML element that MUST be provided for an [MTConnectErrors Response Document].
6.5.4.2 Attributes for Header for MTConnectError

Table defines the attributes that may be used to provide additional information in the Header element for an MTConnectErrors Response Document.
### Table 8: MTConnectError Header

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>version</code></td>
<td>The major, minor, and revision number of the MTConnect Standard that defines the semantic data model that represents the content of the Response Document. It also includes the revision number of the schema associated with that specific semantic data model. The value reported for version MUST be a series of four numeric values, separated by a decimal point, representing a major, minor, and revision number of the MTConnect Standard and the revision number of a specific schema. As an example, the value reported for version for a Response Document that was structured based on schema revision 10 associated with Version 1.4.0 of the MTConnect Standard would be: 1.4.0.10. <code>version</code> is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td><code>creationTime</code></td>
<td><code>creationTime</code> represents the time that an <code>Agent</code> published the <code>Response Document</code>. <code>creationTime</code> MUST be reported in UTC (Coordinated Universal Time) format; e.g., &quot;2010-04-01T21:22:43Z&quot;. Note: Z refers to UTC/GMT time, not local time. <code>creationTime</code> is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>Attribute</td>
<td>Description</td>
<td>Occurrence</td>
</tr>
<tr>
<td>---------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td>------------</td>
</tr>
</tbody>
</table>
| testIndicator | A flag indicating that the Agent that published the Response Document is operating in a test mode. The contents of the Response Document may not be valid and SHOULD be used for testing and simulation purposes only.  

The values reported for testIndicator are:  
- true: The Agent is functioning in a test mode.  
- false: The Agent is not functioning in a test mode.  

If testIndicator is not specified, the value for testIndicator MUST be interpreted to be false.  

testIndicator is an optional attribute. | 0..1        |
| instanceId    | A number indicating a specific instantiation of the buffer associated with the Agent that published the Response Document.  

The value reported for instanceId MUST be a unique unsigned 64-bit integer.  

The value for instanceId MUST be changed to a different unique number each time the buffer is cleared and a new set of data begins to be collected.  

instanceId is a required attribute. | 1           |
<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>sender</td>
<td>An identification defining where the <a href="#">Agent</a> that published the <a href="#">Response Document</a> is installed or hosted. The value reported for <code>sender</code> <strong>MUST</strong> be either an IP Address or Hostname describing where the <a href="#">Agent</a> is installed or the URL of the <a href="#">Agent</a> e.g., http://&lt;address&gt;[:port]/. Note: The port number need not be specified if it is the default HTTP port 80. <code>sender</code> is a required attribute.</td>
<td>1</td>
</tr>
<tr>
<td>bufferSize</td>
<td>A value representing the maximum number of <a href="#">Data Entities</a> that <strong>MAY</strong> be retained in the <a href="#">Agent</a> that published the <a href="#">Response Document</a> at any point in time. The value reported for <code>bufferSize</code> <strong>MUST</strong> be a number representing an unsigned 32-bit integer. <code>bufferSize</code> is a required attribute. Note 1: <code>bufferSize</code> represents the maximum number of sequence numbers that <strong>MAY</strong> be stored in the <a href="#">Agent</a>. Note 2: The implementer is responsible for allocating the appropriate amount of storage capacity required to accommodate the <code>bufferSize</code>.</td>
<td>1</td>
</tr>
<tr>
<td>deviceModelChangeTime</td>
<td>A timestamp in 8601 format of the last update of the <a href="#">Device</a> information for any device.</td>
<td>1</td>
</tr>
</tbody>
</table>

*Example 6* is an example of a [Header](#) XML element for an [MTConnectErrors Response](#) Document.
Example 6: Example of Header XML Element for MTConnectError

```xml
<Header creationTime="2017-02-16T16:44:27Z"
sender="MyAgent" instanceId="1268463594"
bufferSize="131072" version="1.4.0.10"/>
```

6.6 Document Body

The Document Body contains the information that is published by an Agent in response to a Request from a client software application. Each Response Document has a different XML element that represents the Document Body.

The structure of the content of the XML element representing the Document Body is defined by the semantic data models defined for each Response Document.

Table 9 defines the relationship between each of the Response Documents, the XML element that represents the Document Body for each document, and the semantic data model that defines the structure for the content of each of the Response Documents.

<table>
<thead>
<tr>
<th>Response Document</th>
<th>XML Element for Document Body</th>
<th>Semantic Data Model</th>
</tr>
</thead>
<tbody>
<tr>
<td>MTConnectDevices Response Document</td>
<td>Devices</td>
<td>MTConnect Standard: Part 2.0 - Devices Information Model</td>
</tr>
<tr>
<td>MTConnectStreams Response Document</td>
<td>Streams</td>
<td>MTConnect Standard: Part 3.0 - Streams Information Model</td>
</tr>
<tr>
<td>MTConnectAssets Response Document</td>
<td>Assets</td>
<td>MTConnect Standard: Part 4.0 - Assets Information Model</td>
</tr>
</tbody>
</table>
1823 6.7 Extensibility

1824  MTConnect is an extensible standard, which means that implementers MAY extend the
1825  Data Models defined in the various sections of the MTConnect Standard to include in-
1826  formation required for a specific implementation. When these Data Models are encoded
1827  using XML, the methods for extending these Data Models are defined by the rules estab-
1828  lished for extending any XML schema (see the W3C website for more details on extending
1829  XML data models).

1830  The following are typical extensions that MAY be considered in the MTConnect Data
1831  Models:

1832  - Additional type and subType values for Data Entities.
1833  - Additional Structural Elements as containers.
1834  - Additional Composition elements.
1835  - New Asset types that are sub-typed from the abstract Asset type.
1836  - Child Elements that may be added to specific XML elements contained within the
1837    MTConnect Information Models. These extended elements MUST be identified in
1838    a separate namespace.
When extending an MTConnect Data Model, there are some basic rules restricting changes to the MTConnect Data Models.

When extending an MTConnect Data Model, an implementer:

- MUST NOT add new value for category for Data Entities
- MUST NOT add new Root Elements
- SHOULD NOT add new Top Level Components, and
- MUST NOT add any new attributes or include any sub-elements to Composition.

Note: Throughout the documents additional information is provided where extensibility may be acceptable or unacceptable to maintain compliance with the MTConnect Standard.

When a schema representing a Data Model is extended, the schema and namespace declaration at the beginning of the corresponding Response Document MUST be updated to reflect the new schema and namespace so that a client software application can properly validate the Response Document.

An XML example of a schema and namespace declaration, including an extended schema and namespace, is shown in Example 7:

```
<?xml version="1.0" encoding="UTF-8"?>
<MTConnectDevices xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
xmlns="urn:mtconnect.org:MTConnectDevices:1.3"
xmlns:m="urn:mtconnect.org:MTConnectDevices:1.3"
xmlns:x="urn:MyLocation:MyFile:MyVersion"
xsi:schemaLocation="urn:MyLocation:MyFile:MyVersion
/schemas/MyFileName.xsd"/>
```

In this example:

- xmlns:x is added in Line 6 to identify the XML Schema instance for the extended schema and Element Names identified with an "x" prefix are associated with this specific XML Schema instance.

Note: The "x" prefix MAY be replaced with any prefix that the implementer chooses for identifying the extended schema and namespace.
• xsi:schemaLocation is modified in Line 7 to associate the namespace URN with the URL specifying the location of the schema file.

• MyLocation, MyFile, MyVersion, and MyFileName in Lines 6 and 7 MUST be replaced by the actual name, version, and location of the extended schema.

When an extended schema is implemented, each Structural Element, Data Entity, and MTConnect Asset defined in the extended schema MUST be identified in each respective Response Document by adding a prefix to the XML Element Name associated with that Structural Element, Data Entity, or MTConnect Asset. The prefix identifies the schema and namespace where that XML Element is defined.
7 Protocol and Messaging

An Agent performs two major communications tasks. It collects information from pieces of equipment and it publishes MTConnect Response Documents in response to Requests from client software applications.

