

# MTConnect<sup>®</sup> Standard Part 4 – Assets Version 1.2.0 – Final

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### 1 **1 Overview**

- 2 MTConnect<sup>®</sup> is a standard based on an open protocol for data integration. MTConnect<sup>®</sup> is not
- 3 intended to replace the functionality of existing products, but it strives to enhance the data
- 4 acquisition capabilities of devices and applications and move toward a plug-and-play
- 5 environment to reduce the cost of integration.
- 6 MTConnect<sup>®</sup> is built upon the most prevalent standards in the manufacturing and software
- 7 industry, maximizing the number of tools available for its implementation and providing the
- 8 highest level of interoperability with other standards and tools in these industries.
- 9 To facilitate this level of interoperability, a number of objectives are being met. Foremost is the 10 ability to transfer data via a standard protocol which includes:
- A device identity (i.e. model number, serial number, calibration data, etc.).
- The identity of all the independent components of the device.
- Possibly a device's design characteristics (i.e. axis length, maximum speeds, device thre sholds, etc.).
- Most importantly, data captured in real or near-real-time (i.e. current speed, position data, temperature data, program block, etc.) by a device that can be utilized by other devices or applications (e.g. utilized by maintenance diagnostic systems, management production information systems, CAM products, etc.).
- 19
- 20 The types of data that may need to be addressed in MTConnect<sup>®</sup> could include:
  - Physical and actual device design data
  - Measurement or calibration data
  - Near-real-time data from the device
- 23 24

21

- To accommodate the vast amount of different types of devices and information that may come into play, MTConnect<sup>®</sup> will provide a common high-level vocabulary and structure.
- 27 The first version of MTConnect<sup>®</sup> will focus on a <u>limited set</u> of the characteristics mentioned
- above that were selected based on the fact that they can have an immediate affect on the
- 29 efficiency of operations.
- **30 1.1 MTConnect**<sup>®</sup> **Document Structure**
- 31 The MTConnect<sup>®</sup> specification is subdivided using the following scheme:
- 32 Part 1: Overview and Protocol
- 33 Part 2: Components and Data Items
- 34 Part 3: Streams, Events, Samples, and Condition
- 35 Part 4: Assets
- 36
- 37 These four documents are considered the bases of the MTConnect standard. Information
- 38 applicable to basic machine and device types will be included in these documents. Additional
- 39 parts to the standard will be added to provide information and extensions to the standard focused
- 40 on specific devices, components, or technologies considered requiring separate emphasis. All

- 41 information specific to the topic of each additional part **MUST** be included within that document
- 42 even when it is a subject matter of one of the base parts of the standard.
- 43
- 44 Documents will be named (file name convention) as follows:
- 45 MTC\_Part\_<Number>\_<Description>.doc.
- 46 For example, the file name for Part 2 of the standard is MTC\_Part\_2\_Components.doc.
- 47 All documents will be developed in Microsoft<sup>®</sup> Word format and released in Adobe<sup>®</sup> PDF
- 48 format.

## 49 **2 Purpose of This Document**

- 50 The four base MTConnect<sup>®</sup> documents are intended to:
- 51
- define the MTConnect<sup>®</sup> standard;
- specify the requirements for compliance with the MTConnect<sup>®</sup> standard;
- provide engineers with sufficient information to implement *Agents* for their devices;
- provide developers with the necessary guidelines to use the standard to develop applications.
- <sup>56</sup> Part 1 of the MTConnect Standard provides an overview of the MTConnect Architecture and
- 57 Protocol; including communication, fault tolerance, connectivity, and error handling require-
- 58 ments.
- 59 Part 2 of the MTConnect<sup>®</sup> standard focuses on the data model and description of the information
- 60 that is available from the device. The descriptive data defines how a piece of equipment should
- 61 be modeled, the structure of the component hierarchy, the names for each component (if
- 62 restricted), and allowable data items for each of the components.
- 63 Part 3 of the MTConnect standard focuses on the data returned from a current or sample
- request (for more information on these requests, see Part 1). This section covers the data
- 65 representing the state of the machine.
- 66 Part 4 of the MTConnect<sup>®</sup> standard provides a semantic model for entities that are used in the
- 67 manufacturing process, but are not considered to be a device nor a component. These entities are
- 68 defined as MTConnect<sup>®</sup> Assets. These assets may be removed from a device without detriment
- to the function of the device, and can be associated with other devices during their lifecycle. The
- 70 data associated with these assets will be retrieved from multiple sources that are responsible for
- 71 providing their knowledge of the asset. The first type of asset to be addressed is Tooling.

#### 72 **2.1 Terminology**

73	Adapter	An optional software component that connects the Agent to the Device.
74 75	Agent	A process that implements the MTConnect <sup>®</sup> HTTP protocol, XML generation, and MTConnect protocol.
76 77	Alarm	An alarm indicates an event that requires attention and indicates a deviation from normal operation. Alarms are reported in MTConnect as Condition.
78 79	Application	A process or set of processes that access the MTConnect <sup>®</sup> <i>Agent</i> to perform some task.
80 81 82	Attribute	A part of an XML element that provides additional information about that XML element. For example, the name XML element of the Device is given as <device name="mill-1"></device>
83 84	CDATA	The text in a simple content element. For example, <i>This is some text</i> , in <message>This is some text</message> .

85 86	Component	A part of a device that can have sub-components and data items. A component is a basic building block of a device.
87 88 89	Controlled Voca	<b>bulary</b> The value of an element or attribute is limited to a restricted set of possibilities. Examples of controlled vocabularies are country codes: US, JP, CA, FR, DE, etc
90 91 92	Current	A snapshot request to the <i>Agent</i> to retrieve the current values of all the data items specified in the path parameter. If no path parameter is given, then the values for all components are provided.
93 94	Data Item	A data item provides the descriptive information regarding something that can be collected by the <i>Agent</i> .
95 96 97 98	Device	A piece of equipment capable of performing an operation. A device may be composed of a set of components that provide data to the application. The device is a separate entity with at least one component or data item providing information about the device.
99 100 101	Discovery	Discovery is a service that allows the application to locate <i>Agents</i> for devices in the manufacturing environment. The discovery service is also referred to as the <i>Name Service</i> .
102 103	Event	An event represents a change in state that occurs at a point in time. Note: An event does not occur at predefined frequencies.
104 105	НТТР	Hyper-Text Transport Protocol. The protocol used by all web browsers and web applications.
106 107 108	Instance	When used in software engineering, the word <i>instance</i> is used to define a single physical example of that type. In object-oriented models, there is the class that describes the thing and the instance that is an example of that thing.
109 110 111	LDAP	Lightweight Directory Access Protocol, better known as Active Directory in Microsoft Windows. This protocol provides resource location and contact information in a hierarchal structure.
112 113	MIME	Multipurpose Internet Mail Extensions. A format used for encoding multipart mail and http content with separate sections separated by a fixed boundary.
114 115	Probe	A request to determine the configuration and reporting capabilities of the device.
116 117 118	REST	REpresentational State Transfer. A software architecture where the client and server move through a series of state transitions based solely on the request from the client and the response from the server.
119 120	Results	A general term for the Samples, Events, and Condition contained in a ComponentStream as a response from a sample or current request.

121 122	Sample	A sample is a data point from within a continuous series of data points. An example of a Sample is the position of an axis.
123 124 125	Socket	When used concerning inter-process communication, it refers to a connection between two end-points (usually processes). Socket communication most often uses TCP/IP as the underlying protocol.
126 127	Stream	A collection of Events, Samples, and Condition organized by devices and components.
128	Service	An application that provides necessary functionality.
129	Tag	Used to reference an instance of an XML element.
130 131 132 133	TCP/IP	TCP/IP is the most prevalent stream-based protocol for inter-process communication. It is based on the IP stack (Internet Protocol) and provides the flow-control and reliable transmission layer on top of the IP routing infrastructure.
134 135	URI	Universal Resource Identifier. This is the official name for a web address as seen in the address bar of a browser.
136	UUID	Universally unique identifier.
137 138	XPath	XPath is a language for addressing parts of an XML Document. See the XPath specification for more information. <u>http://www.w3.org/TR/xpath</u>
139	XML	Extensible Markup Language. http://www.w3.org/XML/
140 141	XML Schema	The definition of the XML structure and vocabularies used in the XML Document.
142 143	XML Document	An instance of an XML Schema which has a single root XML element and conforms to the XML specification and schema.
144 145 146	XML Element	An element is the central building block of any XML Document. For example, in MTConnect <sup>®</sup> the Device XML element is specified as <b><device< b=""> <b>&gt;</b></device<></b>
147 148 149 150	XML nmtoken	The data type for XML identifiers. It <b>MUST</b> start with a letter, an underscore "_" or a colon ":" and then it <b>MUST</b> be followed by a letter, a number, or one of the following ".", "-", "_", ":". An NMTOKEN cannot have any spaces or special characters.
151	2.2 Terminol	ogy and Conventions

152 Please refer to Part 1 "Overview and Protocol" Section 2 for XML Terminology and

153 Documentation conventions.

## 154 **3 Extension to Part 1, Overview and Protocol**

As documented in Part 1, additional queries will be added to the *Agent* to support the storage and retrieval of assets. There is more detail in Part 1; what follows is a summary of the protocol additions:

- 158 Asset protocol:
- 159 Request an asset by id: • 160 o url: http://example.com/asset/hh1 o Returns the MTConnectAssets document for asset hh1 161 Request multiple assets by id: 162 • o url: http://example.com/asset/hh1;cc;123;q5 163 164 0 Returns the MTConnectAssets document for asset hh1, cc, 123, and g5. Request for all the assets in the *Agent*: 165 • 166 o url: http://example.com/assets Returns all available MTConnect assets in the Agent. MTConnect MAY return a 167 0 limited set if there are too many asset records. The assets **MUST** be added to the 168 beginning with the most recently modified assets. 169 Request for all assets of a given type in the Agent: 170 • 171 o url: http://example.com/assets?type="CuttingTool" Returns all available CuttingTool assets from the MTConnect Agent. 172 0 173 MTConnect **MAY** return a limited set if there are too many asset records. The assets **MUST** be added to the beginning with the most recently modified assets. 174

## 175 4 Extensions to Part 2, Components and Data Items

176 This document will add the following data item types to support change notification when an 177 asset is added or updated. The data item **MUST** be placed in the DataItems collection of the 178 top level device. The device **MUST** be the device that is supplying the asset data.