The MTConnect Standard does not address the method used by an Agent to collect information from a piece of equipment. The relationship between the Agent and a piece of equipment is implementation dependent. The Agent may be fully integrated into the piece of equipment or the Agent may be independent of the piece of equipment. Implementation of the relationship between a piece of equipment and an Agent is the responsibility of the supplier of the piece of equipment and/or the implementer of the Agent.

The communications mechanism between an Agent and a client software application requires the following primary components:

- **Physical Connection**: The network transmission technologies that physically interconnect an Agent and a client software application. Examples of a Physical Connection would be an Ethernet network or a wireless connection.

- **Transport Protocol**: A set of capabilities that provide the rules and procedures used to transport information between an Agent and a client software application through a Physical Connection.

- **Application Programming Interface**: The Request and Response interactions that occur between an Agent and a client software application.

- **Message**: The content of the information that is exchanged. The Message includes both the content of the MTConnect Response Document and any additional information required for the client software application to interpret the Response Document.

Note: The Physical Connections, Transport Protocols, and Application Programming Interface supported by an Agent are independent of the Message itself; i.e., the information contained in the MTConnect Response Documents is not changed based on the methods used to transport those documents to a client software application.

An Agent MAY support multiple methods for communicating with client software applications. The MTConnect Standard specifies one methodology for communicating that MUST be supported by every Agent. This methodology is a REST, which defines a stateless, client-server communications architecture. This REST interface is the architectural pattern that specifies the exchange of information between an Agent and a client software.
application. REST dictates that a server has no responsibility for tracking or coordinating
with a client software application regarding which information or how much information
the client software application may request from a server. This removes the burden for
a server to keep track of client sessions. An Agent MUST be implemented as a server
supporting the RESTful interface.
8 HTTP Messaging Supported by an Agent

This section describes the application of HTTP Messaging applied to a REST interface that MUST be supported by an Agent to realize the MTConnect Request/Response information exchange functionality.

8.1 REST Interface

An Agent MUST provide a REST interface that supports HTTP version 1.0 to communicate with client applications. This interface MUST support HTTP (RFC7230) and use URIs (RFC3986) to identify specific information requested from an Agent. HTTP is most often implemented on top of the Transmission Control Protocol (TCP) that provides an ordered byte stream of data and the Internet Protocol (IP) that provides unified addressing and routing between computers. However, additional interfaces to an Agent may be implemented in conjunction with any other communications technologies.

The REST interface supports an Application Programming Interface (API) that adheres to the architectural principles of a stateless, uniform interface to retrieve data and other information related to either pieces of equipment or MTConnect Assets. The API allows for access, but not modification of data stored within the Agent and is nullipotent, meaning it will not produce any side effects on the information stored in an Agent or the function of the Agent itself.

HTTP Messaging is comprised of two basic functions – an HTTP Request and an HTTP Response. A client software application forms a Request for information from an Agent by specifying a specific set of information using an HTTP Request. In response, an Agent provides either an HTTP Response or replies with an HTTP Error Message as defined below.

8.2 HTTP Request

The MTConnect Standard defines that an Agent MUST support the HTTP GET verb – no other HTTP methods are required to be supported.

An HTTP Request MAY include three sections:

- an HTTP Request Line
- HTTP Header Fields
The MTConnect Standard defines that an HTTP Request issued by a client application SHOULD only have two sections:

- an HTTP Request Line
- HTTP Header Fields

The HTTP Request Line identifies the specific information being requested by the client software application. If an Agent receives any information in an HTTP Request that is not specified in the MTConnect Standard, the Agent MAY ignore it.

The structure of an HTTP Request Line consists of the following portions:

- HTTP Request Method: GET
- HTTP Request URL: http://<authority>/<path>[?<query>]
- HTTP Version: HTTP/1.0

For the following discussion, the HTTP Request URL will only be considered since the Method will always be GET and the MTConnect Standard only requires HTTP/1.0.

8.2.1 authority Portion of an HTTP Request Line

The authority portion consists of the DNS name or IP address associated with an Agent and an optional TCP port number [:port] that the Agent is listening to for incoming Requests from client software applications. If the port number is the default Port 80, port is not required.

Example forms for authority are:

- http://machine/
- http://machine:5000/
8.2.2 path Portion of an HTTP Request Line

The <Path> portion of the HTTP Request Line has the following segments:

- /<name or uuid>/<request>

In this portion of the HTTP Request Line, name or uuid designates that the information to be returned in a Response Document is associated with a specific piece of equipment that has published data to the Agent. See Part 2 - Devices Information Model for details on name or uuid for a piece of equipment.

Note: If name or uuid are not specified in the HTTP Request Line, an Agent MUST return the information for all pieces of equipment that have published data to the Agent in the Response Document.

8.2.3 query Portion of an HTTP Request Line

The [?<query>] portion of the HTTP Request Line designates an HTTP Query. Query is a string of parameters that define filters used to refine the content of a Response Document published in response to an HTTP Request.

8.3 MTConnect Request/Response Information Exchange Implemented with HTTP

An Agent MUST support Probe Requests, Current Requests, Sample Requests, and Asset Requests.

The following sections define how the HTTP Request Line is structured to support each of these types of Requests and the information that an Agent MUST provide in response to these Requests.
8.3.1 Probe Request Implemented Using HTTP

An Agent responds to a Probe Request with an MTConnectDevices Response Document that contains the Equipment Metadata for pieces of equipment that are requested and currently represented in the Agent.

There are two forms of the Probe Request:

• The first form includes an HTTP Request Line that does not specify a specific path portion (name or uuid). In response to this Request, the Agent returns an MTConnectDevices Response Document with information for all pieces of equipment represented in the Agent.


• The second form includes an HTTP Request Line that specifies a specific path portion that defines either a name or uuid. In response to this Request, the Agent returns an MTConnectDevices Response Document with information for only the one piece of equipment associated with that name or uuid.

1. http://<authority>/<name or uuid>/probe

8.3.1.1 Path Portion of the HTTP Request Line for a Probe Request

The following segments of path MUST be supported in an HTTP Request Line for a Probe Request:

Table 10: Path of the HTTP Request Line for a Probe Request

<table>
<thead>
<tr>
<th>Path Segments</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>name or uuid</td>
<td>If present, specifies that only the Equipment Metadata for the piece of equipment represented by the name or uuid will be published. If not present, Metadata for all pieces of equipment associated with the Agent will be published.</td>
</tr>
<tr>
<td>&lt;request&gt;</td>
<td>probe MUST be provided.</td>
</tr>
</tbody>
</table>

8.3.1.2 Query Portion of the HTTP Request Line for a Probe Request

The HTTP Request Line for a Probe Request SHOULD NOT contain a query. If the...
If the Request does contain a query, the Agent MUST ignore the query.

### 8.3.1.3 Response to a Probe Request

The Response to a Probe Request SHOULD be an MTConnectDevices Response Document for one or more pieces of equipment as designated by the path portion of the Request.

The Response Document returned in response to a Probe Request MUST always provide the most recent information available to an Agent.

The Response MUST also include an HTTP Status Code. If problems are encountered by an Agent while responding to a Probe Request, the Agent MUST also publish an MTConnectErrors Response Document.

### 8.3.1.4 HTTP Status Codes for a Probe Request

The following HTTP Status Codes MUST be supported as possible responses to a Probe Request:

<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>200</td>
<td>OK</td>
<td>The Request was handled successfully.</td>
</tr>
<tr>
<td>400</td>
<td>Bad Request</td>
<td>The Request could not be interpreted. The Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies either INVALID_URI or INVALID_REQUEST as the errorCode.</td>
</tr>
<tr>
<td>404</td>
<td>Not Found</td>
<td>The Request could not be interpreted. The Agent MUST return a 404 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies NO_DEVICE as the errorCode.</td>
</tr>
<tr>
<td>HTTP Status Code</td>
<td>Code Name</td>
<td>Description</td>
</tr>
<tr>
<td>------------------</td>
<td>----------------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
</tbody>
</table>
| 405              | Method Not Allowed         | A method other than GET was specified in the Request or the piece of equipment specified in the Request could not be found.  