#### 179 4.1 Data Item Types for EVENT Category

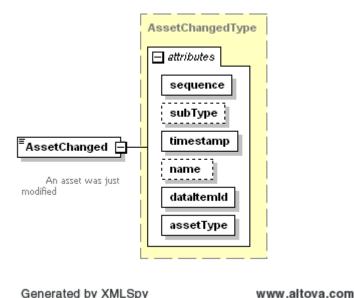
Data Item type/subtype	Description
ASSET_CHANGED	The value of the <b>CDATA</b> for the event <b>MUST</b> be the assetId of the asset that has been added or changed. There will not be a separate message for
	new assets.

# 5 Extensions to Part 3, Streams, Events, Samples, and Condition

182 The associated modifications **MUST** be added to Part 3 to add the following event to the events 183 in the streams.

#### 184 5.1 Extension to Events section 3.9

- 185 The AssetChanged element extends the base Event type defined in Part 3, Streams, Events,
- 186 *Samples, and Condition* and adds the assetType attribute to the base Event. This new event
- 187 will signal whenever a new asset is added or the existing definition of an asset is updated. The
- asset Id is provide as the CDATA value and can be used to request the asset data from the *Agent*
- 189 as described in Part 1, Overview and Protocol.



190 Generated by XMLSpy

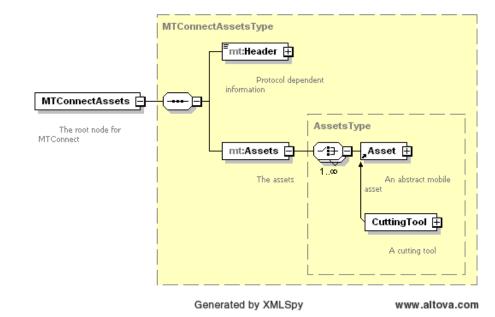
191 AssetChanged An asset has been added or modified. The CDATA for the AssetChanged 192 element MUST be the assetId of the asset that has been modified.

#### 193 **5.1.1 Additional AssetChanged attributes:**

Attribute	Description	Occurrence
assetType	The type of asset that changed	1

194

#### 196 6 Assets



197 198

Figure 1: Assets Schema

- 199 An Asset is something that is associated with the manufacturing process that is not a component
- 200 of a device, can be removed without detriment to the function of the device, and can be
- associated with other devices during their lifecycle. An asset does not have computational
- 202 capabilities, but may carry information in some media physically attached to the asset.
- 203 Concrete examples of Assets are things like Cutting Tools, Workholding Systems, and Fixtures.
- 204 Part 4 of the MTConnect standard will concern itself with the modeling of these assets and the
- 205 management and communication of asset data using MTConnect.
- At the top level of the MTConnectAssets document we have a standard header as documented in
- 207 Part 1: Overview and Protocol and one or more assets. Each asset is required to have an assetId
- that serves as a unique identifier of that asset. The id allows the application to request the asset
- 209 data from the agent, as prescribed in Part 1.
- In the remaining document, we will be discussing Cutting Tools as the first asset type covered by
- the standard. The cutting tool must have an assetId that differs from all the other assets tracked
- by this agent. There **MUST** never be more than one asset provided by MTConnect with the same
- asset Id in the same agent.

#### 214 6.1 Cutting Tool

- A Cutting Tool, also referred to as *an assembly* in this document, is an assembly of items for
- removing material from a work-piece through a shearing action at the defined cutting edge or
- edges of the Cutting Item. A Cutting Tool can be a single item or an assembly of one or more
- Adaptive Items, a Tool Item and several Cutting Items on a Tool Item.
- MTConnect will adopt the ISO 13399 structure when formulating the vocabulary for cutting tool geometries and structure. MTConnect will focus on the application of the cutting tool and cutting

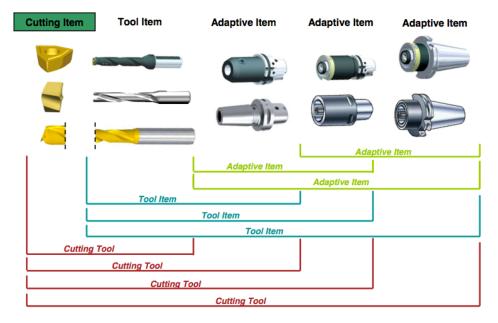
- items. At this time we are only concerned with two aspects of the cutting tool, the Cutting Tool
- and the Cutting Item. The Tool Item, Adaptive Item, and Assembly Item will only be covered in
- 223 the CuttingToolDefinition section of this document since this section contains the full
- ISO 13399 information about a Cutting Tool.



- 225
- 226

**Figure 2: Cutting Tool Parts** 

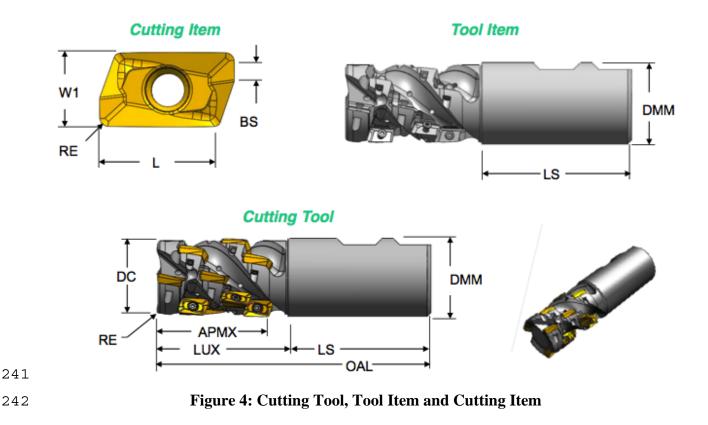
- 227 The previous diagram illustrates the parts of a cutting tool. The cutting tool is the aggregate of all
- the components and the cutting item is the part of the tool that removes the material from the
- 229 workpiece. These are the primary focus of MTConnect.



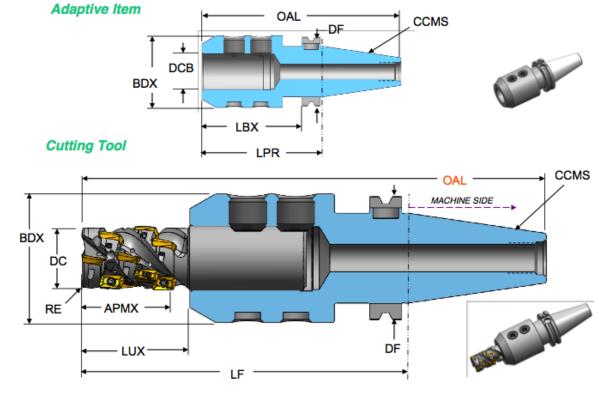


**Figure 3: Cutting Tool Composition** 

- Figure 3 provides another view of the cutting tool composition model. The adaptive items and
- tool items will be used for measurements, but will not be modeled as separate entities. When we
- are referencing the cutting tool we are referring to the entirety of the assembly and when we
- provide data regarding the cutting item we are referencing each individual item as illustrated on
- the left of the previous diagram.
- Figures 4 and 5 further illustrates the components of the cutting tool. As we compose the Tool
- Item, Cutting Item, Adaptive Item, we get a Cutting Tool. The Tool Item, Adaptive Item, and
- Assembly Item will only be in the CuttingToolDefinition section that will contain the
- 240 full ISO 13399 information.



#### Reference ISO13399



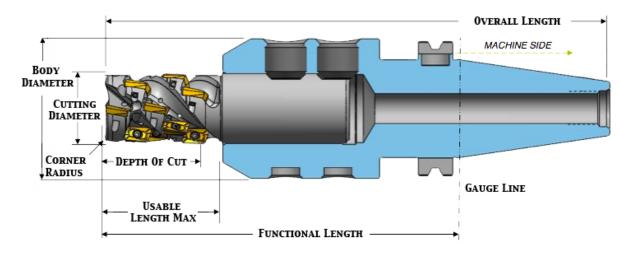
#### Reference ISO13399



Figure 5: Cutting Tool, Tool Item and Cutting Item

The above diagrams use the ISO 13399 codes for each of the measurements. These codes will be translated into the MTConnect vocabulary as illustrated below. The measurements will have a maximum, minimum, and nominal value representing the tolerance of allowable values for this dimension. See below for a full discussion.

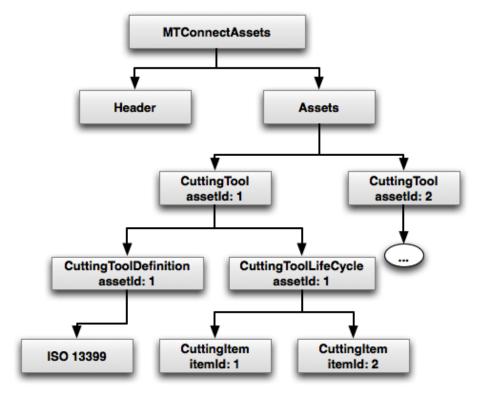






**Figure 6: Cutting Tool Measurements** 

- 252 The MTConnect standard will not define the entire geometry of the cutting tool, but will provide
- the information necessary to use the tool in the manufacturing process. Additional information
- can be added to the definition of the cutting tool by means of schema extensions.
- Additional diagrams will reference these dimensions by their codes that will be defined in the
- measurement tables. The codes are consistent with the codes used in ISO 13399 and have been
- standardized. MTConnect will use the full text name for clarity in the XML document.



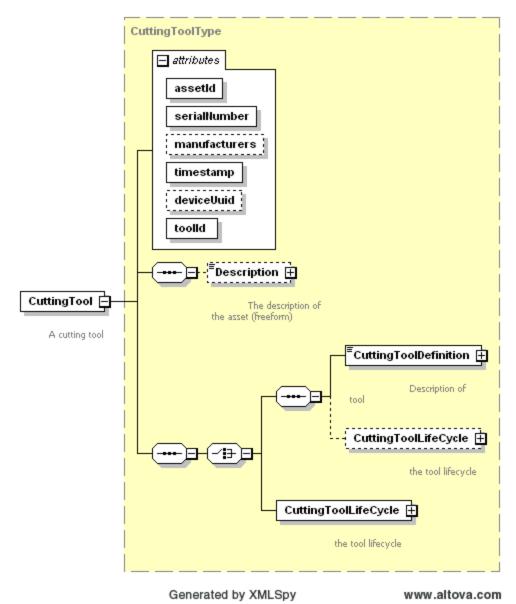
#### Figure 7: Cutting Tool Asset Structure

260 The structure of the MTConnectAssets header is defined in *Part 1: Overview and Protocol* of the

standard. A finite number of assets will be stored in the MTConnect agent. This finite number

will be implementation specific and will depend on memory and storage constraints. The

standard will not prescribe the number or capacity requirements for an implementation.



265

## Figure 8: Cutting Tool Schema

# 266 6.1.1 CuttingTool attributes:

Attribute	Description	Occurrence
timestamp	The time this asset was last modified. Always given in UTC. The timestamp <b>MUST</b> be provided in UTC (Universal Time Coordinate, also known as GMT). This is the time the asset data was last modified.	1
assetId	The unique identifier of the instance of this tool. The unique identifier of the instance of this tool. This will be the same as the $toolld$ and	1
	serialNumber in most cases. The assetId SHOULD be the combination of the toolId and serialNumber as in	
	toolId.serialNumber or an equivalent implementation dependent identification scheme.	

Attribute	Description	Occurrence
serialNumber	The unique identifier for this assembly. The unique identifier for this assembly. This is defined as an XML string type and is implementation dependent.	1
toolId	The identifier for the class of cutting tool. The identifier for a class of cutting tools. This is defined as an XML string type and is implementation dependent.	1
deviceUuid	The device's UUID that supplied this data. This optional element References to the UUID attribute given in the device element. This can be any series of numbers and letters as defined by the XML type NMTOKEN.	1
	The manufacturers of the cutting tool. An optional attribute referring to the manufacturers of this tool, for this element, this will reference the Tool Item and Adaptive Items specifically. The Cutting Items manufacturers' will be an attribute of the CuttingItem elements. The representation will be a comma (,) delimited list of manufacturer names. This can be any series of numbers and letters as defined by the XML type string.	01

#### 267 6.1.2 CuttingTool Elements

- 268 The elements associated with this cutting tool are given below. Each element will be described in
- more detail below and any possible values will be presented with full definitions. The elements
- 270 **MUST** be provided in the following order as prescribed by XML. At least one of
- 271 CuttingToolDefinition or CuttingToolLifeCycle MUST be supplied.

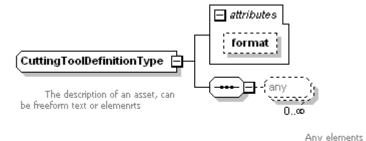
Element	Description	Occurrence
Description	An element that can contain any descriptive content. This can contain configuration information and manufacturer specific details. This element is defined to contain mixed content and XML elements can be added to extend the descriptive semantics of MTConnect.	01
CuttingToolDefinition	Reference to a ISO 13399	01
CuttingToolLifeCycle	MTConnect data regarding the use phase of this tool.	01

272

#### 273 **6.1.3 Description**

- 274 The description MAY contain mixed content, meaning that an additional XML element or plain
- text may be provided as part of the content of the description tag. Currently the description
- 276 contains no additional attributes.

#### 277 6.1.4 CuttingToolDefinition



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278 279

#### Figure 9: Cutting Tool Definition

- 280 The CuttingToolDefinition contains the detailed structure of the cutting tool. The
- information contained in this element will be static during its lifecycle. Currently we are
- referring to the external ISO 13399 standard to provide the complete definition and composition
- 283 of the cutting tool as defined in *Section 6.1* of this document.

#### 284 6.1.5 CuttingToolDefinition attributes:

Attribute	Description	Occurrence
format	Format – EXPRESS, XML, TEXT, or UNDEFINED. Default: XML	01

#### 285 **6.1.5.1 format**

- 286 The format attribute describes the expected representation of the enclosed data. If no value is
- 287 given, the assumed format will be XML.

Value	Description	
XML	The default value for the definition. The content will be an XML document.	
EXPRESS	The document will confirm to the ISO 10303 standard. STEP-NC part 21 file formats.	
TEXT	The document will be a text representation of the tool data.	
UNDEFINED	The document will be provided in an undefined format.	

288

#### 289 6.1.6 CuttingToolDefinition Elements

The only acceptable cutting tool definition at present is ISO 13399. Additional formats **MAY** be

considered in the future.

#### 292 6.1.7 ISO 13399

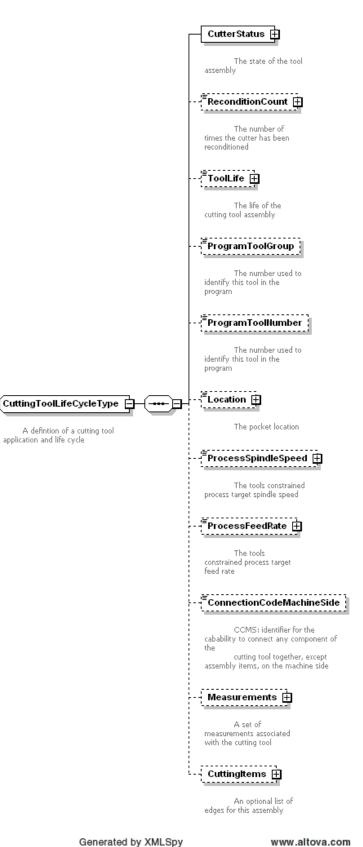
#### The ISO 13399 data **MUST** be presented in either XML (ISO 10303-28) or EXPRESS format

(ISO 10303-21). An XML schema will be preferred as this will allow for easier integration with

- the MTConnect XML tools. EXPRESS will also be supported, but software tools will need to be provided or made available for handling this data representation.
- There will be the root element of the ISO13399 document when XML is used. When EXPRESS is used the XML element will be replaced by the text representation.

#### 299 6.1.8 CuttingToolLifeCycle

- 300 The life cycle refers to the data pertaining the the application or the use of the tool. This data is
- 301 provided by various devices, machine tool, presetters, and statistical process control applications.
- Life cycle data will not remain static, but will change periodically when a tool is used or
- 303 measured. The life cycle has three conceptual parts; tool and cutting item identity, properties, and
- measurements. A measurement is defined as a constrained value that is reported in defined units
- 305 and as a W3C floating point format.
- 306 The CuttingToolLifeCycle contains data for the entire tool assembly. The specific cutting
- items that are part of the CuttingToolLifeCycle are contained in the CuttingItems
- element. Each cutting item has similar properties as the assembly; identity, properties, and
- 309 measurements.
- 310 The units for all measurements have been predefined in MTConnect and will be consistent with
- Part 2 and Part 3 of the standard. This means that all lengths and distances will be given in
- 312 millimeters and all angular measures will be given in degrees. Quantities like
- 313 ProcessSpindleSpeed will be given in RPM, the same as the RotaryVelocity in Part 3.



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#### 316 6.1.9 CuttingToolLifeCycle Elements

The elements associated with this cutting tool are given below. Each element will be described in

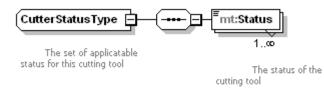
more detail below and any possible values will be presented with full definitions. The elements

319 **MUST** be provided in the following order as prescribed by XML.