The Agent MUST return a 405 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies UNSUPPORTED as the errorCode. |
| 406              | Not Acceptable            | The HTTP Accept Header in the Request was not one of the supported representations.  

The Agent MUST return a 406 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies UNSUPPORTED as the errorCode. |
| 431              | Request Header Fields Too Large | The fields in the HTTP Request exceed the limit of the implementation of the Agent  

The Agent MUST return a 431 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies INVALID_REQUEST as the errorCode. |
| 500              | Internal Server Error     | There was an unexpected error in the Agent while responding to a Request  

The Agent MUST return a 500 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies INTERNAL_ERROR as the errorCode. |
8.3.2 Current Request Implemented Using HTTP

An Agent responds to a Current Request with an MTConnectStreams Response Document that contains the current value of Data Entities associated with each piece of Streaming Data available from the Agent, subject to any filtering defined in the Request.

There are two forms of the Current Request:

- The first form is given without a specific path portion (name or uuid). In response to this Request, the Agent returns an MTConnectStreams Response Document with information for all pieces of equipment represented in the buffer of the Agent.

- The second form includes a specific path portion that defines either a name or uuid. In response to this Request, the Agent returns an MTConnectStreams Response Document with information for only the one piece of equipment associated with the name or uuid defined in the Request.
  1. http://<authority>/<name or uuid>/current[?query]

### 8.3.2.1 Path Portion of the HTTP Request Line for a Current Request

The following segments of path MUST be supported for an HTTP Request Line for a Current Request:

**Table 12:** Path of the HTTP Request Line for a Current Request

<table>
<thead>
<tr>
<th>Path Segments</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>name or uuid</td>
<td>If present, specifies that only the Equipment Metadata for the piece of equipment represented by the name or uuid will be published. If not present, Metadata for all pieces of equipment associated with the Agent will be published.</td>
</tr>
<tr>
<td>&lt;request&gt;</td>
<td>current MUST be provided.</td>
</tr>
</tbody>
</table>

### 8.3.2.2 Query Portion of the HTTP Request Line for a Current Request

A Query may be used to more precisely define the specific information to be included in a Response Document. Multiple parameters may be used in a Query to further refine...
the information to be included. When multiple parameters are provided, each parameter is separated by an ampersand (&) character and each parameter appears only once in the Query. The parameters within the Query may appear in any sequence.

The following query parameters MUST be supported in an HTTP Request Line for a Current Request.

Table 13: Query Parameters of the HTTP Request Line for a Current Request

<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>path</td>
<td>An XPath that defines specific information or a set of information to be included in an MTConnectStreams Response Document. The value for the XPath is the location of the information defined in the Devices Information Model that represents the Structural Elements(s) and/or the specific Data Entities to be included in the MTConnectStreams Response Document. When a Component element is referenced by the XPath, all Lower Level components and the Data Entities associated with those elements MUST be included in the MTConnectStreams Response Document.</td>
</tr>
</tbody>
</table>
Continuation of Table 13

<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>at</strong></td>
<td>Requests that the MTConnect Response Documents MUST include the current value for all Data Entities relative to the time that a specific sequence number was recorded. The value associated with the at parameter references a specific sequence number. The value MUST be an unsigned 64-bit value. The at parameter MUST NOT be used in conjunction with the interval parameter since this would cause an Agent to repeatedly return the same data. If the value provided for the at parameter is a negative number or is not a, the Request MUST be determined to be invalid. The Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies an INVALID_REQUEST errorCode. If the value provided for the at parameter is either lower than the value of firstSequence or greater than the value of lastSequence, the Request MUST be determined to be invalid. The Agent MUST return a 404 HTTP Status Code. The Agent MUST also publish an MTConnectErrors Response Document that identifies an OUT_OF_RANGE errorCode. Note: Some information stored in the buffer of an Agent may not be returned for a Current Request with a Query containing an at parameter if the sequence number associated with the most current value for that information is greater than the sequence number specified in the Query.</td>
</tr>
<tr>
<td><strong>interval</strong></td>
<td>The Agent MUST continuously publish Response Documents when the query parameters include interval using the value as the period between adjacent publications. The interval value MUST be in milliseconds, and MUST be a positive integer greater than zero (0). The Query MUST NOT specify both interval and at parameters.</td>
</tr>
</tbody>
</table>

8.3.2.3 Response to a Current Request
The Response to a Current Request SHOULD be an MTConnectStreams Response Document for one or more pieces of equipment designated by the path portion of the Request.

The Response to a Current Request MUST always provide the most recent information available to an Agent or, when the at parameter is specified, the value of the data at the given sequence number.

The Data Entities provided in the MTConnectStreams Response Document will be limited to those specified in the combination of the path segment of the Current Request and the value of the XPath defined for the path attribute provided in the query segment of that Request.

8.3.2.4 HTTP Status Codes for a Current Request

The following HTTP Status Codes MUST be supported as possible responses to a Current Request.

Table 14: HTTP Status Codes for a Current Request

<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>200</td>
<td>OK</td>
<td>The Request was handled successfully.</td>
</tr>
<tr>
<td>400</td>
<td>Bad Request</td>
<td>The Request could not be interpreted.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies either INVALID_URI, INVALID_REQUEST, or INVALID_XPATH as the errorCode.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If the query parameters do not contain a valid value or include an invalid parameter, the Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies QUERY_ERROR as the errorCode.</td>
</tr>
<tr>
<td>HTTP Status Code</td>
<td>Code Name</td>
<td>Description</td>
</tr>
<tr>
<td>------------------</td>
<td>---------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>404</td>
<td>Not Found</td>
<td>The Request could not be interpreted. The Agent MUST return a 404 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies NO_DEVICE as the errorCode. If the value of the at parameter was greater than the lastSequence or is less than the firstSequence, the Agent MUST return a 404 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies OUT_OF_RANGE as the errorCode.</td>
</tr>
<tr>
<td>405</td>
<td>Method Not Allowed</td>
<td>A method other than GET was specified in the Request or the piece of equipment specified in the Request could not be found. The Agent MUST return a 405 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies UNSUPPORTED as the errorCode.</td>
</tr>
<tr>
<td>406</td>
<td>Not Acceptable</td>
<td>The HTTP Accept Header in the Request was not one of the supported representations. The Agent MUST return a 406 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies UNSUPPORTED as the errorCode.</td>
</tr>
<tr>
<td>431</td>
<td>Request Header Fields Too Large</td>
<td>The fields in the HTTP Request exceed the limit of the implementation of the Agent. The Agent MUST return a 431 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies INVALID_REQUEST as the errorCode.</td>
</tr>
</tbody>
</table>
### Continuation of Table 14

<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>500</td>
<td>Internal Server Error</td>
<td>There was an unexpected error in the <a href="#">Agent</a> while responding to a <a href="#">Request</a>. The Agent <a href="#">MUST</a> return a 500 <a href="#">HTTP Status Code</a>. Also, the Agent <a href="#">MUST</a> publish an <a href="#">MTConnectErrors Response Document</a> that identifies INTERNAL_ERROR as the errorCode.</td>
</tr>
</tbody>
</table>

#### 8.3.3 Sample Request Implemented Using HTTP

An Agent responds to a Sample Request with an MTConnectStreams Response Document that contains a set of values for Data Entities currently available for Streaming Data from the Agent, subject to any filtering defined in the Request.