Element	Description	Occurrence
	The status of the this assembly. Can be one more of the following values: NEW, AVAILABLE, UNAVAILABLE, ALLOCATED, UNALLOCATED, MEASURED, RECONDITIONED, NOT_REGISTERED, USED, EXPIRED, BROKEN, or UNKNOWN.	1
ReconditionCount	The number of times this cutter has been reconditioned.	01
ToolLife	The cutting tool life as related to this assembly	01
Location	The location this tool now resides in.	01
ProgramToolGroup	The tool group this tool is assigned in the part program.	01
ProgramToolNumber	The number of the tool as referenced in the part program.	01
ProcessSpindleSpeed	The constrained process spindle speed for this tool	01
ProcessFeedRate	The constrained process feed rate for this tool in mm/s.	01
	Identifier for the capability to connect any component of the cutting tool together, except assembly items, on the machine side. Code: CCMS	01
Measurements	A collection of measurements for the tool assembly.	01
CuttingItems	An optional set of individual cutting items.	01

#### 320

#### 321 6.1.10 CutterStatus



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323 The elements of the CutterStatus element can be a combined set of Status elements. The

- 324 standard allows any set of statuses to be combined, but only certain combinations make sense. A
- cutting tool SHOULD not be both NEW and USED at the same time. There are no rules in the
- 326 schema to enforce this, but this is left to the implementer. The following combinations **MUST**
- 327 NOT occur:
- NEW **MUST NOT** be used with USED, RECONDITIONED, or EXPIRED.
- UNKNOWN **MUST NOT** be used with any other status.

- ALLOCATED and UNALLOCATED **MUST NOT** be used together.
- AVAILABLE and UNAVAILABLE **MUST NOT** be used together.
- If the tool is EXPIRED, BROKEN, or NOT\_REGISTERED it MUST NOT be
   AVAILABLE.
- All other combinations are allowed.

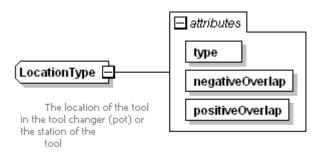
Element	Description	Occurrence
	The status of the cutting tool. There can be multiple Status elements.	1INF

#### 336 6.1.10.1 Status

One of the values for the status of the cutting tool.

Value	Description
NEW	A new tool that has not been used or first use. Marks the start of the tool history.
AVAILABLE	Indicates the tool is available for use. If this is not present, the tool is currently not ready to be used
UNAVAILABLE	Indicates the tool is unavailable for use in metal removal. If this is not present, the tool is currently not ready to be used
ALLOCATED	Indicates if this tool is has been committed to a device for use and is not available for use in any other device. If this is not present, this tool has not been allocated for this device and can be used by another device
UNALLOCATED	Indicates this Cutting Tool has not been committed to a process and can be allocated.
MEASURED	The tool has been measured.
RECONDITIONED	The cutting tool has been reconditioned. See ReconditionCount for the number of times this cutter has been reconditioned.
USED	The tool is in process and has remaining tool life.
EXPIRED	The cutting tool has reached the end of its useful life.
BROKEN	Premature tool failure.
NOT_REGISTERED	This cutting tool cannot be used until it is entered into the system.
UNKNOWN	The cutting tool is an indeterminate state. This is the default value.

#### 339 **6.1.11 Location**



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#### 340 341

Figure 11: Location
This is the optional device specific pocket id providing the current pocket number this tool

resides in. This can be any series of numbers and letters as defined by the XML type

NMTOKEN. When a POT or STATION type is used, the value **MUST** be a numeric value. If a

negativeOverlap or the positiveOverlap is provided, the tool reserves additional

locations on either side, otherwise if they are not given, no additional locations are required for

this tool. If the pot occupies the first or last location, a rollover to the beginning or the end of the

index-able values may occur. For example, if there are 64 pots and the tool is in pot 64 with a

349 positiveOverlap of 1, the first pot **MAY** be occupied as well.

#### 350 6.1.11.1 Location attributes:

Attribute	Description	Occurrence
type	The type of location being identified. Current <b>MUST</b> be one of POT, STATION, or CRIB.	1
positiveOverlap	The number of locations at higher index value from this location.	01
negativeOverlap	The number of location at lower index values from this location.	01

#### 351

#### 352 **6.1.11.2 type**

353 .The type of location being identifier.

Value	Description
POT	The number of the pot in the tool handling system.
STATION	The tool location in a horizontal turning machine.
CRIB	The location with regard to a tool crib.

#### 355 **6.1.11.3 positiveOverlap**

- 356 The number of locations at higher index values that the cutting tool occupies due to interference.
- The value **MUST** be an integer. If not provided it is assumed to be 0.

#### 358 6.1.11.4 negativeOverlap

- 359 The number of locations at lower index values that the cutting tool occupies due to interference.
- 360 The value **MUST** be an integer. If not provided it is not assumed to be 0.
- 361 The tool number assigned in the part program and is used for cross referencing this tool
- information with the process parameters. The value **MUST** be an integer.

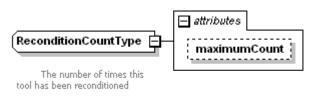
#### 363 6.1.12 ProgramToolGroup

- The optional identifier for the group of cutting tools when multiple tools can be used
- interchangeably. This is defined as an XML string type and is implementation dependent.

#### 366 6.1.13 ProgramToolNumber

- 367 The tool number assigned in the part program and is used for cross referencing this tool
- 368 information with the process parameters. The value **MUST** be an integer.

#### 369 6.1.14 ReconditionCount



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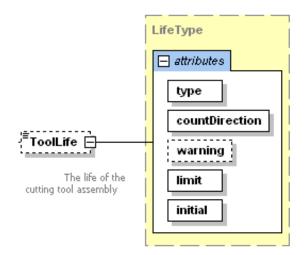
#### Figure 12: Cutting Tool Life Cycle

- 372 This element **MUST** contain an integer value as the CDATA that represents the number of times
- the cutter has been reconditioned.

#### 374 6.1.14.1 ReconditionCount attributes

Attribute	Description	Occurrence
maximumCount	The maximum number of times this tool may be reconditioned	01

#### 376 **6.1.15 ToolLife:**



377

378

#### Figure 13: Tool Life

The value is the current value for the tool life. The value **MUST** be a number. Tool life is an

option element which can have three types, either minutes for time based, part count for parts

based, or wear based using a distance measure. One tool life element can appear for each type,

but there cannot be two entries of the same type. Additional types can be added in the future.

#### 383 **6.1.15.1 ToolLife attributes:**

384 These is an optional attribute that can be used to further classify the operation type.

Attribute	Description	Occurrence
type	The type of tool life being accumulated. MINUTES, PART_COUNT, or WEAR	1
countDirection	Indicates if the tool life counts from zero to maximum or maximum to zero, The values $MUST$ be one of UP or DOWN.	1
warning	The point at which a tool life warning will be raised.	01
limit	The end of life limit for this tool. If the countDirection is DOWN, the point at which this tool should be expired, usually zero. If the countDirection is UP, this is the upper limit for which this tool should be expired.	01
initial	The initial life of the tool when it is new.	01

385

#### 386 **6.1.15.1.1 ToolLife** type attribute:

387 The value of type must be one of the following:

Value	Description
	The tool life measured in minutes. All units for minimum, maximum, and warningLevel <b>MUST</b> be provided in minutes.

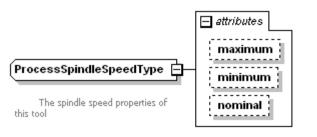
Value	Description	
PART_COUNT	The tool life measured in parts. All units for minimum, maximum, and warningLevel <b>MUST</b> be provided supplied as the number of parts.	
WEAR	The tool life measured in tool wear. Wear <b>MUST</b> be provided in millimeters as an offset to nominal. All units for minimum, maximum, and warningLevel <b>MUST</b> be given as millimeter offsets as well. The standard will only consider dimensional wear at this time.	

#### 389 6.1.15.1.2 ToolLife countDirection attribute:

390 The value of type must be one of the following:

Value	Description
DOWN	The tool life counts down from the maximum to zero.
UP	The tool life counts up from zero to the maximum.

#### 391 6.1.16 ProcessSpindleSpeed



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392

393

#### Figure 14: Process Spindle Speed

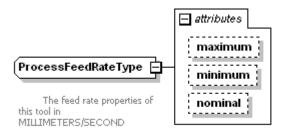
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- The Process Spindle Speed **MUST** be specified in revolutions/minute (RPM). The CDATA **MAY**
- contain the process target spindle speed if available. The maximum and minimum speeds MAY
- be provided as attributes. At least one value **MUST** be provided.

#### 397 6.1.16.1 ProcessSpindleSpeed attributes

Attribute	Description	Occurrence
maximum	The upper bound for the tool's target spindle speed	01
minimum	The lower bound for the tools spindle speed.	01
nominal	The nominal speed the tool is designed to operate at.	01

#### 399 6.1.17 ProcessFeedRate



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401

402 The Process Feed Rate **MUST** be specified in millimeters/second (mm/s). The CDATA **MAY** 

403 contain the process target feed rate if available. The maximum and minimum rates **MAY** be

**Figure 15: Process Feed Rate** 

404 provided as attributes. At least one value **MUST** be provided.

#### 405 6.1.17.1 ConnectionCodeMachineSide

406 This is an optional identifier for implementation specific connection component of the cutting

407 tool on the machine side. Code: CCMS. The CDATA MAY be any valid string according to the

408 referenced connection code standards.

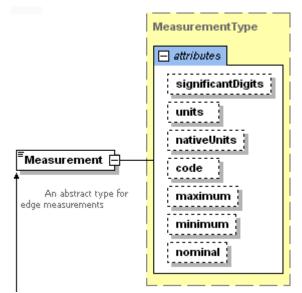
#### 409 6.1.17.2 ProcessSpindleSpeed attributes

Attribute	Description	Occurrence
maximum	The upper bound for the tool's process target feed rate	01
minimum	The lower bound for the tools feed rate.	01
nominal	The nominal feed rate the tool is designed to operate at.	01

#### 410 **6.1.18 Measurements**

- 411 The Measurements element is a collection of one or more constrained scalar values associated
- 412 with this cutting tool. The contents **MUST** be a subtype of CommonMeasurement or
- 413 AssemblyMeasurement. The following section will define the abstract Measurement type
- 414 used in both CuttingToolLifeCycle and CuttingItem. This section will then describe
- the AssemblyMeasurement types. The CuttingItemMeasurement types will be
- 416 described at the end of the CuttingItem section.
- 417 A measurement is specific to a process and a machine tool at a particular shop. The tool zero
- 418 reference point or gauge line will be different depending on the particular implementation and
- 419 will be assumed to be consistent within the shop. MTConnect does not standardize the
- 420 manufacturing process or the definition of the zero point.

#### 421 **6.1.19 Measurement**



422

#### 423

#### Figure 16: Measurement

- 424 A measurement **MUST** be a scalar floating point value that **MAY** be constrained to a maximum
- and minimum value. Since the CuttingToolLifeCycle's main responsibility is to track
- aspects of the tool that change over it's use in the shop, MTConnect represents the current value
- 427 of the measurement **MUST** be in the CDATA (text between the start and end element) as the most
- 428 current valid value.
- The minimum and maximum **MAY** be supplied if they are known or relevant to the
- 430 measurement. A nominal value **MAY** be provided to show the reference value for this
- 431 measurement.
- 432 There are three subtypes of Measurement: CommonMeasurement,
- 433 AssemblyMeasurement, and CuttingItemMeasurement. These abstract types MUST
- 434 **NOT** appear in an MTConnectAssets document, but are used in the schema as a way to
- 435 separate which measurements **MAY** appear in the different sections of the document. Only
- subtypes that have extended these types **MAY** appear in the MTConnectAssets XML.
- 437 Measurements in the CuttingToolLifeCycle section **MUST** refer to the entire assembly and not
- to an individual cutting item. Cutting item measurements **MUST** be located in the measurements
- 439 associated with the individual Cutting Item.
- 440 Measurements **MAY** provide an optional units attribute to reinforce the given units. The units
- 441 **MUST** always be given in the predefined MTConnect units. If units are provided, they are
- 442 only for documentation purposes. nativeUnits **MAY** optionally be provided to indicate the
- 443 original units provided for the measurements.

#### 444 6.1.19.1 Measurement attributes

Attribute	Description	Occurrence
	l de la constante de	

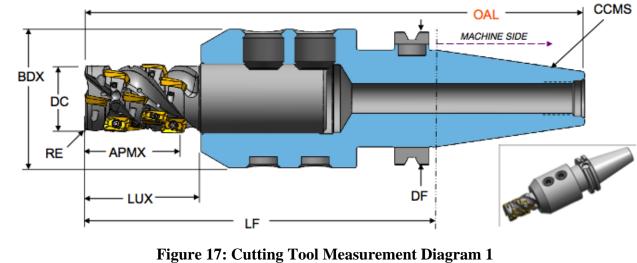
Attribute	Description	Occurrence
code	A shop specific code for this measurement. ISO 13399 codes <b>MAY</b> be used to for these codes as well.	01
maximum	The maximum value for this measurement. Exceeding this value would indicate the tool is not usable.	01
minimum	The minimum value for this measurement. Exceeding this value would indicate the tool is not usable.	01
nominal	The as advertised value for this measurement.	01
significantDigits	The number of significant digits in the reported value. This is used by applications to determine accuracy of values. This <b>MAY</b> be specified for all numeric values.	01
units	The units for the measurements. MTConnect defines all the units for each measurement, so this is mainly for documentation sake. See <i>MTConnect Part 2 – Components and Data Items</i> section 4.1.5: units for the full list.	01
nativeUnits	The units the measurement was originally recorded in. This is only necessary if they differ from units. See <i>MTConnect Part 2</i> – <i>Components and Data Items</i> section 4.1.8: nativeUnits for the full list.	01

#### 446 6.1.20 CuttingToolMeasurement subtypes

447 These measurements are specific to the entire assembly and MUST NOT be used for the

448 measurement pertaining to a CuttingItem. The following diagram will be used to for

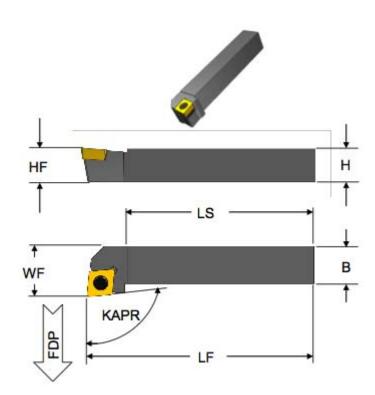
- 449 reference for the assembly specific measurements.
- 450 The Code in the following table will refer to the acronyms in the diagrams. We will be referring
- to many diagrams to disambiguate all measurements of the CuttingTool and
- 452 CuttingItem.



454 455

453

(Cutting Item, Tool Item, and Adaptive Item – ISO 13399)



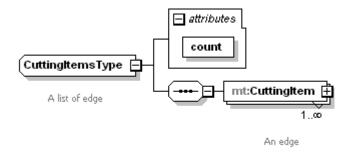
459

#### Figure 18: Cutting Tool Measurement Diagram 2 (Cutting Item, Tool Item, and Adaptive Item – ISO 13399)

Measurement	Code	Description	Units
BodyDiameterMax	BDX	The largest diameter of the body of a tool item.	mm
BodyLengthMax	LBX	The distance measured along the X axis from that point of the item closest to the workpiece, including the cutting item for a tool item but excluding a protruding locking mechanism for an adaptive item, to either the front of the flange on a flanged body or the beginning of the connection interface feature on the machine side for cylindrical or prismatic shanks.	mm
DepthOfCutMax	APMX	The maximum engagement of the cutting edge or edges with the workpiece measured perpendicular to the feed motion.	mm
CuttingDiameterMax	DC	The maximum diameter of a circle on which the defined point Pk of each of the master inserts is located on a tool item. The normal of the machined peripheral surface points towards the axis of the cutting tool.	mm
FlangeDiameterMax	DF	The dimension between two parallel tangents on the outside edge of a flange.	mm
OverallToolLength	OAL	The largest length dimension of the cutting tool including the master insert where applicable.	mm
ShankDiameter	DMM	The dimension of the diameter of a cylindrical portion of a tool item or an adaptive item that can participate in a connection.	mm

Measurement	Code	Description	Units
ShankHeight	Н	The dimension of the height of the shank.	mm
ShankLength	LS	The dimension of the length of the shank.	mm
UsableLengthMax	LUX	maximum length of a cutting tool that can be used in a particular cutting operation including the non-cutting portions of the tool.	mm
ProtrudingLength	LPR	The dimension from the yz-plane to the furthest point of the tool item or adaptive item measured in the -X direction.	mm
Weight	WT	The total weight of the cutting tool in grams. The force exerted by the mass of the cutting tool.	grams
FunctionalLength	LF	The distance from the gauge plane or from the end of the shank to the furthest point on the tool, if a gauge plane does not exist, to the cutting reference point determined by the main function of the tool. The CuttingTool functional length will be the length of the entire tool, not a single cutting item. Each CuttingItem can have an independent FunctionalLength represented in its measurements.	mm

#### 461 6.1.21 CuttingItems



462		Genera	ated b	y XML	.Spy			www.	altova.c	com
463				Fig	ure	19: Cu	tting Ite	ems		

An optional collection of cutting items that **SHOULD** be provided for each independent edge or

insert. If the CuttingItems are not present; it indicates there is no specific information with

respect to each of the cutting items. This does not imply there are no cutting items – there MUST

467 be at least one cutting item – but there is no specific information.

#### 468 **6.1.21.1 CuttingItems attributes**

Attribute	Description	Occurrence
count	The number of cutting items.	1

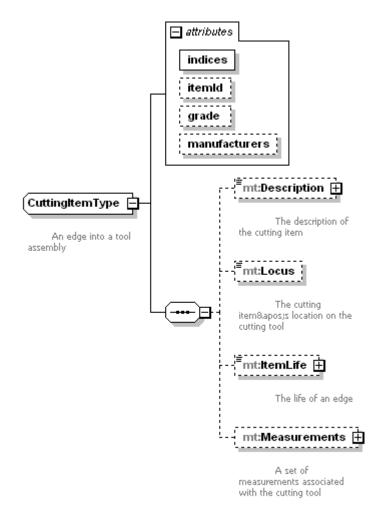
469

#### 470 **6.1.22** CuttingItem

471 A cutting item is the portion of the tool that physically removes the material from the workpiece

by shear deformation. The cutting item can be either a single piece of material attached to the

- tool item or it can be one or more separate pieces of material attached to the tool item using a 473
- 474 permanent or removable attachment. A cutting item can be comprised of one or more cutting
- edges. Cutting items include: replaceable inserts, brazed tips and the cutting portions of solid 475
- cutting tools. 476
- MTConnect considers Cutting Items as part of the Cutting Tool. A Cutting Item MUST NOT 477
- exist in MTConnect unless it is attached to a cutting tool. Some of the measurements, such as 478
- FunctionalLength, **MUST** be made with reference to the entire cutting tool to be 479
- 480 meaningful.