There are two forms to the Sample Request:

- The first form is given without a specific path portion (name or uuid). In response to this Request, the Agent returns an MTConnectStreams Response Document with information for all pieces of equipment represented in the Agent.

  1. http://<authority>/sample[?query]

- The second form includes a specific path portion that defines either a name or uuid. In response to this Request, the Agent returns an MTConnectStreams Response Document with information for only the one piece of equipment associated with the name or uuid defined in the Request.

  1. http://<authority>/<name or uuid>/sample?query

#### 8.3.3.1 Path Portion of the HTTP Request Line for a Sample Request

The following segments of path [MUST](#) be supported in the [HTTP Request Line](#) for a Sample Request.
Table 15: Path of the HTTP Request Line for a Sample Request

<table>
<thead>
<tr>
<th>Path Segments</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>name or uuid</td>
<td>If present, specifies that only the <strong>Equipment Metadata</strong> for the piece of equipment represented by the name or uuid will be published. If not present, <strong>Metadata</strong> for all pieces of equipment associated with the <strong>Agent</strong> will be published.</td>
</tr>
<tr>
<td>&lt;request&gt;</td>
<td>sample <strong>MUST</strong> be provided.</td>
</tr>
</tbody>
</table>

8.3.3.2 Query Portion of the HTTP Request Line for a Sample Request

A **Query** may be used to more precisely define the specific information to be included in a **Response Document**. Multiple parameters may be used in a **Query** to further refine the information to be included. When multiple parameters are provided, each parameter is separated by an & character and each parameter appears only once in the **Query**. The parameters within the **Query** may appear in any sequence.

The following **query** parameters **MUST** be supported in an **HTTP Request Line** for a **Sample Request**:

Table 16: Query Parameters of the HTTP Request Line for a Sample Request

<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>path</td>
<td>An XPath that defines specific information or a set of information to be included in an <strong>MTConnectStreams Response Document</strong>. The value for the XPath is the location of the information defined in the <strong>Devices Information Model</strong> that represents the <strong>Structural Element</strong>s and/or the specific <strong>Data Entities</strong> to be included in the <strong>MTConnectStreams Response Document</strong>. When a <strong>Component</strong> element is referenced by the XPath, all <strong>Lower Level</strong> components and the <strong>Data Entities</strong> associated with those elements <strong>MUST</strong> be included in the <strong>MTConnectStreams Response Document</strong>.</td>
</tr>
</tbody>
</table>
The `from` parameter designates the sequence number of the first observation in the buffer the Agent MUST consider publishing in the Response Document.

The value of `from` MUST be an unsigned 64-bit integer.

If `from` is zero (0), it MUST be set to the firstSequence, the oldest observation in the buffer.

If `from` and `count` parameters are not given, `from` MUST default to the firstSequence.

If `from` is not given and `count` parameter is given, see `count` for default behavior.

If the `from` parameter is less than the firstSequence or greater than lastSequence, the Agent MUST return a 404 HTTP Status Code and MUST publish an `MTConnectErrors Response Document` with an OUT_OF_RANGE errorCode.

If the `from` parameter is not a positive numeric value, the Agent MUST return a 400 HTTP Status Code and MUST publish an `MTConnectErrors Response Document` with an INVALID_REQUEST errorCode.
<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
</table>
| interval         | The Agent **MUST** continuously publish *Response Documents* when the query parameters include `interval` using the value as the minimum period between adjacent publications.

The `interval` value **MUST** be in milliseconds, and **MUST** be a positive integer greater than or equal to zero (0).

The Query **MUST NOT** specify both `interval` and `from` parameters.

If the value for the `interval` parameter is zero (0), the Agent **MUST** publish *Response Documents* at the fastest rate possible.

If the period between the publication of a *Response Document* and reception of *observations* exceeds the `interval`, the Agent **MUST** wait for a maximum of `heartbeat` milliseconds for *observations*. Upon the arrival of *observations*, the Agent **MUST** immediately publish a *Response Document*. When the period equals or exceeds the `heartbeat`, the Agent **MUST** publish an empty *Response Document*. |
The count parameter designates the maximum number of observations the Agent MUST publish in the Response Document.

The value of count MUST be a signed integer.

The count MUST NOT be zero (0).

When the count is greater than zero (0), the from parameter MUST default to the firstSequence. The evaluation of observations starts at from and moves forward accumulating newer observations until the number of observations equals the count or the observation at lastSequence is considered.

When the count is less than zero (0), the from parameter MUST default to the lastSequence. The evaluation of observations starts at from and moves backward accumulating older observations until the number of observations equals the absolute value of count or the observation at firstSequence is considered.

count MUST NOT be less than zero (0) when an interval parameter is given.

If count is not provided, it MUST default to 100.

If the absolute value of count is greater than the size of the buffer or equal to zero (0), the Agent MUST return a 404 HTTP Status Code and MUST publish an MTConnectErrors Response Document with an OUT_OF_RANGE errorCode.

If the count parameter is not a numeric value, the Agent MUST return a 400 HTTP Status Code and MUST publish an MTConnectErrors Response Document with an INVALID_REQUEST errorCode.
<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>heartbeat</td>
<td>Sets the time period for the heartbeat function in an Agent. The value for heartbeat represents the amount of time after a Response Document has been published until a new Response Document MUST be published, even when no new data is available. The value for heartbeat is defined in milliseconds. If no value is defined for heartbeat, the value SHOULD default to 10 seconds. heartbeat MUST only be specified if interval is also specified.</td>
</tr>
<tr>
<td>Query Parameters</td>
<td>Description</td>
</tr>
<tr>
<td>------------------</td>
<td>-------------</td>
</tr>
</tbody>
</table>
| to               | The to parameter specifies the sequence number of the observation in the buffer that will be the upper bound of the observations in the Response Document.  
  - The value of to **MUST** be an unsigned 64-bit integer.  
  - The value of to **MUST** be greater than the firstSequence.  
  - The value of to **MUST** be less than or equal to the lastSequence.  
  - The value of to **MUST** be greater than from.  
  - If to and count are given, the count parameter **MUST** be greater than zero.  
  - If to and count are given, the maximum number of observations published in the Response Document **MUST NOT** be greater than the value of count.  
  - If to is not given, see the from parameter for default behavior.  
  - If the to parameter is less than the firstSequence or greater than lastSequence, the Agent **MUST** return a **404 HTTP Status Code** and **MUST** publish an MTConnectErrors Response Document with an OUT_OF_RANGE errorCode.  
  - If the to parameter is not a positive numeric value, the Agent **MUST** return a **400 HTTP Status Code** and **MUST** publish an MTConnectErrors Response Document with an INVALID_REQUEST errorCode. |
Continuation of Table 16

<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>to (continued)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- If the to parameter is less than the from parameter, the Agent MUST return a 400 HTTP Status Code and MUST publish an MTConnectErrors Response Document with an INVALID_REQUEST errorCode.</td>
</tr>
<tr>
<td></td>
<td>- If the to parameter is given and the count parameter is less than zero, the Agent MUST return a 400 HTTP Status Code and MUST publish an MTConnectErrors Response Document with an INVALID_REQUEST errorCode.</td>
</tr>
</tbody>
</table>

8.3.3.3 Response to a Sample Request

The Response to a Sample Request SHOULD be an MTConnectStreams Response Document for one or more pieces of equipment designated by the path portion of the Request.

The Response to a Sample Request MUST always provide the most recent information available to an Agent or, when the at parameter is specified, the value of the data at the given sequence number.

The Data Entities provided in the MTConnectStreams Response Document will be limited to those specified in the combination of the path segment of the Sample Request and the value of the XPath defined for the path attribute provided in the query segment of that Request.

When the value of from references the value of the next sequence number (nextSequence) and there are no additional Data Entities available in the buffer, the response document will have an empty <Streams/> element in the MTConnectStreams document to indicate no data is available at the point in time that the Agent published the Response Document.