481	Generated by XMLSpy	www.altova.com
482	Figure 20: Cutting	Item

#### 6.1.22.1 CuttingItem attributes 483

Attribute	Description	Occurrence
	The number or numbers representing the individual cutting item or items on the tool.	1
itemId	The manufacturer identifier of this cutting item	01

Attribute	Description	Occurrence
manufacturers	The manufacturers of the cutting item	01
grade	The material composition for this cutting item	01

#### 485 **6.1.22.2 indices**

486 An identifier that indicates the cutting item or items these data are associated with. The value

487 **MUST** a single numbers ("1") or a comma separated set of individual elements ("1,2,3,4"), or as

488 a inclusive range of values as in ("1-10") or any combination of ranges and numbers as in "1-4,6-

489 10,22". There **MUST NOT** be spaces or non-integer values in the text representation.

490 Indices **SHOULD** start numbering with the inserts or cutting items furthest from the gauge line

- and increasing in value as the items get closer to the gauge line. Items at the same distance **MAY**
- 492 be arbitrarily numbered.

#### 493 **6.1.22.3 itemId**

The manufactures' identifier for this cutting item that **MAY** be the its catalog or reference

number. The value **MUST** be an XML NMTOKEN value of numbers and letters.

#### 496 **6.1.22.4 manufacturers**

- This optional element references the manufacturers of this tool. At this level the manufacturers
- 498 will reference the Cutting Item specifically. The representation will be a comma (,) delimited list
- 499 of manufacturer names. This can be any series of numbers and letters as defined by the XML
- 500 type string.

#### 501 **6.1.22.5 grade**

502 This provides an implementation specific designation for the material composition of this cutting 503 item.

#### 504 6.1.23 A CuttingItem contains the following elements.

Element	Description	Occurrence
Description	A free-form description of the cutting item.	01
Locus	A free form description of the location on the cutting tool.	01
ItemLife	The life of this cutting item.	03
Measurements	A collection of measurements relating to this cutting item.	01

#### 505 **6.1.24 Description**

506 An optional free form text description of this cutting item.

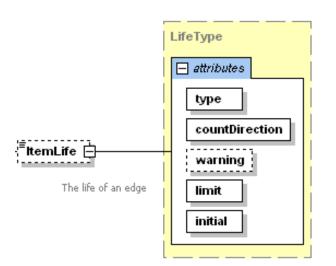
#### 507 **6.1.25 Locus**

Locus represents the location of the cutting item with respect to the cutting tool. For clarity, the words FLUTE, INSERT, and CARTRIDGE **SHOULD** be used to assist in noting the location of

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a cutting item. The Locus MAY be any free form text, but SHOULD adhere to the followingrules:

- The location numbering SHOULD start at the furthest cutting item (#1) and work it's way back to the cutting item closest to the gauge line.
- 514 2. Flutes **SHOULD** be identified as such using the word FLUTE:. For example:
- 515 FLUTE: 1, INSERT: 2 would indicate the first flute and the second furthest 516 insert from the end of the tool on that flute.
- 517 3. Other designations such as CARTRIDGE MAY be included, but should be identified
  518 using upper case and followed by a colon (:).
- 519 6.1.26 ItemLife



520

521

#### Figure 21: Item Life

522 The value is the current value for the tool life. The value **MUST** be a number. Tool life is an

523 option element which can have three types, either minutes for time based, part count for parts

based, or wear based using a distance measure. One tool life can appear for each type, but there

525 cannot be two entries of the same type. Additional types can be added in the future.

#### 526 6.1.26.1 ItemLife attributes:

527 These is an optional attribute that can be used to further classify the operation type.

Attribute	Description	Occurrence
type	The type of tool life being accumulated. MINUTES, PART_COUNT, or WEAR	1
countDirection	Indicates if the tool life counts from zero to maximum or maximum to zero, The values <b>MUST</b> be one of UP or DOWN .	1
warning	The point at which a tool life warning will be raised.	01

Attribute	Description	Occurrence
limit	The end of life limit for this tool. If the countDirection is DOWN, the point at which this tool should be expired, usually zero. If the countDirection is UP, this is the upper limit for which this tool should be expired.	01
initial	The initial life of the tool when it is new.	01

### 529 6.1.26.1.1 ItemLife type attribute:

530 The value of type must be one of the following:

Value	Description
MINUTES	The tool life measured in minutes. All units for minimum, maximum, and warningLevel <b>MUST</b> be provided in minutes.
PART_COUNT	The tool life measured in parts. All units for minimum, maximum, and warningLevel <b>MUST</b> be provided supplied as the number of parts.
WEAR	The tool life measured in tool wear. Wear <b>MUST</b> be provided in millimeters as an offset to nominal. All units for minimum, maximum, and warningLevel <b>MUST</b> be given as millimeter offsets as well.

531

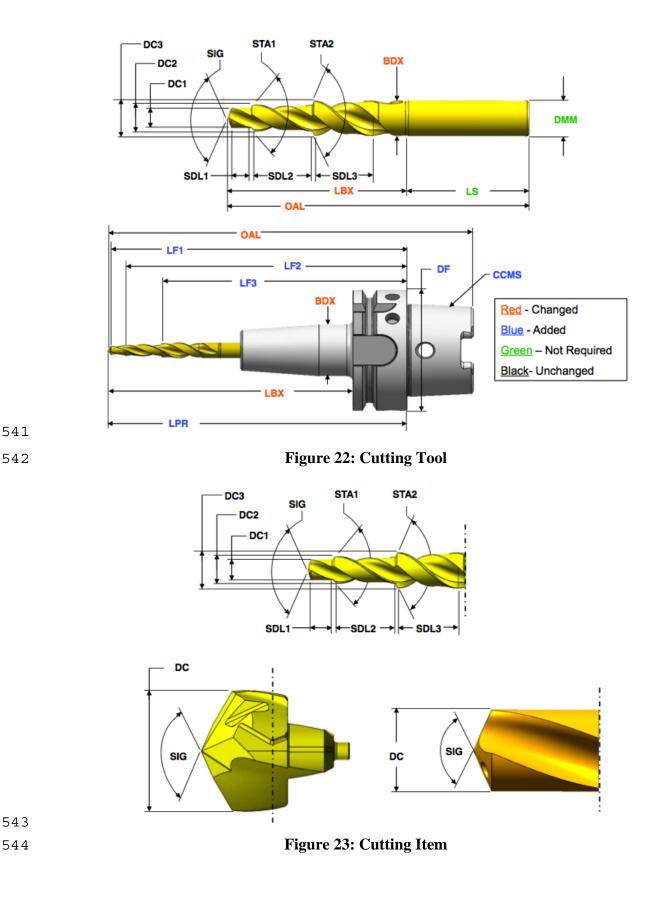
### 532 **6.1.26.1.2 ItemLife direction attribute:**

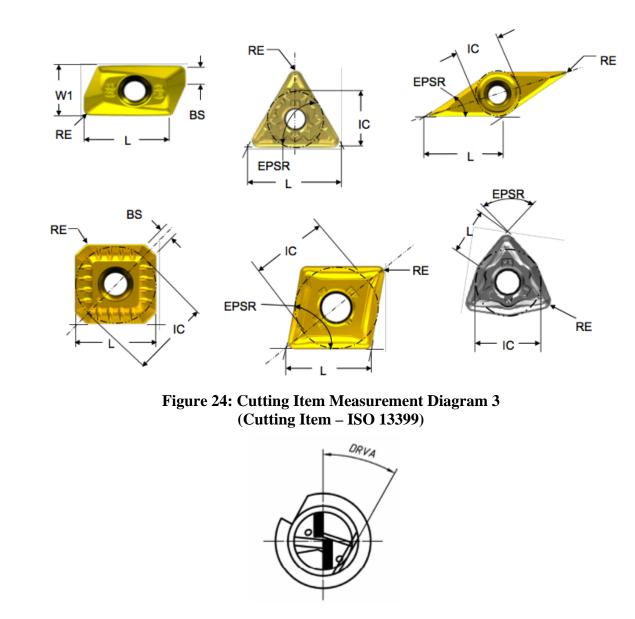
533 The value of type must be one of the following:

Value	Description
DOWN	The tool life counts down from the maximum to zero.
UP	The tool life counts up from zero to the maximum.

### 534 6.1.27 CuttingItemMeasurement subtypes

- 535 These measurements are specific to an individual cutting item and **MUST NOT** be used for the
- 536 measurement pertaining to an assembly. The following diagram will be used to for reference for 527 the cutting item specific measurements
- 537 the cutting item specific measurements.
- 538 The Code in the following table will refer to the acronym in the diagram. We will be referring to
- 539 many diagrams to disambiguate all measurements of the cutting tools and items. We will present
- a few here; please refer to Appendix B for additional reference material.





**Figure 25: Cutting Item Drive Angle** (Cutting Item – ISO 13399)

- The following CuttingItem Measurements will refer the diagram above.

Measurement	Code	Description	Units
CuttingReferncePoint	CRP	The theoretical sharp point of the cutting tool from which the major functional dimensions are taken.	mm
CuttingEdgeLength	L	The theoretical length of the cutting edge of a cutting item over sharp corners.	mm
DriveAngle	DRVA	Angle between the driving mechanism locator on a tool item and the main cutting edge	degree

Measurement	Code	Description	Units
FlangeDiameter	DF	The dimension between two parallel tangents on the outside edge of a flange.	mm
FunctionalWidth	WF	The distance between the cutting reference point and the rear backing surface of a turning tool or the axis of a boring bar.	mm
IncribedCircleDiameter	IC	The diameter of a circle to which all edges of a equilateral and round regular insert are tangential.	mm
PointAngle	SIG	The angle between the major cutting edge and the same cutting edge rotated by 180 degrees about the tool axis.	degree
ToolCuttingEdgeAngle	KAPR	The angle between the tool cutting edge plane and the tool feed plane measured in a plane parallel the xy-plane.	degree
ToolLeadAngle	PSIR	The angle between the tool cutting edge plane and a plane perpendicular to the tool feed plane measured in a plane parallel the xy-plane.	degree
ToolOrientation	N/A	The angle of the tool with respect to the workpiece for a given process. The value is application specific.	degree
WiperEdgeLength	BS	The measure of the length of a wiper edge of a cutting item.	mm
StepDiameterLength	SDLx	The length of a portion of a stepped tool that is related to a corresponding cutting diameter measured from the cutting reference point of that cutting diameter to the point on the next cutting edge at which the diameter starts to change.	mm
StepIncludedAngle	STAx	The angle between a major edge on a step of a stepped tool and the same cutting edge rotated 180 degrees about its tool axis.	degree
CuttingDiameter	DCx	The nominal radius of a rounded corner measured in the XY-plane.	mm
CuttingHeight	HF	The distance from the basal plane of the tool item to the cutting point.	mm
CornerRadius	RE	The nominal radius of a rounded corner measured in the X Y-plane.	mm
Weight	WT	The total weight of the cutting tool in grams. The force exerted by the mass of the cutting tool.	grams
FunctionalLength	LFx	The distance from the gauge plane or from the end of the shank of the cutting tool, if a gauge plane does not exist, to the cutting reference point determined by the main function of the tool. This measurement will be with reference to the Cutting Tool and <b>MUST NOT</b> exist without a cutting tool.	mm
ChamferFlatLength	BCH	The flat length of a chamfer.	mm
ChamferWidth	CHW	The width of the chamfer	mm
InsertWidth	Wl	W1 is used for the insert width when an inscribed circle diameter is not practical.	mm

# Appendices

# 554 A. Bibliography

553

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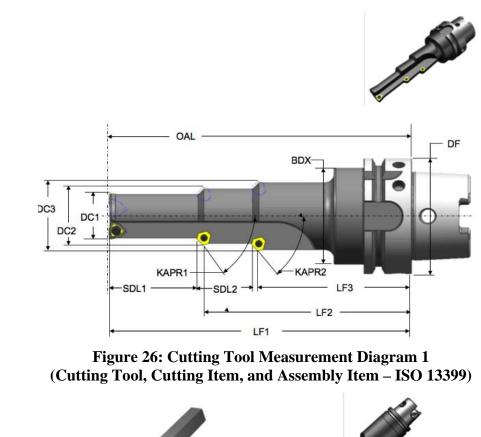
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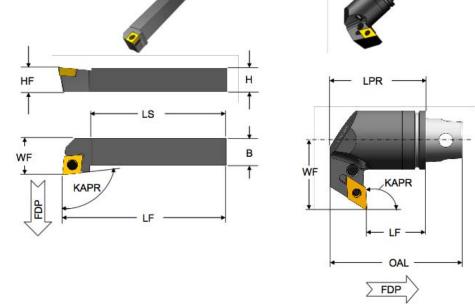


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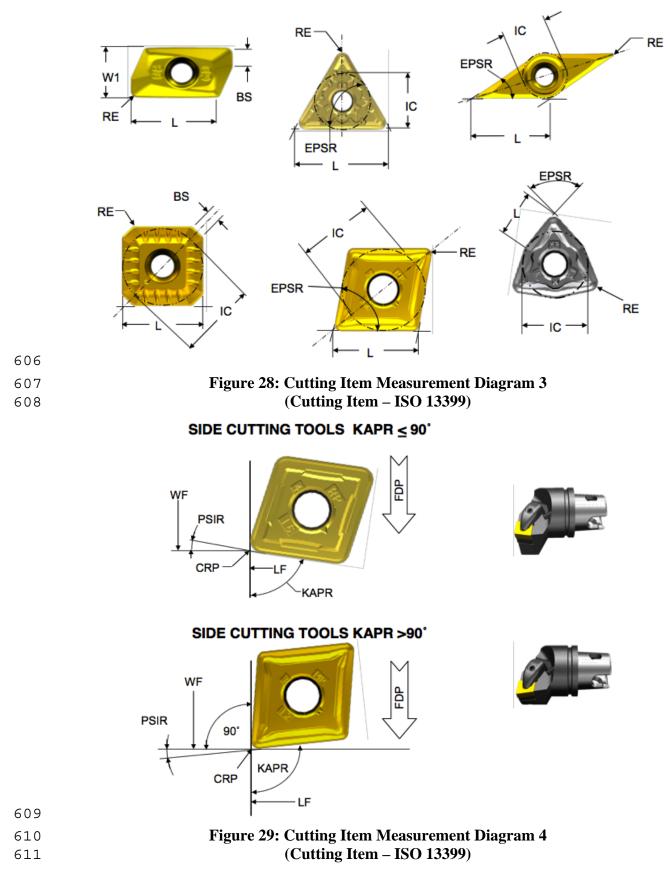


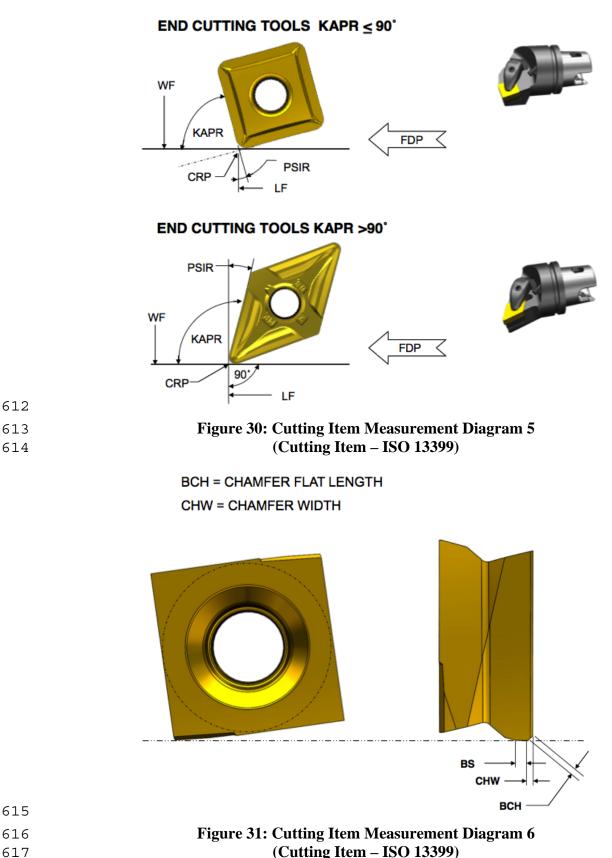


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Figure 27: Cutting Tool Measurement Diagram 2 (Cutting Tool, Cutting Item, and Assembly Item – ISO 13399)



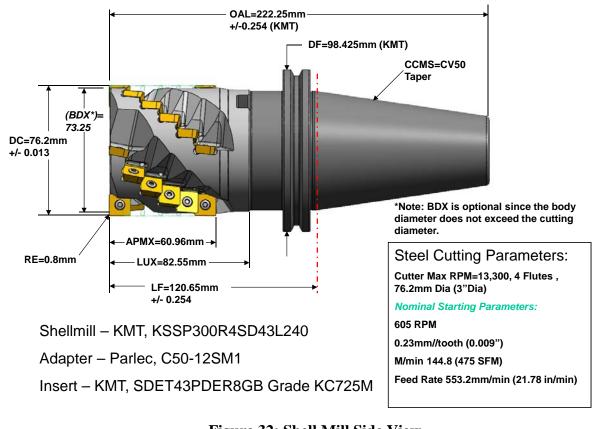


(Cutting Item – ISO 13399)