8.3.3.4 HTTP Status Codes for a Sample Request

The following HTTP Status Codes MUST be supported as possible responses to a Sample Request.
<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>200</td>
<td>OK</td>
<td>The Request was handled successfully.</td>
</tr>
<tr>
<td>400</td>
<td>Bad Request</td>
<td>The Request could not be interpreted.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MTConnectErrors Response Document that identifies either INVALID_URI,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>INVALID_REQUEST, or INVALID_XPATH as the errorCode.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If the query parameters do not contain a valid value or include an invalid</td>
</tr>
<tr>
<td></td>
<td></td>
<td>parameter, the Agent MUST return a 400 HTTP Status Code. Also, the Agent</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MUST publish an MTConnectErrors Response Document that identifies QUERY_ERROR as the errorCode.</td>
</tr>
<tr>
<td>404</td>
<td>Not Found</td>
<td>The Request could not be interpreted.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 404 HTTP Status Code. Also, the Agent MUST publish an</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MTConnectErrors Response Document that identifies NO_DEVICE as the errorCode.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>If the value of the at parameter was greater than the lastSequence or is</td>
</tr>
<tr>
<td></td>
<td></td>
<td>less than the firstSequence, the Agent MUST return a 404 HTTP Status Code.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Also, the Agent MUST publish an MTConnectErrors Response Document that</td>
</tr>
<tr>
<td></td>
<td></td>
<td>identifies OUT_OF_RANGE as the errorCode.</td>
</tr>
<tr>
<td>405</td>
<td>Method Not Allowed</td>
<td>A method other than GET was specified in the Request or the piece of</td>
</tr>
<tr>
<td></td>
<td></td>
<td>equipment specified in the Request could not be found.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 405 HTTP Status Code. Also, the Agent MUST publish a</td>
</tr>
<tr>
<td></td>
<td></td>
<td>MTConnectErrors Response Document that identifies UNSUPPORTED as the</td>
</tr>
<tr>
<td></td>
<td></td>
<td>errorCode.</td>
</tr>
</tbody>
</table>

MTConnect Part 1.0: Overview and Fundamentals - Version 1.8.0 115
### Continuation of Table 17

<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>406</td>
<td>Not Acceptable</td>
<td>The <em>HTTP Accept Header</em> in the <em>Request</em> was not one of the supported representations. The <em>Agent</em> <strong>MUST</strong> return a 406 <em>HTTP Status Code</em>. Also, the <em>Agent</em> <strong>MUST</strong> publish an <em>MTConnectErrors Response Document</em> that identifies <strong>UNSUPPORTED</strong> as the <em>errorCode</em>.</td>
</tr>
<tr>
<td>431</td>
<td>Request Header Fields Too Large</td>
<td>The fields in the <em>HTTP Request</em> exceed the limit of the implementation of the <em>Agent</em>. The <em>Agent</em> <strong>MUST</strong> return a 431 <em>HTTP Status Code</em>. Also, the <em>Agent</em> <strong>MUST</strong> publish an <em>MTConnectErrors Response Document</em> that identifies <strong>INVALID_REQUEST</strong> as the <em>errorCode</em>.</td>
</tr>
<tr>
<td>500</td>
<td>Internal Server Error</td>
<td>There was an unexpected error in the <em>Agent</em> while responding to a <em>Request</em>. The <em>Agent</em> <strong>MUST</strong> return a 500 <em>HTTP Status Code</em>. Also, the <em>Agent</em> <strong>MUST</strong> publish an <em>MTConnectErrors Response Document</em> that identifies <strong>INTERNAL_ERROR</strong> as the <em>errorCode</em>.</td>
</tr>
</tbody>
</table>

#### 8.3.4 Asset Request Implemented Using HTTP

An *Agent* responds to an *Asset Request* with an *MTConnectAssets Response Document* that contains information for *MTConnect Assets* from the *Agent* subject to any filtering defined in the *Request*.

There are multiple forms to the *Asset Request*:

- The first form is given without a specific path portion (name or uuid). In response to this *Request*, the *Agent* returns an *MTConnectAssets Response Document* that contains information for all *Asset Document* represented in the *Agent*.

1. http://<authority>/assets
The second form includes a specific path portion that defines the identity (asset_id) for one or more specific Asset Documents. In response to this Request, the Agent returns an MTConnectAssets Response Document that contains information for the specific Assets represented in the Agent and defined by each of the asset_id values provided in the Request. Each asset_id is separated by a ";".

1. http://<authority>/asset/asset_id;asset_id;asset_id;...

Note: An HTTP Request Line may include combinations of path and query to achieve the desired set of Asset Documents to be included in a specific MTConnectAssets Response Document.

8.3.4.1 Path Portion of the HTTP Request Line for an Asset Request

The following segments of path MUST be supported in the HTTP Request Line for an Asset Request:

<table>
<thead>
<tr>
<th>Path Segments</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt;request&gt;</td>
<td>asset or assets MUST be provided.</td>
</tr>
<tr>
<td>asset_id</td>
<td>Identifies the id attribute of an MTConnect Asset to be provided by an Agent</td>
</tr>
</tbody>
</table>

8.3.4.2 Query Portion of the HTTP Request Line for an Asset Request

A Query may be used to more precisely define the specific information to be included in a Response Document. Multiple parameters may be used in a Query to further refine the information to be included. When multiple parameters are provided, each parameter is separated by an & character and each parameter appears only once in the Query. The parameters within the Query may appear in any sequence.

The following query parameters MUST be supported in an HTTP Request Line for an Asset Request:
Table 19: Query Parameters of the HTTP Request Line for an Asset Request

<table>
<thead>
<tr>
<th>Query Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>type</td>
<td>Defines the type of MTConnect Asset to be returned in the MTConnectAssets Response Document. The type for an Asset is the term used in the Asset Information Model to describe different types of Assets. It is the term that is substituted for the Asset container and describes the highest-level element in the Asset hierarchy. See MTConnect Standard: Part 4.0 - Assets Information Model, Section 3.2.3 for more information on the type of an Asset.</td>
</tr>
<tr>
<td>removed</td>
<td>Assets can have an attribute that indicates whether the Asset has been removed from a piece of equipment. The valid values for removed are true or false. If the value of the removed parameter in the query is true, then Asset Documents for Assets that have been marked as removed from a piece of equipment will be included in the Response Document. If the value of the removed parameter in the query is false, then Asset Documents for Assets that have been marked as removed from a piece of equipment will not be included in the Response Document. If removed is not defined in a query, the default value for removed MUST be determined to be false.</td>
</tr>
<tr>
<td>count</td>
<td>Defines the maximum number of Asset Documents to return in an MTConnectAssets Response Document. If count is not defined in the query, the default value for count MUST be determined to be 100.</td>
</tr>
</tbody>
</table>

8.3.4.3 Response to an Asset Request

The Response to an Asset Request SHOULD be an MTConnectAssets Response Document containing information for one or more Asset Documents designated by the Request. The Response to an Asset Request MUST always provide the most recent information available to an Agent. The Asset Documents provided in the MTConnectAssets Response Document will be lim-
ited to those specified in the combination of the path segment of the Asset Request and the parameters provided in the query segment of that Request.

If the removed query parameter is not provided with a value of true, Asset Documents for Assets that have been marked as removed will not be provided in the response.