# 618 C. Cutting Tool Example

# 619 C.1 Shell Mill

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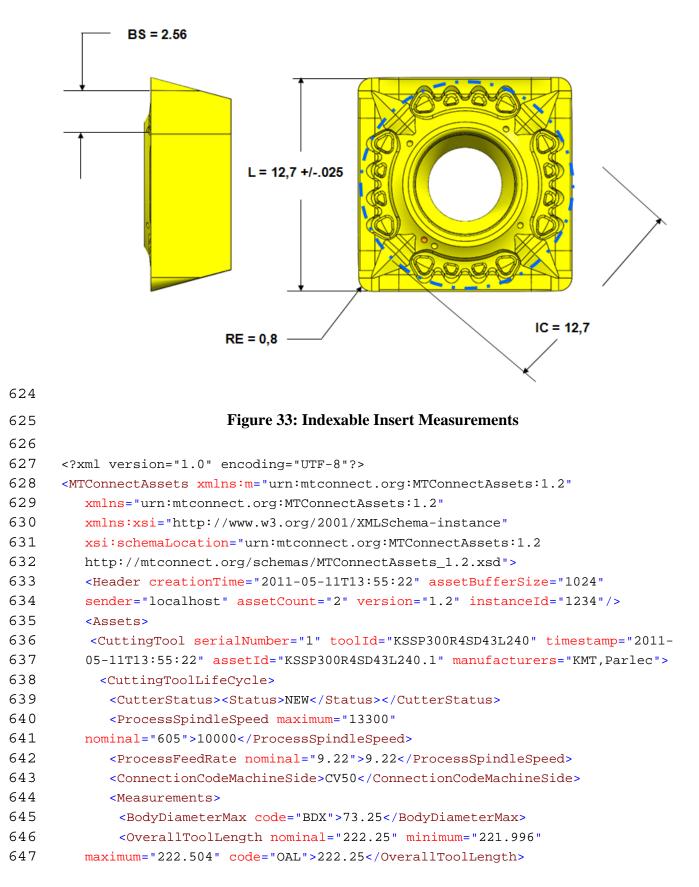


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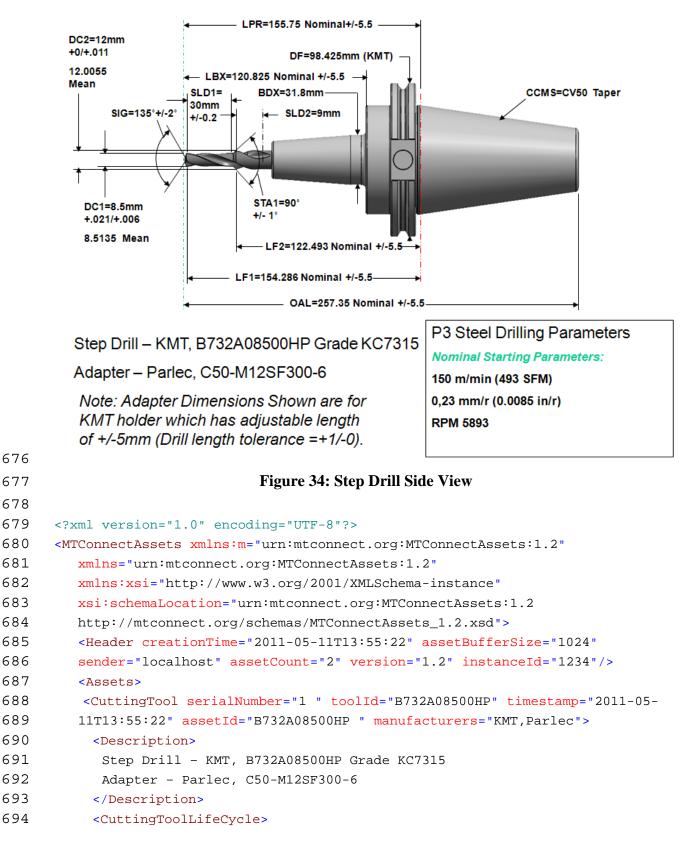
Figure 32: Shell Mill Side View

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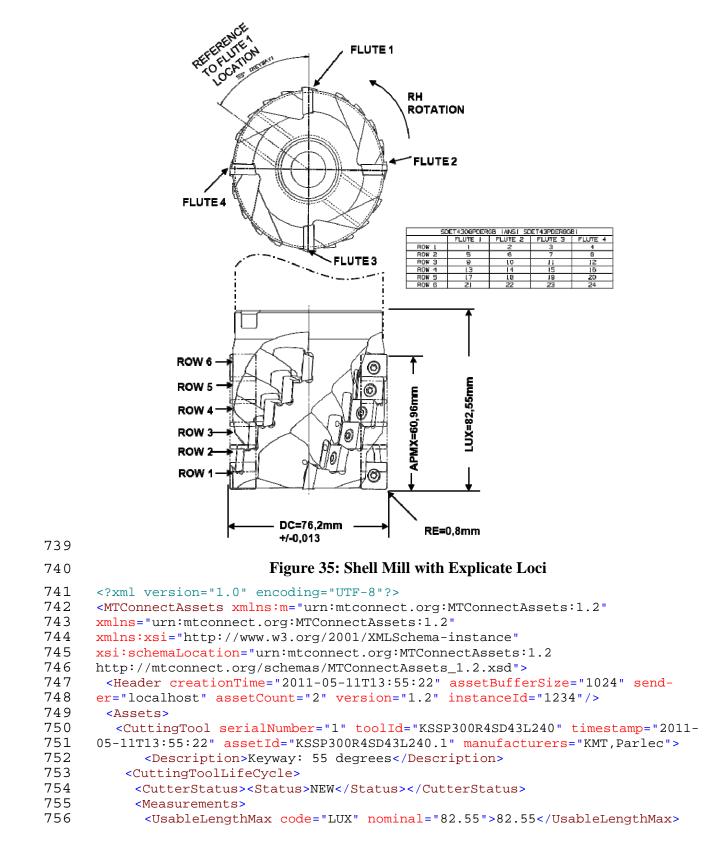


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## 675 C.2 Step Drill



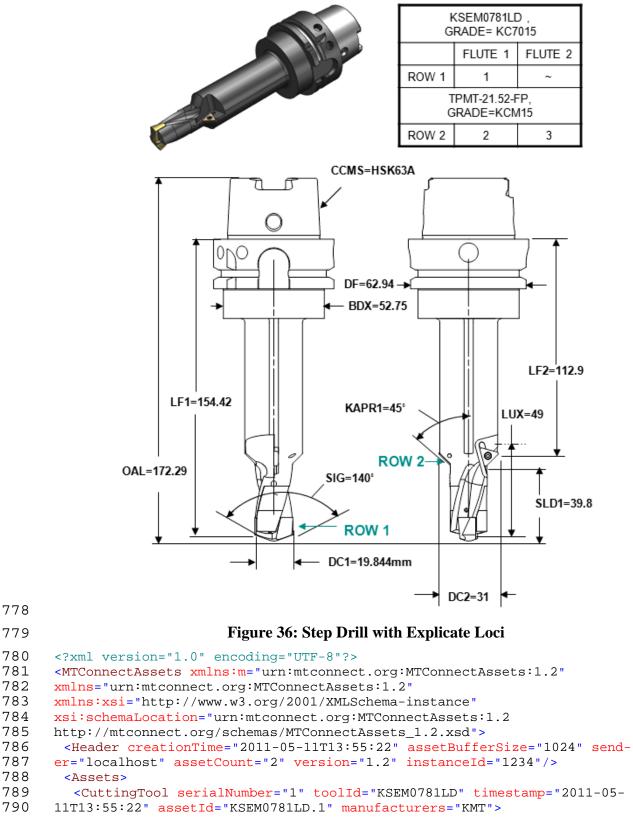
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### 738 C.3 Shell Mill with Individual Loci

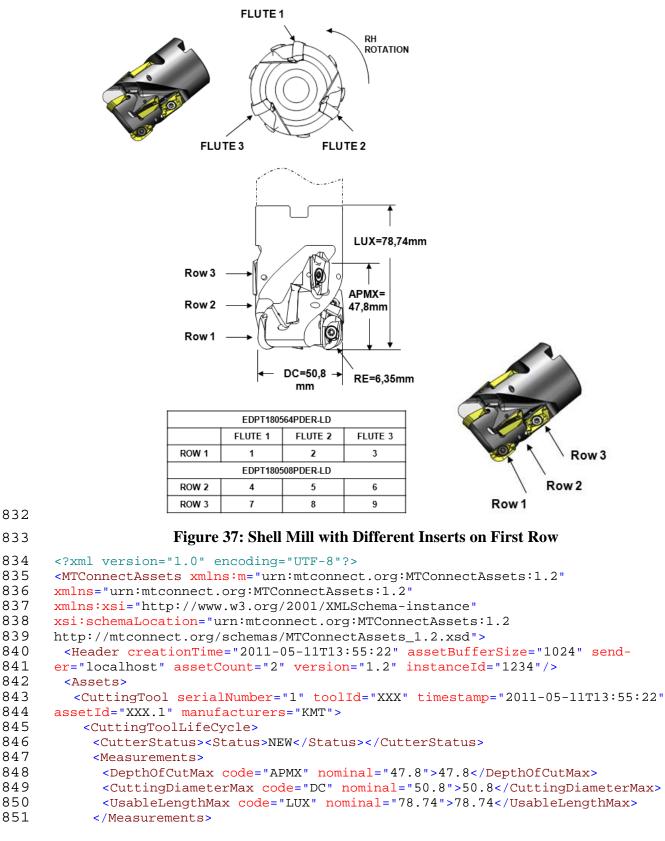
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## 777 C.4 Drill with Individual Loci



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## 831 C.5 Shell Mill with Different Inserts on First Row



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