8.3.4.4 HTTP Status Codes for a Asset Request

The following HTTP Status Codes MUST be supported as possible responses to an Asset Request:

Table 20: HTTP Status Codes for an Asset Request

<table>
<thead>
<tr>
<th>HTTP Status Code</th>
<th>Code Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>200</td>
<td>OK</td>
<td>The Request was handled successfully.</td>
</tr>
<tr>
<td>400</td>
<td>Bad Request</td>
<td>The Request could not be interpreted.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies either INVALID_URI or INVALID_REQUEST as the errorCode. If the query parameters do not contain a valid value or include an invalid parameter, the Agent MUST return a 400 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies QUERY_ERROR as the errorCode.</td>
</tr>
<tr>
<td>404</td>
<td>Not Found</td>
<td>The Request could not be interpreted.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Agent MUST return a 404 HTTP Status Code. Also, the Agent MUST publish an MTConnectErrors Response Document that identifies NO_DEVICE or ASSET_NOT_FOUND as the errorCode.</td>
</tr>
<tr>
<td>HTTP Status Code</td>
<td>Code Name</td>
<td>Description</td>
</tr>
<tr>
<td>------------------</td>
<td>-----------------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>405</td>
<td>Method Not Allowed</td>
<td>A method other than <strong>GET</strong> was specified in the <strong>Request</strong> or the piece of equipment specified in the <strong>Request</strong> could not be found. The Agent MUST return a 405 <strong>HTTP Status Code</strong>. Also, the Agent MUST publish an <strong>MTConnectErrors Response Document</strong> that identifies <strong>UNSUPPORTED</strong> as the <strong>errorCode</strong>.</td>
</tr>
<tr>
<td>406</td>
<td>Not Acceptable</td>
<td>The <strong>HTTP Accept Header</strong> in the <strong>Request</strong> was not one of the supported representations. The Agent MUST return a 406 <strong>HTTP Status Code</strong>. Also, the Agent MUST publish an <strong>MTConnectErrors Response Document</strong> that identifies <strong>UNSUPPORTED</strong> as the <strong>errorCode</strong>.</td>
</tr>
<tr>
<td>431</td>
<td>Request Header Fields Too Large</td>
<td>The fields in the <strong>HTTP Request</strong> exceed the limit of the implementation of the Agent. The Agent MUST return a 431 <strong>HTTP Status Code</strong>. Also, the Agent MUST publish an <strong>MTConnectErrors Response Document</strong> that identifies <strong>INVALID_REQUEST</strong> as the <strong>errorCode</strong>.</td>
</tr>
<tr>
<td>500</td>
<td>Internal Server Error</td>
<td>There was an unexpected error in the Agent while responding to a <strong>Request</strong>. The Agent MUST return a 500 <strong>HTTP Status Code</strong>. Also, the Agent MUST publish an <strong>MTConnectErrors Response Document</strong> that identifies <strong>INTERNAL_ERROR</strong> as the <strong>errorCode</strong>.</td>
</tr>
</tbody>
</table>

**8.3.5 HTTP Errors**

When an Agent receives an **HTTP Request** that is incorrectly formatted or is not supported by the Agent, the Agent MUST publish an **HTTP Error Message** which includes a specific
status code from the tables above indicating that the Request could not be handled by the Agent.

Also, if the Agent experiences an internal error and is unable to provide the requested Response Document, it MUST publish an HTTP Error Message that includes a specific status code from the table above.

When an Agent encounters an error in interpreting or responding to an HTTP Request the Agent MUST also publish an MTConnectErrors Response Document that provides additional details about the error. See Section 9 - Error Information Model for details on the MTConnectErrors Response Document.

8.3.6 Streaming Data

HTTP Data Streaming is a method for a server to provide a continuous stream of information in response to a single Request from a client software application. Data Streaming is a version of a Publish/Subscribe method of communications.

When an HTTP Request includes an interval <query> parameter, an Agent MUST provide data with a minimum delay between the end of one data transmission and the beginning of the next data transmission defined by the value (in milliseconds) provided for interval parameter. A value of zero (0) for the interval parameter indicates that the Agent should deliver data at the highest rate possible.

The format of the response MUST use a MIME encoded message with each section separated by a MIME boundary. Each section MUST contain an entire MTConnectStreams Response Document.

If there are no available Data Entities to be published after the interval time has elapsed, an Agent MUST wait until additional information is available to be published. If no new new information is available to be published within the time defined by the heartbeat parameter, the Agent MUST then send a new section to ensure the receiver that the Agent is functioning correctly. In this case, the content of the MTConnectStreams document MUST be empty since no data is available.

For more information on MIME see IETF RFC 1521 and RFC 822.

An example of the format for an HTTP Request that includes an interval parameter is:

Example 8: Example for HTTP Request with interval parameter

```
http://localhost:5000/sample?interval=1000
```
HTTP Response Header:

**Example 9: HTTP Response header**

```
HTTP/1.1 200 OK
Connection: close
Date: Sat, 13 Mar 2010 08:33:37 UTC
Status: 200 OK
Content-Disposition: inline
X-Runtime: 144ms
Content-Type: multipart/x-mixed-replace;boundary=
Transfer-Encoding: chunked
```

Lines 1-9 in Example 9 represent a standard header for a MIME multipart/x-mixed-replace message. The boundary is a separator for each section of the stream. Lines 7-8 indicate this is a multipart MIME message and the boundary between sections.

With streaming protocols, the Content-length MUST be omitted and Transfer-Encoding MUST be set to chunked (line 9). See IETF RFC 7230 for a full description of the HTTP protocol and chunked encoding.

**Example 10: HTTP Response header 2**

```
--a8e12eced4fb871ac096a99bf9728425
Content-type: text/xml
Content-length: 887
<?xml version="1.0" ecoding="UTF-8"?>
<MTConnectStreams ...>...
```

Each section of the document begins with a boundary preceded by two hyphens (–). The Content-type and Content-length MIME header fields MUST be provided for each section and MUST be followed by <CR><LF><CR><LF> (ASCII code for <CR> is 13 and <LF> is 10) before the XML document. The header and the <CR><LF><CR><LF> MUST NOT be included in the computation of the content length.

An **Agent** MUST continue to stream results until the client closes the connection. The **Agent** MUST NOT stop the streaming for any other reason other than the **Agent** process shutting down or the client application becoming unresponsive and not receiving data (as indicated by not consuming data and the write operation blocking).

### 8.3.6.1 Heartbeat

When **Streaming Data** is requested from a **Sample Request** an **Agent** MUST support a heartbeat to indicate to a client application that the HTTP connection is still viable during
times when there is no new data available to be published. The heartbeat is indicated by
an Agent by sending an MTConnect Response Document with an empty Streams container
(See MTConnect Standard: Part 3.0 - Streams Information Model, Section 4.1 Streams for
more details on the Streams container) to the client software application.

The heartbeat MUST occur on a periodic basis given by the optional heartbeat query
parameter and MUST default to 10 seconds. An Agent MUST maintain a separate heartbeat for each client application for which the Agent is responding to a Data Streaming Request.

An Agent MUST begin calculating the interval for the time-period of the heartbeat for each client application immediately after a Response Document is published to that specific client application.

The heartbeat remains in effect for each client software application until the Data Streaming Request is terminated by either the Agent or the client application.

8.3.7 References

A Structural Element MAY include a set of References of the following types that MAY alter the content of the MTConnectStreams Response Documents published in response to a Current Request or a Sample Request as specified:

- A Component Reference (ComponentRef) modifies the set of resulting Data Entities, limited by a path query parameter of a Current Request or Sample Request, to include the Data Entities associated with the Structural Element whose value for its id attribute matches the value provided for the idRef attribute of the ComponentRef element. Additionally, Data Entities defined for any Lower Level Structural Element(s) associated with the identified Structural Element MUST also be returned. The result is equivalent to appending //[@id="idRef"] to the path query parameters of the Current Request or Sample Request. See Section 8.3.2 - Current Request Implemented Using HTTP for more details on path queries.

- A Data Item Reference (DataItemRef) modifies the set of resulting Data Entities, limited by a path query parameter of a Current Request or Sample Request, to include the Data Entity whose value for its id attribute matches the value provided for the idRef attribute of the DataItemRef element. The result is equivalent to appending //[@id="idRef"] to the path query parameters of the Current Request or Sample Request. See Section 8.3.2 - Current Request Implemented Using HTTP for more details on path queries.
9 Error Information Model

The Error Information Model establishes the rules and terminology that describes the Response Document returned by an Agent when it encounters an error while interpreting a Request for information from a client software application or when an Agent experiences an error while publishing the Response to a Request for information.

An Agent provides the information regarding errors encountered when processing a Request for information by publishing an MTConnectErrors Response Document to the client software application that made the Request for information.

9.1 MTConnectError Response Document

The MTConnectErrors Response Document is comprised of two sections: Header and Errors.

The Header section contains information defining the creation of the document and the data storage capability of the Agent that generated the document. (See Section 6.5.4 - Header for MTConnectError)

The Errors section of the MTConnectErrors Response Document is a Structural Element that organizes Data Entities describing each of the errors reported by an Agent.

9.1.1 Structural Element for MTConnectError

Structural Elements are XML elements that form the logical structure for an XML document. The MTConnectErrors Response Document has only one Structural Element. This Structural Element is Errors. Errors is an XML container element that organizes the information and data associated with all errors relevant to a specific Request for information.

The following XML Schema represents the structure of the Errors XML element.
Figure 21: Errors Schema Diagram

Table 21: MTConnect Errors Element

<table>
<thead>
<tr>
<th>Element</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Errors</td>
<td>An XML container element in an MTConnectErrors Response Document provided by an Agent when an error is encountered associated with a Request for information from a client software application. There MUST be only one Errors element in an MTConnectErrors Response Document. The Errors element MUST contain at least one Error Data Entity element.</td>
<td>1</td>
</tr>
</tbody>
</table>

Note: When compatibility with Version 1.0.1 and earlier of the MTConnect Standard is required for an implementation, the MTConnectErrors Response Document contains only a single Error Data Entity and the Errors Structural Element MUST NOT appear in the document.
9.1.2 Error Data Entity

When an Agent encounters an error when responding to a Request for information from a client software application, the information describing the error(s) is reported as a Data Entity in an MTConnectErrors Response Document. Data Entities are organized in the Errors XML container.

There is only one type of Data Entity defined for an MTConnectErrors Response Document. That Data Entity is called Error.

The following is an illustration of the structure of an XML document demonstrating how Error Data Entities are reported in an MTConnectErrors Response Document:

Example 11: Example of Error in MTConnectError

```
1 <MTConnectError>
2   <Header/>
3   <Errors>
4     <Error/>
5     <Error/>
6     <Error/>
7   </Errors>
8 </MTConnectError>
```

The Errors element MUST contain at least one Data Entity. Each Data Entity describes the details for a specific error reported by an Agent and is represented by the XML element named Error.

Error XML elements MAY contain both attributes and CDATA that provide details further defining a specific error. The CDATA MAY provide the complete text provided by an Agent for the specific error.

9.1.2.1 XML Schema Structure for Error

The XML Schema in Figure 22 represents the structure of an Error XML element showing the attributes defined for Error.
9.1.2.2 Attributes for Error

Error has one attribute. Table 22 defines this attribute that provides additional information for an Error XML element.

Table 22: Attributes for Error

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
<th>Occurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>errorCode</td>
<td>Provides a descriptive code that indicates the type of error that was encountered by an Agent when attempting to respond to a Request for information. errorCode is a required attribute.</td>
<td>1</td>
</tr>
</tbody>
</table>

9.1.2.3 Values for errorCode

There is a limited vocabulary defined for errorCode. The value returned for errorCode MUST be one of the following:
<table>
<thead>
<tr>
<th>Value for errorCode</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASSET_NOT_FOUND</td>
<td>The Request for information specifies an MTConnect Asset that is not recognized by the Agent.</td>
</tr>
<tr>
<td>INTERNAL_ERROR</td>
<td>The Agent experienced an error while attempting to published the requested information.</td>
</tr>
<tr>
<td>INVALID_REQUEST</td>
<td>The Request contains information that was not recognized by the Agent.</td>
</tr>
<tr>
<td>INVALID_URI</td>
<td>The URI provided was incorrect.</td>
</tr>
<tr>
<td>INVALID_XPATH</td>
<td>The XPath identified in the Request for information could not be parsed correctly by the Agent. This could be caused by an invalid syntax or the XPath did not match a valid identify for any information stored in the Agent.</td>
</tr>
<tr>
<td>NO_DEVICE</td>
<td>The identity of the piece of equipment specified in the Request for information is not associated with the Agent.</td>
</tr>
<tr>
<td>OUT_OF_RANGE</td>
<td>The Request for information specifies Streaming Data that includes sequence number(s) for pieces of data that are beyond the end of the buffer.</td>
</tr>
<tr>
<td>QUERY_ERROR</td>
<td>The Agent was unable to interpret the Query. The Query parameters do not contain valid values or include an invalid parameter.</td>
</tr>
<tr>
<td>TOO_MANY</td>
<td>The count parameter provided in the Request for information requires either of the following: - Streaming Data that includes more pieces of data than the Agent is capable of organizing in an MTConnectStreams Response Document - Assets that include more Asset Documents in an MTConnectAssets Response Document than the Agent is capable of handling.</td>
</tr>
<tr>
<td>UNAUTHORIZED</td>
<td>The Requester does not have sufficient permissions to access the requested information.</td>
</tr>
<tr>
<td>UNSUPPORTED</td>
<td>A valid Request was provided, but the Agent does not support the feature or type of Request.</td>
</tr>
</tbody>
</table>
9.1.2.4 CDATA for Error

The CDATA for Error contains a textual description of the error and any additional information an Agent is capable of providing regarding a specific error. The Valid Data returned for Error MAY be any text string.

9.1.3 Examples for MTConnectError

Example 12 is an example demonstrating the structure of an MTConnectErrors Response Document:

```
<?xml version="1.0" encoding="UTF-8"?>
<MTConnectError xmlns="urn:mtconnect.org:MTConnectError:1.4"
xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.4/schemas/MTConnectError_1.4.xsd">
  <Header creationTime="2010-03-12T12:33:01Z" sender="MyAgent" version="1.4.1.10"
bufferSize="131000" instanceId="1383839"/>
  <Errors>
    <Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
    <Error errorCode="INVALID_XPATH" >Bad path</Error>
  </Errors>
</MTConnectError>
```

Example 13 is an example demonstrating the structure of an MTConnectErrors Response Document when backward compatibility with Version 1.0.1 and earlier of the MTConnect Standard is required. In this case, the Document Body contains only a single Error Data Entity and the Errors Structural Element MUST NOT appear in the document.

```
<?xml version="1.0" encoding="UTF-8"?>
<MTConnectError xmlns="urn:mtconnect.org:MTConnectError:1.1"
xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
xsi:schemaLocation="urn:mtconnect.org:MTConnectError:1.1/schemas/MTConnectError_1.1.xsd">
  <Header creationTime="2010-03-12T12:33:01Z" sender="MyAgent" version="1.1.0.10"
bufferSize="131000" instanceId="1383839"/>
  <Errors>
    <Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
    <Error errorCode="INVALID_XPATH" >Bad path</Error>
  </Errors>
</MTConnectError>
```
<Error errorCode="OUT_OF_RANGE" >Argument was out of range</Error>
</MTConnectError>
Appendices

A Bibliography


View the following site for RFC references: http://www.faqs.org/rfcs/.
B  Fundamentals of Using XML to Encode Response Documents

The MTConnect Standard specifies the structures and constructs that are used to encode Response Documents. When these Response Documents are encoded using XML, there are additional rules defined by the XML standard that apply for creating an XML compliant document. An implementer should refer to the W3C website for additional information on XML documentation and implementation details - http://www.w3.org/XML.

The following provides specific terms and guidelines referenced in the MTConnect Standard for forming Response Documents with XML:

- **tag**: A tag is an XML construct that forms the foundation for an XML expression. It defines the scope (beginning and end) of an XML expression. The main types of tags are:
  - **start-tag**: Designates the beginning on an XML element; e.g., `<Element Name>`
  - **end-tag**: Designates the end on an XML element; e.g., `</Element Name>`.

  Note: If an element has no Child Elements or CDATA, the end-tag may be shortened to `/>`.

- **Element**: An element is an XML statement that is the primary building block for a document encoded using XML. An element begins with a start-tag and ends with a matching end-tag. The characters between the start-tag and the end-tag are the element’s content. The content may contain attributes, CDATA, and/or other elements. If the content contains additional elements, these elements are called Child Elements.

  An example would be: `<Element Name>Content of the Element</Element Name>`.

- **Child Element**: An XML element that is contained within a higher-level Parent Element. A Child Element is also known as a sub-element. XML allows an unlimited hierarchy of Parent Element-Child Element relationships that establishes the structure that defines how the various pieces of information in the document relate to each other. A Parent Element may have multiple associated Child Elements.

- **Element Name**: A descriptive identifier contained in both the start-tag and end-tag that provides the name of an XML element.

- **Attribute**: A construct consisting of a name–value pair that provides additional information about that XML element. The format for an attribute is `name="value"`; where the value for the attribute is enclosed in a set of quotation (") marks. An XML attribute MUST only have a single value and each attribute can appear at most once in each element. Also, each attribute MUST be defined in a schema to either be required or optional.
• An example of attributes for an XML element is Example 14:

Example 14: Example of attributes for an element

```xml
<DataItem category="SAMPLE" id="S1load" nativeUnits="PERCENT" type="LOAD" units="PERCENT"/>
```

In this example, DataItem is the ElementName, category, id, nativeUnits, type, and units are the names of the attributes. “SAMPLE”, “S1load”, “PERCENT”, “LOAD”, and “PERCENT” are the values for each of the respective attributes.

• CDATA is an XML term representing Character Data. Character Data contains a value(s) or text that is associated with an XML element. CDATA can be restricted to certain formats, patterns, or words.

Example 15: Example of cdata associated with element

```xml
<Message id="M1">This is some text</Message>
```

In this example, Message is the ElementName and This is some text is the CDATA.

• namespace: An XML namespace defines a unique vocabulary for named elements and attributes in an XML document. An XML document may contain content that is associated with multiple namespaces. Each namespace has its own unique identifier.

Elements and attributes are associated with a specific namespace by placing a prefix on the name of the element or attribute that associates that name to a specific namespace; e.g., x:MyTarget associates the element name MyTarget with the namespace designated by x: (the prefix).

Namespaces are used to avoid naming conflicts within an XML document. The naming convention used for elements and attributes may be associated with either the default namespace specified in the Header of an XML document or they may be associated with one or more alternate namespaces. All elements or attributes associated with a namespace that is not the default namespace, must include a prefix (e.g., x:) as part of the name of the element or attribute to associate it with the proper namespace. See Appendix C for details on the structure for XML Headers.

The names of the elements and attributes declared in a namespace may be identified with a different prefix than the prefix that signifies that specific namespace. These prefixes are called namespace aliases. As an example, MTConnect Standard specific namespaces are designated as m: and the names of the elements and attributes defined in that namespace have an alias prefix of mt: which designates these names as MTConnect Standard specific vocabulary; e.g., mt:MTConnectDevices.
XML documents are encoded with a hierarchy of elements. In general, XML elements may contain Child Elements, CDATA, or both. However, in the MTConnect Standard, an element MUST NOT contain mixed content; meaning it cannot contain both Child Elements and CDATA.

The semantic data model defined for each Response Document specifies the elements and Child Elements that may appear in a document. The semantic data model also defines the number of times each element and Child Element may appear in the document.

Example 16 demonstrates the hierarchy of XML elements and Child Elements used to form an XML document:

```
Example 16: Example of hierarchy of XML elements

1  <Root Level>  (Parent Element)
2  <First Level> (Child Element to Root Level and Parent Element to Second Level)
3      <Second Level> (Child Element to First Level and Parent Element to Third Level)
4      6    <Third Level name="N1"></Third Level>
5      7    (Child Element to Second Level)
6      8    <Third Level name="N2"></Third Level>
7      9    (Child Element to Second Level)
8      10   <Third Level name="N3"></Third Level>
9     11    (Child Element to Second Level)
10    </Second Level> (end-tag for Second Level)
11   12    </First Level> (end-tag for First Level)
12  13    </Root Level> (end-tag for Root Level)
```

In the Example 16, Root Level and First Level have one Child Element (sub-elements) each and Second Level has three Child Elements, each called Third Level. Each Third Level element has a different name attribute. Each level in the structure is an element and each lower level element is a Child Element.
C  Schema and Namespace Declaration Information

There are four pseudo-attributes typically included in the Header of a Response Document that declare the schema and namespace for the document. Each of these pseudo-attributes provides specific information for a client software application to properly interpret the content of the Response Document.

The pseudo-attributes include:

- `xmlns:xsi` – The xsi portion of this attribute name stands for XML Schema instance. An XML Schema instance provides information that may be used by a software application to interpret XML specific information within a document. See the W3C website for more details on xmlns:xsi.

- `xmlns` – Declares the default namespace associated with the content of the Response Document. The default namespace is considered to apply to all elements and attributes whenever the name of the element or attribute does not contain a prefix identifying an alternate namespace. The value of this attribute is an URN identifying the name of the file that defines the details of the namespace content. This URN provides a unique identify for the namespace.

- `xmlns:m` – Declares the MTConnect specific namespace associated with the content of the Response Document. There may be multiple namespaces declared for an XML document. Each may be associated to the default namespace or it may be totally independent. The :m designates that this is a specific MTConnect namespace which is directly associated with the default namespace.

  Note: See Section 6.7 - Extensibility for details regarding extended namespaces.

  The value associated with this attribute is an URN identifying the name of the file that defines the details of the namespace content.

- `xsi:schemaLocation` - Declares the name for the schema associated with the Response Document and the location of the file that contains the details of the schema for that document.

  The value associated with this attribute has two parts:

  - A URN identifying the name of the specific XML Schema instance associated with the Response Document.

  - The path to the location where the file describing the specific XML Schema instance is located. If the file is located in the same root directory where the Agent is installed, then the local path MAY be declared. Otherwise, a fully qualified URL must be declared to identify the location of the file.
Note: In the format of the value associated with `xsi:schemaLocation`, the URN and the path to the `schema` file MUST be separated by a “space”.

In Example 17 the first line is the XML Declaration. The second line is a Root Element called `MTConnectDevices`. The remaining four lines are the pseudo-attributes of `MTConnectDevices` that declare the XML `schema` and namespace associated with an `MTConnectDevices Response Document`.

Example 17: Example of schema and namespace declaration

```xml
<?xml version="1.0" encoding="UTF-8"?>
<MTConnectDevices
    xmlns:xsi=http://www.w3.org/2001/XMLSchema-instance
    xmlns="urn:mtconnect.org:MTConnectDevices:1.3"
    xmlns:m="urn:mtconnect.org:MTConnectDevices:1.3"
    xsi:schemaLocation="urn:mtconnect.org:MTConnectDevices:1.3 /schemas/MTConnectDevices_1.3.xsd">
```

The format for the values provided for each of the pseudo-attributes MUST reference the semantic data model (e.g., `MTConnectDevices`, `MTConnectStreams`, `MTConnectAssets`, or `MTConnectError`) and the version (i.e.; 1.1, 1.2, 1.3, etc.) of the MTConnect Standard that depict the `schema` and namespace(s) associated with a specific `Response Document`.

When an implementer chooses to extend an MTConnect Data Model by adding custom data types or additional Structural Elements, the `schema` and namespace for that Data Model should be updated to reflect the additional content. When this is done, the namespace and `schema` information in the Header should be updated to reflect the URI for the extended namespace and `schema`. 